Contractor Management Handbook

Development Bureau

Revision B-10 March 2013 刪除: 9

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Revision	B-10	dated	26	March 2013

<u>B-10</u>	25/3/2013	Establishment of the category of "Plumbing"
		Installation", and revision of the scope and the
		"minimum technical and management criteria for
		admission, confirmation and promotion" of the
		category of "Landscaping: Class I - General
		Landscape Work" as promulgated by letter to all
		contractors on the List of Approved Contractors
		for Public Works and the List of Approved
		Suppliers of Materials and Specialist Contractors
		for Public Works ref: (02J7J-01-6) in DEVB(PS)
		108/34 dated 26 March 2013.

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Revision B-10 dated 26 March	2013
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		Annex 32	List of Tools/Equipment and Testing Instruments for Swimming Pool Water Treatment Installation Category
		Annex 33	List of Tools/Equipment and Testing Instruments for Uninterruptible Power Supply Installation Category
		Annex 34	List of Tools/Equipment and Testing Instruments for Video Electronics Installation Category
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24	Mechanical Handling and Lifting Installation		X	Arch SD
25	Mechanical Plant and Equipment Installation Group I – Contracts/Sub-contracts up to \$1.1 million Group II – Contracts/Sub-contracts of unlimited value		X	Arch SD
26	Motor Vehicle Body-building and Painting		X	Arch SD
27	Plumbing Installation Group I – Contracts/Sub-contracts up to \$2.3 million Group II – Contracts/Sub-contracts of unlimited value		X	Arch SD
28	Prestressed Concrete Works for Highway Structures Class I – Supply and Installation of Prestressing Systems Class II – Supply of Prestressed Concrete Units	X	X	Hy D
29	Radio Electronics Installation		X	Arch SD
30	Repair and Restoration of Historic Buildings		X	Arch SD
31	Repair of Motors, Transformers and Switchgear		X	WSD
32	Road Marking		X	Hy D
33	Soil and Rock Testing		X	CEDD

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	Categories	Suppliers of Materials	Specialist Contractors	Managing Departments (Note)
34	Space Frame Systems	X	X	Arch SD
35	Specialized Operations for Highway Structures Class I – Guniting Class II – Waterproofing of Concrete Surfaces Class III – Repair of Cracked Concrete by Resin Injection Class IV – Non-destructive Testing of Welds Class V – Hot Dip Galvanising		X	Ну D
36	Steam and Compressed Air Installation		X	Arch SD
37	Structural Steelwork		X	Hy D
38	Supply and Installation of Bearings for Highway Structures Class I – Mechanical Bearings Class II – Elastomeric Bearings		X	Hy D
39	Supply and Installation of Expansion Joints for Highway Structures		X	Hy D
40	Supply and Installation of Pumpsets and Associated Pipework		X	WSD
41	Supply and Installation of Water Treatment Plant		X	WSD
42	Supply of Bituminous Pavement Materials and Construction of Special Bituminous Surfacing	X	X	Hy D
43	Survey of Government Land Pressure Receivers subject to Steam and Air Pressure (but excluding Steam Boilers)		X	Arch SD
44	Survey of Government Land Steam Boilers		X	Arch SD

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	Categories	Suppliers of Materials	Specialist Contractors	Managing Departments (Note)	
45	Survey of Lifting Appliances and Lifting Gear		X	Arch SD	
46	Swimming Pool Water Treatment Installation		X	Arch SD	
47	Transparent Panels for Noise Barriers on Highways [formerly named as "Prefabricated Architectural Walls and Finishes (Screens, Sound Barriers and Retaining Walls)"]	X		HyD	刪除: 27
48	Turn-key Interior Design and Fitting-out Works Group I – Eligible to be awarded one contract as a Trade Test Group II – Eligible to be awarded more than one contract at any time		X	Arch SD	刪除: 47
49	Uninterruptible Power Supply Installation		X	Arch SD	- 刪除: 48
<u>50</u>	Video Electronics Installation		X	Arch SD	- 刪除: 49

Note:

Arch SD : Architectural Services Department

CEDD : Civil Engineering and Development Department

Hy D : Highways Department LD : Lands Department

WSD : Water Supplies Department

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APPENDIX 3C Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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-+0	Turn-key interior Design and Pitting-out works	<u> </u>	-	
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Managing Department: Architectural Services Department

Works Category: Air-conditioning Installation Specialist Contractor

Group I: Contracts/Subcontracts up to HK\$5.7M
Group II: Contracts/Subcontracts of unlimited value

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of air-conditioning installations comprising chillers, refrigeration systems, heat rejection plant, pipeworks, water handling equipment, air ducts, air handling equipment, thermal insulation, control and monitoring system, etc.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Probation to Group I : Eligible for the award of a maximum of two Group I contracts/subcontracts

Probation to Group II : Eligible for the award of any number of Group I contracts/subcontracts; and a maximum of two Group II

contracts/subcontracts provided that the total value of Group II works does not exceed \$20 million

Not applicable for new application for admission. (Only applicable for existing Group I probationary contractor)

* See Remarks (1) on the end page of this Appendix.

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Group I	Group II
A. Entry on Probation	A. Entry on Probation
Not Applicable	1. Job Experience
	<u>General</u>
	(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
	(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
	<u>Job Reference for Inspection</u> (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)
	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
	(7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
	(8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:
	(a) Contract value of the work related to the category under application for inclusion exceeds 180% of Group I tender limit; and
	(b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and
	(c) The air-conditioning installation shall be of central type, which shall include chiller, chilled water pipeworks, pumps, air-handling equipment, etc., and the cooling capacity of the chillers is not less than 1000kW; and
	(d) The contract shall be of a completely new building construction project or a whole building refurbishment project.
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Group I	Group II
	(9) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and
	(d) The aforementioned main contractor shall not be the air-conditioning installation contractor for the project work; and
	(e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirement in the subcontract; and
	(f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
	2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
	Statutory Registration
	The applicant shall be ♦ a Registered Specialist Contractor in the Ventilation Sub-register under Buildings Ordinance, Cap. 123; and ♦ a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406; and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
	Quality Management System Certification
	Quality Management System certificates issued under the rules of the Hong Kong Certification Body Accreditation Scheme (HKCAS) operated by the Hong Kong

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Group I	Group II	
	Accreditation Service (HKAS); i.e. bearing the HKCAS Accreditation Mark, and Quality Management System certificates bearing the accreditation mark of other accreditation bodies which are considered as having an equivalent standard by the Environment, Transport and Works Bureau.	
	The scope of certification shall be relevant to the contractor list being applied for, e.g "supply, installation and maintenance of air-conditioning installation".	
	If the scope of certification does not include "maintenance", the manual shall have relevant sections for maintenance work that shall include not only post contract service but also service for separate maintenance contract.	ce
	3. Technical Staff (See Annex 1 for definition of Staff Qualification)	
	Qualified Engineer	
	Employ at least 1 no. qualified engineer (Mechanical or Building Services discipling with adequate and relevant project management experience.	ine)
	Technical Support Staff	
	Employ adequate nos. of technicians, site supervisors and draftsmen with adequate relevant academic qualification and working experience.	and
	Technician2 nos.Site supervisor4 nos.Draftsman2 nos.	
	<u>Craftsman</u>	
	Employ adequate nos. of craftsman in the following trades	
	(A)Plumber/ AC MechanicMinimum score required40 marksQualified Plumber/AC Mechanic20 marksIntermediate Plumber/AC Mechanic15 marksOrdinary Plumber/AC Mechanic8 marks	
	(B)ElectricianMinimum score required40 marksQualified Electrician20 marksIntermediate Electrician15 marksOrdinary Electrician8 marks	
	Statutory Registration	
	The applicant shall have staff meeting the following statutory registration requirement	ıts:
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Minimum Technical & Management		

Group I	Group II
·	 Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 − 2 nos. (minimum) Licensed Plumber (LP) registered under Waterworks Ordinance, Cap. 102 − 1 no. (minimum)
	The LP and REW can be the Qualified Engineer, Technical Support Staff or Craftsman.
	Qualified Welder
	Employ adequate nos. of Qualified Welder (QW)
	QW 2 nos.
	The QW can be the Qualified Engineer, Technical Support Staff or Craftsman.
	4. Plant and Equipment **(See Remarks on the end page of this Appendix)
	Adequate modern drafting and computing facilities; minimum 2 nos. CAD terminals. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 2 for list of typical equipment/tools and testing instruments required.
	5. Office/Workshop Facilities
	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 110 m² and the minimum workshop + storage area shall be 50 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
B. Confirmation	B. Confirmation
The following shall only be applicable to existing Group I probationary contractor:	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and
(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	(2) This contract shall be direct contract, which was signed between the applicant and the
(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	employer of the concerned project; and (3) The scope of work for this contract must fulfill all the following requirements:
(3) The scope of work for this contract must fulfill all the following requirements:	(a) Contract value of the work related to the category under application for confirmation exceeds Group I tender limit; and
(a) Contract value of the work related to the category under application for confirmation exceeds 50% of Group I tender limit; and	(b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and
(b) The contract shall cover the nature of works as described in the "Brief Scope of Category"; and	(c) The air-conditioning installation shall be of central type, which shall include
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Minimum Technical & Management Criteria for Admission	, Confirmation and Promotion of Contractors for the Specialist List

		Group I			Group II		
	(c)	Installation of window type air-conditioners will not be considered as valid job reference: and			chiller, chilled water pipeworks, pumps, air-handling equipment, etc., and the cooling capacity of the chillers is not less than 1000kW; and		
	(d)	If the contract is a term contract, all of the above requirements 3 (a) to 3 (c) shall be fulfilled in one works order.		(d) The contract shall be of a completely new building construction or a whole building refurbishment; and		
(4)	Subo	contract will not be considered as valid job reference unless it can satisfy all of the wing requirements, in addition to all the above stated requirements:		(e) If the contract is a term contract, all of the above requirements 3 (a) to 3 (d) shall be fulfilled in one works order.		
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	(4)	1	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:		
	(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and		(The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and		
	(c)	The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the		(The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and		
	(d)	concerned project; and The aforementioned main contractor shall not be the air-conditioning installation			(c)	which is the company awarded with the direct contract by the employer	The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and
		contractor for the project work.		(d) The aforementioned main contractor shall not be the air-conditioning installation contractor for the project work.		
		C. Direct Entry			C. Direct Entry		
1. J	ob Exp	perience	Not	Ap	plicable		
Gene	<u>eral</u>						
(1)	The categ	applicant shall have adequate experience in the type and size of work of the gory, with satisfactory quality of work compatible with Government standard; and					
(2)	cates	applicant shall have adequate experience in contract management of work of the gory, solely and fully, including coordination with building contractor and other ling services / electrical and mechanical contractors; and					
(3)	СМЇ	ob experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the H must also be produced to the satisfaction of the Secretary for the Environment, sport and Works; otherwise, it will not be considered as a valid job reference.					
shal	Refere l fulfil rence)	nce for Inspection (In addition to the above, every job submitted by the applicant all requirements set out below; otherwise, it will not be considered as a valid job					
(4)	The year	applicant shall submit at least 2 contracts, which were completed within the past 3 s for site inspection; and					

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		Group I	Group II
(5)		ast one of the above 2 contracts shall be completed within the past 12 months or at stage; and	
(6)	These the er	e 2 contracts shall be direct contract, which was signed between the applicant and imployer of the concerned project; and	
(7)	exam	applicant shall be able to arrange joint site inspections for the government's ination of the quality and workmanship of the submitted job reference within al office hours; and	
(8)	The s	scope of work for each of these 2 contracts must fulfill all of the following rements:	
	(a)	Contract value of the work related to the category under application for inclusion exceeds 50% Group I tender limit; and	
	(b)	The contract shall cover the nature of works as described in the "Brief Scope of Category"; and	
	(c)	Installation of window type air-conditioners will not be considered as valid job reference.	
(9)		ontract will not be considered as valid job reference unless it can satisfy all of the wing requirements, in addition to all the above stated requirements:	
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	
	(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	
	(c)	The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and	
	(d)	The aforementioned main contractor shall not be the air-conditioning installation contractor for the project work; and	
	(e)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and	
	(f)	Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the	,
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Group I	Group II
authenticity of the proof provided by the applicant from the relevant parties of concerned project.	the
2. Registration with relevant authorities in Hong Kong (such as Quality Manageme System Certification, Building Authority etc.)	nt
Statutory Registration	
The applicant shall be	
a Registered Specialist Contractor in the Ventilation Sub-register under Buildings Ordinance, Cap. 123; and	
 a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406; and 	
have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".	
3. Technical Staff (See Annex 1 for definition of Staff Qualification)	
Technical Support Staff	
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate a relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no.	nd
<u>Craftsman</u>	
Employ adequate nos. of craftsman with adequate relevant experience in the follow trades (A) Plumber/AC Mechanic Minimum score required Qualified Plumber/AC Mechanic Intermediate Plumber/AC Mechanic Ordinary Plumber/AC Mechanic 8 marks	ng
(B) Electrician Minimum score required Qualified Electrician Intermediate Electrician Ordinary Electrician 20 marks 15 marks 8 marks	
Statutory Registration	
The applicant shall have staff meeting the following statutory registration requirements	

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Ī	Group I	Group II	
ſ	Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap.		
	406. Grade A2 − 1 no. (minimum) ⇒ Licensed Plumber (LP) registered under Waterworks Ordinance, Cap. 102 − 1 no.		
	(minimum)		
	The LP and REW can be the Engineer, Technical Support Staff or Craftsman.		
	Qualified Welder		
	Employ adequate nos. of Qualified Welder (QW)		
	QW 1 no.		
	The QW can be the Qualified Engineer, Technical Support Staff or Craftsman.		
I	4. Plant and Equipment **(See Remarks on the end page of this Appendix)		See Note in Page No. 234
	Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal.		
	Adequate nos. of calibrated testing instruments and equipment/tools for carrying out		
ı	installation, operation, maintenance and testing/commissioning services; valid calibration certificates where applicable for testing instruments shall be available. Refer to Annex		
l	2 for list of typical equipment /tools and testing instruments required.		
	5. Office/Workshop Facilities		
	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably		
	furnished office and workshop/storage areas. In general, the minimum office area shall be		
	50 m ² and the minimum workshop + storage area shall be 30 m ² . Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.		
	(e.g. purchase/lease agreement) to prove right of use shall be produced.		
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Technical
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Confirmation a
and Promotion
of Contractors
for the Speciali
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Managing Department:	Architectural Services Department
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Works Category: Audio Electronics Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of power amplifier, mixing amplifier, cassette tape deck, loudspeaker, microphone etc. commonly used for public address system, intercom system, language laboratory system, simultaneous interpretation system and audio system at different venues.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

*See Remarks (1) on the end page of this Appendix.

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fulfill all of the following requirements:

Contract value of the work related to the

fulfill all of the following requirements:

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	A. Entry on Probation		B. Confirmation		C. Direct Entry
1. Job	Experience			1. Jol	b Experience
Gener	<u>al</u>	past	applicant has satisfactorily completed, within the 12 months, at least one public works contract let by orks Department; and	Gener	<u>al</u>
(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	(2) This betw	s contract shall be direct contract, which was signed een the applicant and the employer of the erned project; and	(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and		escope of work for this contract must fulfill all the wing requirements: Contract value of the work related to the category under application for confirmation exceeds \$0.2M; and	(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	(b) (c)	The contract shall cover the nature of works as described in the "Brief Scope of Category"; and If the contract is a term contract, all of the above requirements (a) shall be fulfilled in one works	(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
job sı set oı	<u>Reference for Inspection</u> (In addition to the above, every ubmitted by the applicant shall fulfill all requirements at below; otherwise, it will not be considered as a valid eference)	ret	order. bbcontract will not be considered as valid job ference unless it can satisfy all of the following quirements, in addition to all the above stated	job su set ou	<u>Reference for Inspection</u> (In addition to the above, every abmitted by the applicant shall fulfill all requirements at below; otherwise, it will not be considered as a valid efference)
(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	rec (a)	The subcontract shall include all the relevant work as described under the "Brief Scope of	(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	(b)	Category" covered in the main contract; and The applicant shall have undertaken the full scope of work covered in the subcontract, solely	(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	(c)	and fully, including contract management; and The party by which the applicant is awarded with the subcontract, and the upstream	(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
(7)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and		contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the audio electronics installation contractor for the project	(7)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
(8)	The scope of work for each of these 2 contracts must		work.	(8)	The scope of work for each of these 2 contracts must

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		A. Entry on Probation	B. Confirmation		C. Direct Entry
	(a)	Contract value of the work related to the category under application for inclusion exceeds \$0.2M; and			category under application for inclusion exceeds \$0.5M; and (b) The contract shall cover the nature of works as
	(b)	The contract shall cover the nature of works as described in the "Brief Scope of Category".		(9)	described in the "Brief Scope of Category". Subcontract will not be considered as valid job reference unless it can satisfy all of the following
(9)	refe requ	contract will not be considered as valid job rence unless it can satisfy all of the following irrements, in addition to all the above stated			requirements, in addition to all the above stated requirements:
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of			(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b)	Category" covered in the main contract; and The applicant shall have undertaken the full			(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c)	scope of work covered in the subcontract, solely and fully, including contract management; and The party by which the applicant is awarded			(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main
	(6)	with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the audio electronics installation contractor for the project			contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the audio electronics installation contractor for the project work; and
	(d)	work; and The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met			(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
	(e)	Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.			(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.

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L	A. Entry on Probation	B. Confirmation	C. Direct Entry
2	2. Technical Staff (See Annex 1 for definition of Staff Qualification)		2. Technical Staff (See Annex 1 for definition of Staff Qualification)
	Technical Support Staff		Technical Support Staff
	Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
	Technician 1 no. Site supervisor 1 no. Draftsman 1 no.		Technician 1 no. Site supervisor 1 no. Draftsman 1 no.
	<u>Craftsman</u>		<u>Craftsman</u>
	Employ adequate nos. of Electronics Craftsman Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks		Employ adequate nos. of Electronics Craftsman Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks
3	3. Plant and Equipment **(See Remarks on the end page of this Appendix)		3. Plant and Equipment **(See Remarks on the end page of this
	Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 3 for list of typical equipment/tools and testing instruments required.		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 3 for list of typical equipment/tools and testing instruments required.
2	l. Office/Workshop Facilities		4. Office/Workshop Facilities
	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
5	5. Others		5. Others
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Minimum Technical & Management Criteria for Admissio	n, Confirmation and Promotion of Contractors for the Specialist List
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A. Entry on Probation	B. Confirmation	C. Direct Entry
Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.		Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.
Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.		Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Managing Department:	Architectural Services Department	
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Works Category: Broadcast Reception Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of TV/FM aerials, pre-amplifiers, broadband/channel amplifiers, distribution amplifiers, combining units, tee-units, splitter units, signal cables and TV/FM outlets, etc. for building and amenity projects.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

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Not applicable for new application for admission. (Only applicable for existing probationary contractor)

*See Remarks (1) on the end page of this Appendix

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A. Entry on Probation	B. Confirmation	C. Direct Entry
Not Applicable	The following shall only be applicable to existing probationary contractor:	1. Job Experience General
	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government
	(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	standard; and (2) The applicant shall have adequate experience in contract management of work of the category, solely
	(3) The scope of work for this contract must fulfill all the following requirements:	and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
	(a) Contract value of the work related to the category under application for confirmation exceeds \$0.1M; and	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the
	(b) The contract shall cover the nature of works as described in the "Brief Scope of Category"; and	Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
	(c) If the contract is a term contract, all of the above requirements 3(a) and 3(b) shall be fulfilled in one works order.	Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)
	(4) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
	(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with	(7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
	the direct contract by the employer of the concerned project, all shall not be the broadcast reception installation contractor for the project	(8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:
	work.	(a) Contract value of the work related to the

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Appendix 3C

category under application for inclusion exceeds \$0.25M; and (b) The contract shall cover major scope of works a described in the "Brief Scope of Category". (9) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements. in addition to all the above stated requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and fully including contract management; and and fully, including contract management; and contractive, which is the company awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with concerned project, all shall not be the broadcast concerned project, all shall not be the broadcast reception installation contractor for the project work; and (d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as the substantiated by all the relevant upstream contracting parties as them, which must be signed by their anthorized signatories to confirm that the applicant has met the above requirements in the subcontract; and (e) Notwithstanding the above, the Managing Department may seek references on the applicant sechined and managerial capabilities from the employer, the relevant parties of the concerned project, and so the concerned project.	A. Entry on Probation	B. Confirmation	C. Direct Entry	
described in the "Brief Scope of Category". (9) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, an addition to all the above stated requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The party by which the applicant is awarded with the subcontract, and the upstream contractor, which is the company awarded with the subcontract, and the upstream contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the broadcast reception installation contractor for the project work; and (d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant its net the applicant is net hand, and the applicant is rechained and managenal capabilities from the employer, the relevant parties of the concerned project, and other sources or confirmation on the authenticity of the proof provided by the applicant for the relevant the re				ceeds
reference unless it can satisfy all of the following requirements; (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract; solely and fully, including contract management; and (c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the broadcast reception installation contractor for the project work; and (d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements; in the subcontract; and (e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant			(b) The contract shall cover major scope of we described in the "Brief Scope of Category"	orks as '.
work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the broadcast reception installation contractor for the project work; and (d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as a mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and (e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant			reference unless it can satisfy all of the following requirements, in addition to all the above stated	5
scope of work covered in the subcontract, solely and fully, including contract management; and (c) The party by which the applicant is awarded with the subcontract, and the upstream contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the broadcast reception installation contractor for the project work; and (d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and (e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant			work as described under the "Brief Scope	of
with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the broadcast reception installation contractor for the project work; and (d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and (e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant			scope of work covered in the subcontract,	solely
evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and (e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant			with the subcontract, and the upstream contracting parties up to and including the contractor, which is the company awarded the direct contract by the employer of the concerned project, all shall not be the broa reception installation contractor for the pro	main with dcast
Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant			evidence to prove the above requirements met and such evidence must be substantiat all the relevant upstream contracting partie mentioned at (c) above including letters for them, which must be signed by their autho signatories to confirm that the applicant ha	ed by es as om rized as met
			Department may seek references on the applicant's technical and managerial capab from the employer, the relevant parties of concerned project and other sources or confirmation on the authenticity of the proprovided by the applicant from the relevant	the of

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		2. Technical Staff (See Annex 1 for definition of Staff Qualification)
		Technical Support Staff
		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
		Technician 1 no. Site supervisor 1 no. Draftsman 1 no.
		Craftsman
		Employ adequate nos. of craftsman in the following trades
		(A) Electrician/BS Mechanic/Electronics Craftsman
		Minimum score required 20 marks Qualified Electrician/BS Mechanic/ Electronics Craftsman 20 marks Intermediate Electrician/BS Mechanic Craftsman 15 marks Ordinary Electrician/BS Mechanic/ Electronics Craftsman 8 marks
		3. Plant and Equipment**(See Remarks on the end page of this Appendix)
		Adequate modern drafting and computing facilities, minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 4 for list of typical equipment/tools and testing instruments required.
		4. Office/Workshop Facilities
		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m ² and the minimum workshop + storage area shall be 10 m ² . Documentary evidence
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Revision B-10 dated 26 March 2013

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry
		(e.g. purchase/lease agreement) to prove right of use shall be produced.

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Managing Department:	Architectural Services Department			
Works Category:	Burglar Alarm and Security Installation	Specialist Contractor		
Brief Scope of Category				
communication network, tamper switch, detection s	ystem comprising of motion detector, magnetic door contact, g	sisting of card access control, exit control lock, duress push button, ass break detector, surveillance system comprising of closed circuit		
television, digital video m	otion detector, watchman tour system, etc. where applicable for	building and amenity projects.		
Definition of Probations	ry Status [#] (Para. 3.5.3 is not applicable for this category)			matra .
Definition of Flobationa	ry Status (r ara. 3.3.3 is not applicable for this category)			刪除: *
Eligible for the award of	a maximum of two contracts/subcontracts provided that the tot	al value of works in this category does not exceed \$3.4 million		格式化: 上標
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	application for admission. (Only applicable for existing probation)	nary contractor)	1.	刪除: See Notes (1) and (2) on
See Remarks (1) on the e	nd page of this Appendix,		1	Page 234 of this Appendix
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Appendix 3C		Page 23 of <u>249</u>	r"	

A. Entry on Probation	B. Confirmation C. Direct Entry	
Not Applicable.	The following shall only be applicable to existing	1. Job Experience General
	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government
	(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	standard; and (2) The applicant shall have adequate experience in contract management of work of the category, solely
	(3) The scope of work for this contract must fulfill all the following requirements:	and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
	(a) Contract value of the work related to the category under application for confirmation exceeds \$0.5M; and	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the
	(b) The contract shall cover the nature of works as described in the "Brief Scope of Category"; and	Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
	(c) If the contract is a term contract, all of the above requirements 3(a) and 3(b) shall be fulfilled in one works order.	<u>Job Reference for Inspection</u> (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)
	(4) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
	(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main	(7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
	contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the burglar alarm and security installation contractor for the	(8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:
	project work.	(a) Contract value of the work related to the

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Appendix 3C

A. Entry on Probation	B. Confirmation		C. Direct Entry
			category under application for inclusion exceeds \$1M; and
			(b) The contract shall cover major scope of works as described in the "Brief Scope of Category".
		(9)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
			(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
			(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
			(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the burglar alarm and security installation contractor for the project work; and
			(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
			(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.

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Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry
		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
		Statutory Registration
		The applicant shall be a Licensed Security Company engaged in Type III Security Work under Security and Guarding Services Ordinance, Cap. 460 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
		3. Technical Staff (See Annex 1 for definition of Staff Qualification)
		Qualified Engineer
		Employ at least 1 no. qualified engineer (Electronics, Building Services or Electrical discipline) with adequate and relevant professional and project management experience. [This requirement may be waived with the applicant having adequate nos. of degree holder technicians stated below.]
		Technical Support Staff
		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Degree holder Technician with minimum 5 years post-qualification experience. Nil for applicant with Qualified Engineer. 2 for applicant without Qualified Engineer.
		Site supervisor 1 no. Draftsman 1 no.
		Craftsman
		Employ adequate nos. of craftsman in the following trades
		(A) Electrician/BS Mechanic/Electronics Craftsman
		Minimum score required 20 marks
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Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry
		Qualified Electrician/BS Mechanic/ Electronics Craftsman 20 marks Intermediate Electrician/BS Mechanic/ Electronics Craftsman 15 marks Ordinary Electrician/BS Mechanic/ Electronics Craftsman 8 marks
		<u>Statutory Registration</u> The applicant shall have staff meeting the following statutory registration requirements:
		♦ Security Personnel (SP) holding Security Personnel Permit (Cat. D) issued under Security and Guarding Services Ordinance, Cap 460 – 2 nos. (minimum)
		The SP can be the Qualified Engineer, Technical Support Staff or Craftsman.
		4. Plant and Equipment**(See Remarks on the end page of this Appendix)
		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 5 for list of typical equipment/tools and testing instruments required.
		5. Office/Workshop Facilities
		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 10 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
		6. Others
		Authorized Agency Be an authorized agent/distributor in HKSAR for the
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Minimum Technical & Man	agement Criteria for Admission	. Confirmation and Promotion of Contractors for the S	pecialist List	Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry
		major equipment to be offered. Documentary evidence such as authorized agency/distributorship agreements shall be available.
		24-hour Emergency Service Centre Available for fault attendance. The service shall be effective, e.g. the fault call can be acknowledged immediately and maintenance personnel can arrive at the fault location within 1 hour.

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Managing Department:	Architectural Servi	ces Department						
Works Category:	Catering Equipme	nt Installation			Specialist Contractor			
Brief Scope of Category:	:							
exhaust hood, steam and h	hot water equipment, reea, including cooker, co	efrigeration and food stooking range, food war	orage facilities, etc mer, steamer, fryer,	for use in commercial hot plate, oven, water	gas cooking equipment, sinks l kitchen, restaurant and cante heater, sink, hot cabinet, free	een in buildings		
Definition of Probationa	nry Status [#] (Para. 3.5.	3 is not applicable for	this category)					
Eligible for the award of	f a maximum of two co	ntracts/subcontracts pre	ovided that the tota	l value of works in this	s category does not exceed \$3	3.4 million		
							ر /	刪除: *=
Not applicable for new ap			existing probations	ary contractor)			''راز از الد	格式化: 上標
* See Remarks (1) on the ex	end page of this Append	<u>lix,</u>					_//	Page 234 of this Appendix
							,	刪除: 234
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A. Entry on Probation	B. Confirmation	C. Direct Entry		
Not Applicable	The following shall only be applicable to existing probationary contractor:	1. Job Experience		
	 The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and All statutory forms such as the Work Completion (Part 	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building		
	of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and	contractor and other building services / electrical and mechanical contractors; and		
	(4) The scope of work for this contract must fulfill all the following requirements:	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the		
	(a) Contract value of the work related to the category under application for confirmation exceeds \$0.5M; and	Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.		
	(b) The contract shall cover the nature of works as described in the "Brief Scope of Category"; and	Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)		
	(c) The contract shall be for new building project or for a completely renovated commercial kitchen in restaurant, canteen, etc. Partial renovation or fitting-out works in an existing commercial kitchen are not acceptable; and	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and		
	(d) If the contract is a term contract, all of the above requirements 4 (a) and 4 (c) shall be fulfilled in one works order.	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and		
	(5) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and		
	requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of	(7) All statutory submissions to Gas Authority and statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall		
	Category" covered in the main contract; and	be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site		
	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	inspections for the government's examination of the quality and workmanship of the submitted job		
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A. Entry on Probation	B. Confirmation	C. Direct Entry	
	(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the catering	reference within normal office hours; and (9) The scope of work for each of these 2 contracts must fulfill all of the following requirements: (a) Contract value of the work related to the	
	equipment installation contractor for the project work.	category under application for inclusion exceeds \$1M; and (b) The contract shall cover major catering	
		equipment installation related to the category; and (c) The contract shall be for new building project or	
		for a completely renovated commercial kitchen in restaurant, canteen, etc. Partial renovation or fitting-out works in an existing commercial kitchen are not acceptable.	
		(10) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:	
		(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	
		(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	
		(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the catering equipment installation contractor for the project work; and	
		(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from	

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Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry
		them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
		(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
		Statutory Registration The applicant shall be
		3. Technical Staff (See Annex 1 for definition of Staff Qualification)
		Technical Support Staff
		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
		Technician 1 no. Site supervisor 1 no. Draftsman 1 no.
		<u>Craftsman</u>
		Employ adequate nos. of craftsman in the following trades (A) BS Mechanic/Mechanical Fitter/Electrician

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Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry
		Minimum score required 20 marks
		Qualified BS Mechanic/Mechanical Fitter/Electrician 20 marks
		Intermediate BS Mechanic/Mechanical Fitter/Electrician 15 marks
		Ordinary BS Mechanic/Mechanical Fitter/Electrician 8 marks
		Statutory Registration The applicant shall have staff meeting the following statutory registration requirements:
		 Registered Gas Installer (RGI) registered under Gas Safety Ordinance, Cap. 51. Gas Installation Work − 1 no. (minimum) (The RGI(s) shall hold licences covering gas installation work Class 1 to 7 collectively) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 − 1 no. (minimum)
		The RGI and REW can be the Technical Support Staff or Craftsman.
		4. Plant and Equipment **(See Remarks on the end page of this Appendix)
		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 6 for list of typical equipment/tools and testing instruments required.
		5. Office/Workshop Facilities
		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m ² and the minimum workshop + storage area shall be 10 m ² . Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.

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Managing Department: Highways Department

Works Category : Design, Manufacture and Installation of Glass (or Fibre) Reinforced Plastic Units **Specialist Contractor**

Brief Scope of Category:

The scope of work in this category covers the design, manufacture and installation of glass reinforced plastic units or plastic units reinforced with other fibre for highway structures works.

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nt Criteria for Admission. Confirmation and Promotion of Contractors for the Specialist I

Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects in the design, manufacture and installation of glass (or fibre) reinforced plastic products in highway structures in the past 3 years, each with a value of \$0.2 M or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

Not Applicable

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Design staff with at least 3 years experience in the field of glass (or fibre) reinforced plastic.

Local workforce capable of installing the glass (or fibre) reinforced plastic units.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

- a. Mechanical fibre/resin mixer.
- b. Hoisting facility.
- c. Carpenter's equipment (saw, drill etc.).
- d. Heat curing chamber.
- e. Spray machine (chopped fabric, gelcoat etc.).
- f. Air compressor for spray gun.

6. Office/Workshop Facilities

Local office in Hong Kong is required. The applicant must possess a factory with equipment listed above and adequate testing and quality control facilities.

7. Training Facilities

Not Applicable

8. Others

Acceptable design, manufacturing and installation procedures and fully documented quality assurance system. Raw materials used must comply with relevant British Standards or other equivalent national standards. A certificate from an approved laboratory to confirm that the materials used meet the requirements stipulated in BS 2782 & BS 4549.

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Minimum Technical & Managem	ent Criteria for Admission	. Confirmation and Promotion of	of Contractors for the Specialist Li

Managing Department:	Architectural Services Department	
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Works Category: Diesel Generator Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of diesel generator and associated installations such as fuel tank, switchboard, cable, earthing etc.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

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Not applicable for new application for admission. (Only applicable for existing probationary contractor)

**See Remarks (1) on the end page of this Appendix.

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A. Entry on Probation	B. Confirmation C. Direct Entry
Not Applicable.	The following shall only be applicable to existing probationary contractor: 1. Job Experience
Not Applicable.	The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and
	(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
Appendix 3C	project, all shall not be the diesel generator Page 37 of 249

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A. Entry on Probation	B. Confirmation	C. Direct Entry	
	installation contractor for the project work.	(9) The scope of work for each of these 2 contracts must fulfill all of the following requirements:	
		(a) The contract shall cover the scope of works as described in the "Brief Scope of Category"; and	
		(b) The rating of the diesel generator of the job reference shall be 300kVA or higher.	
		(10) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:	
		(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	
		(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	
		(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the diesel generator installation contractor for the project work; and	
		(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and	
		(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof	

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		provided by the applicant from the relevant parties of the concerned project.
		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
		Statutory Registration
		The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
		3. Technical Staff (See Annex 1 for definition of Staff Qualification)
		Qualified Engineer
		Employ at least 1 no. qualified engineer (Mechanical, Electrical or Building Services discipline) with adequate and relevant project management experience.
		Technical Support Staff
		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
		Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no.
		<u>Craftsman</u>
		Employ adequate nos. of craftsman in the following trades
		(A) Mechanical Fitter
		Minimum score required 20 marks Qualified Mechanical Fitter 20 marks Intermediate Mechanical Fitter 15 marks Ordinary Mechanical Fitter 8 marks

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Appendix 3C

	A. Entry on Probation	B. Confirmation	C. Direct Entry
			(B) Electrician Minimum score required 20 marks Qualified Electrician 20 marks Intermediate Electrician 15 marks Ordinary Electrician 8 marks
			Statutory Registration The applicant shall have staff meeting the following statutory registration requirements:
			The REW can be the Qualified Engineer, Technical Support Staff or Craftsman. 4. Plant and Equipment **(See Remarks on the end page of this Appendix)
			Adequate modern drafting and computing facilities, minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 7 for list of typical equipment/tools and testing instruments required.
			5. Office/Workshop Facilities Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 40 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
	r. 00		Authorized Agency Preferably being an authorized agent in HKSAR for
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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List	Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry
		essential equipment. Documentary evidence such as authorized agency agreements shall be available.
		Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Managing Department:	Architectural Services Depart	ment
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Works Category: Electrical and Mechanical Installation for Sewage Treatment and Screening Plant Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers design, supply, installation and maintenance of electrical and mechanical plant for sewage pumping stations, sewage screening & degritting plant and primary/secondary sewage treatment works.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$34 million

* See Remarks (1) on the end page of this Appendix.

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	A. Entry on Probation		B. Confirmation		C. Direct Entry	
1. Jol	Experience			1. Job Experience		
Gene	<u>al</u>	(1)	The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	Gene	<u>oral</u>	
(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	(2)	This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	
(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and	(3)	All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and The scope of work for this contract must fulfill all the following requirements:	(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and	
(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.		(a) Contract value of the work related to the category under application for confirmation exceeds \$5M; and	(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	
job s set o	deference for Inspection (In addition to the above, every abmitted by the applicant shall fulfill all requirements at below; otherwise, it will not be considered as a valid eference)		 (b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and (c) If the contract is a term contract, all of the above requirements 4 (a) and 4 (b) shall be fulfilled in 	job s set o	Reference for Inspection (In addition to the above, every submitted by the applicant shall fulfill all requirements out below; otherwise, it will not be considered as a valid reference)	
(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	(5)	one works order. Subcontract will not be considered as valid job reference unless it can satisfy all of the following	(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	
(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and		requirements, in addition to all the above stated requirements:	(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	
(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and		(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	
(7)	All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and		 (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The subcontract shall be signed between the 	(7)	All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and	
(8)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and		applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project.	(8)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	

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authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and

Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the

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Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof

provided by the applicant from the relevant parties of the concerned project.

		A. Entry on Probation	B. Confirmation			C. Direct Entry
(9)		scope of work for each of these 2 contracts must ll all of the following requirements:		(9)		scope of work for each of these 2 contracts must all of the following requirements:
	(a)	Contract value of the work related to the category under application for inclusion exceeds \$5M; and			(a)	Contract value of the work related to the category under application for inclusion exceeds \$10M; and
	(b)	The contract shall cover major scope of works as described in the "Brief Scope of Category".			(b)	The contract shall cover major scope of works as described in the "Brief Scope of Category".
(10)	refer requ	contract will not be considered as valid job vence unless it can satisfy all of the following irements, in addition to all the above stated irements:		(10)	refere requi	ontract will not be considered as valid job ence unless it can satisfy all of the following rements, in addition to all the above stated rements:
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and			(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and			(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c)	The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and			(c)	The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and
	(d)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which			(d)	The aforementioned main contractor shall not be the electrical and mechanical installation contractor for sewage treatment and screening plant of the project work; and
		must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and			(e)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which
	(e)	Notwithstanding the above, the Managing				must be signed by the main contractor's

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
Statutory Registration		Statutory Registration
The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406; and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".		The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406; and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
3. Technical Staff (See Annex 1 for definition of Staff Qualification)		3. Technical Staff (See Annex 1 for definition of Staff Qualification)
Qualified Engineer		Qualified Engineer
Employ at least 1 no. qualified engineer (Mechanical or Electrical discipline) with adequate and relevant project management experience.		Employ at least 1 no. qualified engineer (Mechanical or Electrical discipline) with adequate and relevant project management experience.
Technical Support Staff		Technical Support Staff
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
Technician 2 nos. Site supervisor 4 nos. Draftsman 2 nos.		Technician 2 nos. Site supervisor 4 nos. Draftsman 2 nos.
<u>Craftsman</u>		<u>Craftsman</u>
Employ adequate nos. of craftsman in the following trades		Employ adequate nos. of craftsman in the following trades
(A) Mechanical Fitter Minimum score required 30 marks		(A) Mechanical Fitter Minimum score required 30 marks

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A. Entry on Probation	B. Confirmation	C. Direct Entry
Qualified Mechanical Fitter 20 marks		Qualified Mechanical Fitter 20 marks
Intermediate Mechanical Fitter 15 marks		Intermediate Mechanical Fitter 15 marks
Ordinary Mechanical Fitter 8 marks		Ordinary Mechanical Fitter 8 marks
(B) Electrician		(B) Electrician
Minimum score required 30 marks		Minimum score required 30 marks
Qualified Electrician 20 marks		Qualified Electrician 20 marks
Intermediate Electrician 15 marks		Intermediate Electrician 15 marks
Ordinary Electrician 8 marks		Ordinary Electrician 8 marks
Statutory Registration The applicant shall have staff meeting the following statutory registration requirements: ❖ Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade B0 − 1 no. (minimum) ❖ A Competent Person (CP) appointed under the Factory & Industrial Undertakings (Confined Spaces) Regulations, Cap. 59 as Personnel for working in confined spaces − 1 no. (minimum) ❖ Certified Worker (CW) appointed under the Factory & Industrial Undertakings (Confined Spaces) Regulations, Cap. 59 as Personnel for working in confined spaces − 2 nos. (minimum)		Statutory Registration The applicant shall have staff meeting the following statutory registration requirements: ♦ Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade B0 – 1 no. (minimum) ♦ A Competent Person (CP) appointed under the Factory & Industrial Undertakings (Confined Spaces) Regulations, Cap. 59 as Personnel for working in confined spaces – 1 no. (minimum) ♦ Certified Worker (CW) appointed under the Factory & Industrial Undertakings (Confined Spaces) Regulations, Cap. 59 as Personnel for working in confined spaces – 2 nos. (minimum)
The CP, CW and REW can be the Qualified Engineer, Technical Support Staff or Craftsman.		The CP, CW and REW can be the Qualified Engineer, Technical Support Staff or Craftsman.
Qualified Welder		Qualified Welder
Quantica weider		Employ adequate nos. of Qualified Welder (QW)
Employ adequate nos. of Qualified Welder (QW)		OW 1 no.
QW 1 no.		7 110.
The QW can be the Qualified Engineer, Technical Support Staff or Craftsman.		The QW can be the Qualified Engineer, Technical Support Staff or Craftsman.
4. Plant and Equipment**(See Remarks on the end page of this		4. Plant and Equipment **(See Remarks on the end page of this
Appendix		Appendix)
Adequate modern drafting and computing facilities, minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be		Adequate modern drafting and computing facilities, minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be
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A. Entry on Probation	B. Confirmation	C. Direct Entry
available. Refer Annex 8 for list of typical equipment/tools and testing instruments required.		available. Refer to Annex 8 for list of typical equipment/tools and testing instruments required.
5. Office/Workshop Facilities		5. Office/Workshop Facilities
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 80 m² and the minimum workshop + storage area shall be 70 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 80 m² and the minimum workshop + storage area shall be 70 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
6. Others		6. Others
Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available. Support from Principal		Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available. Support from Principal
Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.		Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Managing Department: Architectural Services Department

Works Category: Electrical Installation Specialist Contractor

Group I: Contracts/Subcontracts up to HK\$2.3M
Group II: Contracts/Subcontracts up to HK\$5.7M
Group III: Contracts/Subcontracts of unlimited value

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of low voltage electrical installation comprising incoming electricity supply, main and sub-main distribution system, final circuits, power points, lighting points, lighting fittings, electrical appliances, lightning protection, earthing system, etc. for building and amenity projects.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Probation to Group I: Not probationary status

Probation to Group II: Eligible for the award of any number of Group I contracts/subcontracts; and a maximum of two Group II contracts/subcontracts

Probation to Group III: Eligible for the award of any number of Group I and Group II contracts/subcontracts; and a maximum of two Group III

contracts/subcontracts provided that the total value of Group III work does not exceed \$20 million

* See Remarks (1) on the end page of this Appendix.

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out below; otherwise, it will not be considered as a valid job reference) (4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference	Group I	Group II	Group III	
(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building, contractor and other building services / electrical and mechanical contractors; and (3) For job experience outside the HKSAR, evidences as stipulated under para. 2-4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference. Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference. Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference.	A. Entry on Probation	A. Entry on Probation	A. Entry on Probation	
(1) The applicant shall have adequate experience in the type and size of work of the category, with stalisfactory quality of work compatible with Government standard; and (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including confirmation with building contractor and other building services / electrical and mechanical contractors, and (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference. Joh Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference. Joh Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference. Joh Reference for Inspection (In addition to the above, every job submitted by the applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference.	Not Applicable	1. Job Experience	1. Job Experience	
and size of work of the category, with satisfactory quality of work compatible with Government standard; and (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference. Iob Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference. Iob Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference. Iob Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference. Iob Reference for Inspection (In addition to the above, every job submitted by the applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference.		General	General	
management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference. Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fuffill all requirements set out below; otherwise, it will not be considered as a valid job reference.) Which is the past of the applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and Which is the past 12 months or at final stage; and		and size of work of the category, with satisfactory quality of work compatible with Government standard;	type and size of work of the category, with satisfactory quality of work compatible with Government standard;	
stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference. Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference) (4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference		management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical	contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and	
job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference) (4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and (6) These 2 contracts shall be completed within the past 12 months or at final stage; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference		stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will	stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will	
were completed within the past 3 years for site inspection; and (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference		job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job	job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job	
within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference		were completed within the past 3 years for site	were completed within the past 3 years for site	
signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference signed between the applicant and the employer of the concerned project; and (7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference		(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and		
Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference		signed between the applicant and the employer of the	signed between the applicant and the employer of the	
inspections for the government's examination of the quality and workmanship of the submitted job reference quality and workmanship of the submitted job reference		Certificate (i.e. Form WR1) shall be issued under the	Certificate (i.e. Form WR1) shall be issued under the	
within normal office hours; and within normal office hours; and		inspections for the government's examination of the	(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	

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Group I		Group II		Group III
		e scope of work for each of these 2 contracts must fill all of the following requirements:	(9)	The scope of work for each of these 2 contracts must fulfill all of the following requirements:
	(a)	Contract value of the work related to the category under application for inclusion exceeds 50% Group II tender limit; and		(a) Contract value of the work related to the category under application for inclusion exceeds 180% of Group II tender limit; and
	(b)	The main incoming switchgear shall have rating of not less than 800A; and		(b) The main incoming switchgear shall have rating of not less than 2500A or 1500 kVA; and
	(c)	The contract shall cover the entire electrical installation from the main incoming switchgear to the final circuits.		(c) The contract shall cover the entire electrical installation from the main incoming switchgear to the final circuits, comprising co-ordination with building contracts and their building.
	unl	ocontract will not be considered as valid job reference ess it can satisfy all of the following requirements, in lition to all the above stated requirements:		with building contractor and other building services / electrical and mechanical contractors; and
	(a)	•		(d) The contract shall be of a completely new building construction or a whole building refurbishment; and
	(b)			(e) At least one of these 2 contracts shall not be work for residential development or engineering plant building.
	(c)		(10)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
	(d)			(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(e)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by		(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
		the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and		(c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and
	(f)	Notwithstanding the above, the Managing Department may seek references on the		(d) The aforementioned main contractor shall not be the electrical installation contractor for the project work; and
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Group I	Group II	Group III
	applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.	(e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and
		(f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
	2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)	2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
	Same requirements as stipulated for "Direct Entry"	Statutory Registration
		The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
		Quality Management System Certification
		Quality Management System certificates issued under the rules of the Hong Kong Certification Body Accreditation Scheme (HKCAS) operated by the Hong Kong Accreditation Service (HKAS); i.e. bearing the HKCAS Accreditation Mark, and Quality Management System certificates bearing the accreditation mark of other accreditation bodies which are considered as having an equivalent standard by the Environment, Transport and Works Bureau.
		The scope of certification shall be relevant to the contractor list being applied for, e.g. "supply, installation and maintenance of electrical installation".
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Group I	Group II	Group III
		If the scope of certification does not include "maintenance", the manual shall have relevant sections for maintenance work that shall include not only post contract service but also service for separate maintenance contract.
	3. Technical Staff (See Annex 1 for definition of Staff Qualification)	3. Technical Staff (See Annex 1 for definition of Staff Qualification)
	Same requirements as stipulated for "Direct Entry"	Qualified Engineer
		Employ at least 1 no. qualified engineer (Electrical or Building Services discipline) with adequate and relevant project management experience
		Technical Support Staff
		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
		Technician 2 nos. Site supervisor 4 nos. Draftsman 2 nos.
		<u>Craftsman</u> Employ adequate nos. of draftsmen in the following trades:
		(A)Electrician Minimum score required Qualified Electrician Intermediate Electrician Ordinary Electrician 80 marks 20 marks 115 marks 8 marks

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Group I	Group II	Group III
		Statutory Registration
		The applicant shall have staff meeting the following statutory registration requirements:
		 Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade C0 − 1 no. (minimum) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade C2 − 2 nos. (minimum) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 − 5 nos. (minimum)
		The REW can be the Qualified Engineer, Technical Support Staff or Craftsman
	4. Plant and Equipment**(See Remarks on the end page of this Appendix)	4. Plant and Equipment**(See Remarks on the end page of this Appendix)
	Same requirements as stipulated for "Direct Entry"	Adequate modern drafting and computing facilities; minimum 2 nos. CAD terminals.
		Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 9 for list of typical equipment/tools and testing instruments required.
	5. Office/Workshop Facilities	5. Office/Workshop Facilities
	Same requirements as stipulated for "Direct Entry"	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 100 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
B. Confirmation	B. Confirmation	B. Confirmation
Not Applicable	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and
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Group I	Group III Group III		Group III	
	(2)	This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	(2)	This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
	(3)	All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and	(3)	All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and
	(4)	The scope of work for this contract must fulfill all the following requirements:	(4)	The scope of work for this contract must fulfill all the following requirements:
		(a) Contract value of the work related to the category under application for confirmation exceeds Group I tender limit; and		(a) Contract value of the work related to the category under application for confirmation exceeds Group II tender limit; and
		(b) The main incoming switchgear shall have rating of not less than 800A; and		(b) The main incoming switchgear shall have rating of not less than 2500A or 1500 kVA; and
		(c) The contract shall cover the entire electrical installation from the main incoming switchgear to the final circuits, comprising co-ordination with building contractor and other building services / electrical and mechanical contractors; and		(c) The contract shall cover the entire electrical installation from the main incoming switchgear to the final circuits, comprising co-ordination with building contractor and other building services / electrical and mechanical contractors; and
		(d) The contract shall be of a completely new building construction or a whole building refurbishment; and		(d) The contract shall be of a completely new building construction or a whole building refurbishment; and
		(e) If the contract is a term contract, all of the above requirements 4 (a) to 4 (d) shall be fulfilled in one works order.		(e) The contract shall not be work for residential development or engineering plant building; and
	(5)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated		(f) If the contract is a term contract, all of the above requirements 4 (a) to 4 (e) shall be fulfilled in one works order.
		requirements: (a) The subcontract shall include all the relevant	(5)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
		work as described under the "Brief Scope of Category" covered in the main contract; and		(a) The subcontract shall include all the relevant work as described under the "Brief Scope of
		(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and		Category" covered in the main contract; and (b) The applicant shall have undertaken the full
		(c) The subcontract shall be signed between the		scope of work covered in the subcontract, solely and fully, including contract management; and
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Group I	Group II	Group III
	applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the electrical installation contractor for the project work.	(c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the electrical installation contractor for the project work.
C. Direct Entry	C. Direct Entry	C. Direct Entry
1. Job Experience	1. Job Experience	Not Applicable
<u>General</u>	General	
(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	
(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and	(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and	
(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	
Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)	Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)	
(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	
(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	
(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the	

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	Group I	Group II	Group III
	concerned project; and	concerned project; and	
(7)	All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and	(7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and	
(8)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	
(9)	The scope of work for each of these 2 contracts must fulfill all the following requirements:	(9) The scope of work for each of these 2 contracts must fulfill all the following requirements:	
	(a) Contract value of the work related to the category under application for inclusion exceeds 50% Group I tender limit; and	(a) Contract value of the work related to the category under application for inclusion exceeds 50% Group II tender limit; and	
	(b) The main incoming switchgear shall have rating of not less than 400A.	(b) The main incoming switchgear shall have rating of not less than 800A; and	
(10)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:	(c) The contract shall cover the entire electrical installation from the main incoming switchgear to the final circuits, comprising co-ordination with building contractor and other building	
	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	services / electrical and mechanical contractors; and	
	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	(d) The contract shall be of a completely new building construction or a whole building refurbishment.	
	(c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and	(10) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:	
	(d) The aforementioned main contractor shall not be the electrical installation contractor for the project work; and	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	
	(e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main	and fully, including contract management; and	
	contractor including a letter, which must be signed by the main contractor's authorized signatory to	(c) The subcontract shall be signed between the applicant and the main contractor which is the	, , , , , , , , , , , , , , , , , , ,

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Spe-	ວecialist List
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Appendix 3C

Group I	Group II	Group III
confirm that the applicant has met the above requirements in the subcontract; and	company awarded with the direct contract by the employer of the concerned project; and	
(f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.	(d) The aforementioned main contractor shall not be the electrical installation contractor for the project work; and (e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and (f) Notwithstanding the above, the Managing Department may seek references on the	
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification,	applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project. 2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification,	
Building Authority etc.) Statutory Registration	Building Authority etc.) Statutory Registration	
The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".	The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".	
3. Technical Staff (See Annex 1 for definition of Staff Qualification)	3. Technical Staff (See Annex 1 for definition of Staff Qualification)	
Technical Support Staff	Technical Support Staff	
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.	Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.	

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Group	Ι	Group	II	Group III	
Technician	1 no.	Technician	2 nos.		
Site supervisor	1 no.	Site supervisor	2 nos.		
Draftsman	1 no.	Draftsman	1 no.		
<u>Craftsman</u> Employ adequate nos. of craftsme	en in the following trades:	<u>Craftsman</u> Employ adequate nos. of craftsme	n in the following trades:		
(A) Electrician Minimum score required Qualified Electrician Intermediate Electrician Ordinary Electrician	20 marks 20 marks 15 marks 8 marks	(A) Electrician Minimum score required Qualified Electrician Intermediate Electrician Ordinary Electrician	40 marks 20 marks 15 marks 8 marks		
Statutory Registration		Statutory Registration			
The applicant shall have staff mee registration requirements:	eting the following statutory	The applicant shall have staff meanight registration requirements:	ting the following statutory		
Registered Electrical Worker Electricity Ordinance, Cap. 4 (minimum)		Electricity Ordinance, Cap (minimum) Registered Electrical Worl Electricity Ordinance, Cap (minimum)	xer (REW) registered under b. 406. Grade B2 – 1 no.		
The REW can be the Technical Su	apport Staff or Craftsman	The REW can be the Technical Su	apport Staff or Craftsman		
4. Plant and Equipment**(See Rems	arks on the end page of this Appendix)	4. Plant and Equipment**(See Rem	arks on the end page of this Appendix)		刪除: See Note in Page No. 234
Adequate modern drafting and con 1 no. CAD terminal.	mputing facilities; minimum	Adequate modern drafting and co 1 no. CAD terminal.	mputing facilities; minimum		刪除: See Note in Page No. 234
Adequate nos. of calibrated testing tools for carrying out installation, testing/ commissioning services; v for testing instruments shall be averaged for list of typical equipment/tools	operation, maintenance and valid calibration certificates ailable. Refer to Annex 9	Adequate nos. of calibrated testin equipment/ tools for carrying out maintenance and testing/ commiss calibration certificates for testing available. Refer to Annex 9 for	installation, operation, sioning services; valid instruments shall be list of typical		
required.		equipment/tools and testing instru	ments required.		刪除: 234
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Group I	Group II	Group III
5. Office/Workshop Facilities	5. Office/Workshop Facilities	
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 10 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/ storage areas. In general, the minimum office area shall be 60 m² and the minimum workshop + storage area shall be 15 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.	

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Managing Department:	Architectural Services Department	
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Works Category: Electronic Timing and Display Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of electronic scoreboard, electronic timing system, time and temperature display system, electronic message system etc. at different venues.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

* See Remarks (1) on the end page of this Appendix,

删除: See Notes (1) and (2) on

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A. Entry on Probation		B. Confirmation C. Direct Entry	
1. Job Experience	(1)		1. Job Experience
General	(1)	The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	General
(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactor quality of work compatible with Government standar and		J	(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and	(3)	The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for confirmation exceeds \$0.2M; and	(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.		 (b) The contract shall cover the nature of works as described in the "Brief Scope of Category"; and (c) If the contract is a term contract, all of the above requirements 3 (a) and 3 (b) shall be fulfilled in 	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
Job Reference for Inspection (In addition to the above, ever job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)		one works order. Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated	Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)
(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and		requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and		Category" covered in the main contract; and (b) The applicant shall have undertaken the full	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage.
(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and		scope of work covered in the subcontract, solely and fully, including contract management; and (c) The party by which the applicant is awarded	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
(7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and		with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the electronic	(7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
(8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:		timing and display Installation contractor for the project work.	(8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:
(a) Contract value of the work related to the			(a) Contract value of the work related to the category under application for inclusion exceeds

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		A. Entry on Probation	B. Confirmation		C. Direct Entry
		category under application for inclusion exceeds \$0.2M; and			\$0.5M; and
	(b)	The contract shall cover the nature of works as described in the "Brief Scope of Category".		(0)	(b) The contract shall cover the nature of works as described in the "Brief Scope of Category".
(9)	refere requi	ontract will not be considered as valid job ence unless it can satisfy all of the following rements, in addition to all the above stated rements:		(9)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and			(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and			(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c)	The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the electronic timing and display installation contractor for the project work; and			(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the electronic timing and display installation contractor for the project work; and
	(d)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and			(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
	(e)	Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.			(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.

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A. Entry on Probation	B. Confirmation	C. Direct Entry
2. Technical Staff (See Annex 1 for definition of Staff Qualification)		2. Technical Staff (See Annex 1 for definition of Staff Qualification)
Technical Support Staff		Technical Support Staff
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
Technician 1 no. Site supervisor 1 no. Draftsman 1 no.		Technician 1 no. Site supervisor 1 no. Draftsman 1 no.
Craftsman		<u>Craftsman</u>
Employ adequate nos. of Electronics Craftsman Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks		Employ adequate nos. of Electronics Craftsman Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks
3. Plant and Equipment **(See Remarks on the end page of this Appendix)		3. Plant and Equipment **(See Remarks on the end page of this Appendix)
Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 10 for list of typical equipment/tools and testing instruments required.		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 10 for list of typical equipment/tools and testing instruments required.
4. Office/Workshop Facilities		4. Office/Workshop Facilities
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
5. Others		5. Others
Authorized Agency		Authorized Agency
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Revision B-10 dated 26 March 2013

Minimum Technical & Management Criteria for Admission	, Confirmation and Promotion of Contractors for the Specialist Lis	st Appendix 3C	
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A. Entry on Probation	B. Confirmation	C. Direct Entry
Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as		Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as
authorized agency agreements shall be available.		authorized agency agreements shall be available.
Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.		Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Managing Department:	Water Supplies Department	
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Works Category : Fabrication of Steel Pipe Specials Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers fabrication of mild steel pipe specials of a size up to that shown below with protective coatings as specified in **Annex 11** for large waterworks projects:-

- a. 1200 mm dia. x 1200 mm dia. x 45° branch
- b. 1200 mm dia. x 2000 mm length
- c. 1200 mm dia. x 1000 mm taper
- d. 1200 mm dia. blank flange

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Appendix 3C

Direct Entry

1. Job Experience

The contractor should possess at least 5 years experience in the fabrication of steel pipe specials for large Waterworks projects including provision of their internal and external protections. The contractor must provide supporting documents to show that steel pipe specials meeting WSD's requirements have been manufactured in his previous projects.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

Not Applicable

3. Top Management *(See Remarks on the end page of this Appendix)

One of the managers should possess minimum qualification of a Higher Certificate in Mechanical/Production Engineering, or equivalent with at least 5 years relevant experience.

4. Technical Staff

A minimum of 2 principal technical persons and 10 skilful workers for carrying out the fabrication work. At least one of the technical persons should possess minimum qualification of a Higher Certificate in Mechanical/Production Engineering or equivalent and with at least 5 years relevant experience.

Flant and Equipment **(See Remarks on the end page of this Appendix)

Not Applicable

6. Office/Workshop Facilities

The contractor should have a workshop in Hong Kong equipped with sufficient and well maintained machinery, equipment, tools and lifting facilities for fabricating, testing and handling steel pipe specials up to 1200mm in diameter. The contractor should allow inspection of all workshop facilities by Government representatives.

7. Training Facilities

Not Applicable

8. Others

The contractor should provide full details of his previous fabrication work to demonstrate his experience and capability.

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			Contractors for the Sp	

Managing Department :	Water Supplies Department	_
Works Category :	Fabrication of Unfired Pressure Vessels	Specialist Contractor
Brief Scope of Categor The scope of work in the vessels and associated for the scope of the sco	is category covers design, supply, manufacture, inspection & testin	g, painting and delivery to the site of steel unfired pressure
vessers and associated i	ittings.	

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Appendix 3C

Direct Entry

1. Job Experience

Possess at least 5 years experience in the fabrication of unfired pressure vessels for large Waterworks projects including provision of their internal and external protection. The contractor must provide supporting documents to show that unfired pressure vessels meeting WSD's requirements have been manufactured in his previous projects.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

Not Applicable

删除: NA

3. Top Management *(See Remarks on the end page of this Appendix)

One of the managers and principal technical staff should possess minimum qualification of Higher Certificate in Mechanical / Production Engineering or equivalent with at least 5 years relevant experience.

4. Technical Staff

Minimum of 2 qualified technical persons as well as sufficient skilful workers for carrying out the fabrication work. At least one of the qualified technical persons should possess minimum qualification of Higher Certificate in Mechanical/Production Engineering or equivalent with at least 5 years relevant experience.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

Not Applicable

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6. Office/Workshop Facilities

A workshop in Hong Kong equipped with sufficient and well maintained machinery, equipment, tools and lifting facilities for fabricating, testing and handling unfired pressure vessels up to 3500mm in diameter as detailed in the **Annex 12**. The contractor should allow inspection of all workshop facilities by Government representatives.

7. Training Facilities

Not Applicable

刪除: NA

8. Others

The contractor should provide full details of his previous fabrication work to demonstrate his experience and capability.

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Managing Department: Architectural Services Department

Works Category: Fire Service Installation Specialist Contractor

Group I: Contracts/Subcontracts up to HK\$2.3M
Group II: Contracts/Subcontracts of unlimited value

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of fire service installations comprising sprinkler system, FH/HR system, manual and automatic fire alarm system, etc. for building and amenity projects.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Probation to Group I : Eligible for the award of a maximum of two Group I contracts/subcontracts

Probation to Group II : Eligible for the award of any number of Group I contracts/subcontracts; and a maximum of two Group II

contracts/subcontracts provided that the total value of Group II works does not exceed \$8.2 million

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Not applicable for new application for admission. (Only applicable for existing Group I probationary contractor)

* See Remarks (1) on the end page of this Appendix

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Group I	Group II
A. Entry on Probation	A. Entry on Probation
Not Applicable	1. Job Experience
	<u>General</u>
	(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
	(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
	<u>Job Reference for Inspection</u> (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)
	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
	(7) All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and
	(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
	(9) The scope of work for each of these 2 contracts must fulfill all of the following requirements:
	(a) Contract value of the work related to the category under application for inclusion exceeds 180% of Group I tender limit; and
	(b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and
	(c) The contract shall be of a completely new building construction project or a whole building refurbishment project.

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Minimum Technical & Management Criteria for Admission.	Confirmation and Promotion of Contractors for the Specialist List
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Group I	Group II
	(10) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and
	(d) The aforementioned main contractor shall not be the fire service installation contractor for the project work; and
	(e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and
	(f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
	2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
	Statutory Registration
	The applicant shall be
	Quality Management System Certification
	Quality Management System certificates issued under the rules of the Hong Kong Certification Body Accreditation Scheme (HKCAS) operated by the Hong Kong

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	of Contractors for the Specialist List

Group I	Group II	
	Accreditation Service (HKAS); i.e. bearing the HKCAS Accreditation Ma Management System certificates bearing the accreditation mark of other a bodies which are considered as having an equivalent standard by the Envi Transport and Works Bureau.	ccreditation
	The scope of certification shall be relevant to the contractor list being app "supply, installation and maintenance of fire service installation".	lied for, e.g.
	If the scope of certification does not include "maintenance", the manual s relevant sections for maintenance work that shall include not only post co but also service for separate maintenance contract.	
	3. Technical Staff (See Annex 1 for definition of Staff Qualification)	
	Qualified Engineer	
	Employ at least 1 no. Qualified Engineer (Mechanical, Building Service discipline) with adequate and relevant professional and project management	es or Electrical ent experience.
	Technical Support Staff	
	Employ adequate nos. of technicians, site supervisors and draftsmen wit relevant academic qualification and working experience.	_
	Technician Site supervisor Draftsman	2 nos. 4 nos. 2 nos.
	Craftsman	
	Employ adequate nos. of craftsman in the following trades	
	(A) Plumber/FS Mechanic Minimum score required Qualified Plumber/FS Mechanic Intermediate Plumber/FS Mechanic Ordinary Plumber/FS Mechanic	40 marks 20 marks 15 marks 8 marks
	(B) Electrician Minimum score required Qualified Electrician Intermediate Electrician Ordinary Electrician	40 marks 20 marks 15 marks 8 marks
	Statutory Registration	
	The applicant shall have staff meeting the following statutory registration Class 3 Registered Fire Service Installation Contractor (RFSIC) re	egistered under
Appendix 3C	Page 7	'2 of <u>249</u>

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist Lis

	Group I	Group II	
		Fire Service Ordinance, Cap. 95 – 1 no. (minimum) Registered Electrical Worker (REW) registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 – 1 no. (minimum) Licensed Plumber (LP) registered under Waterworks Ordinance, Cap. 102. –1 no. (minimum)	
		The LP, REW and RFSIC can be the Qualified Engineer, Technical Support Staff or Craftsman.	
		4. Plant and Equipment **(See Remarks on the end page of this Appendix)	一刪除: See Note in
		Adequate modern drafting and computing facilities; minimum 2 nos. CAD terminals. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 13 for list of typical equipment/tools and testing instruments required.	
		5. Office/Workshop Facilities	
		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 80 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.	
	B. Confirmation	B. Confirmation	
Th	ne following shall only be applicable to existing probationary contractor:	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public	
		works contract let by a Works Department; and	
(1)	The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	works contract let by a Works Department; and (2) This contract shall be direct contract, which was signed between the applicant and the	
	works contract let by a Works Department; and	works contract let by a Works Department; and (2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (3) All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be	
(1)	works contract let by a Works Department; and This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	works contract let by a Works Department; and (2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	
(1)	works contract let by a Works Department; and This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and	works contract let by a Works Department; and (2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (3) All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and (4) The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for	
(1) (2) (3)	works contract let by a Works Department; and This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for confirmation exceeds 50% of Group I tender limit; and	works contract let by a Works Department; and (2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (3) All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and (4) The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for confirmation exceeds Group I tender limit; and (b) The contract shall cover major scope of works as described in the "Brief Scope of	
(1) (2) (3)	works contract let by a Works Department; and This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for	works contract let by a Works Department; and (2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (3) All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and (4) The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for confirmation exceeds Group I tender limit; and (b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and (c) The contract shall be of a completely new building construction or a whole	√ 刪除: 234
(1) (2) (3)	works contract let by a Works Department; and This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for confirmation exceeds 50% of Group I tender limit; and (b) The contract shall cover major scope of works as described in the "Brief Scope"	works contract let by a Works Department; and (2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (3) All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and (4) The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for confirmation exceeds Group I tender limit; and (b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and	刪除: 249
(1) (2) (3) (4)	works contract let by a Works Department; and This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for confirmation exceeds 50% of Group I tender limit; and (b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and (c) The contract shall be of a completely new building construction or a whole	works contract let by a Works Department; and (2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and (3) All statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be issued under the name of the applicant; and (4) The scope of work for this contract must fulfill all the following requirements: (a) Contract value of the work related to the category under application for confirmation exceeds Group I tender limit; and (b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and (c) The contract shall be of a completely new building construction or a whole building refurbishment; and	/

See Note in Page No. 234

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist L	cal & Management Criteria for Admission, Confirmation and Promotion of Contracto	ors for the Specialist Lis
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	Group I	Group II
(5)	 (d) If the contract is a term contract, all of the above requirements 4 (a) to 4 (c) shall be fulfilled in one works order. Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the fire service installation contractor for the project work. 	be fulfilled in one works order. (5) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the fire service installation contractor for the project work.
	C. Direct Entry	C. Direct Entry
1. J	bb Experience	Not Applicable
Gene	<u>ral</u>	
(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and The applicant shall have adequate experience in contract management of work of the	
	category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and	
(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	
Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)		
(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	
(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	
(6)	These 2 contracts shall be direct contract, which was signed between the applicant and	
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Minimum Technical & Management Criteria for Admission,	Confirmation and Promotion of Contractors for the Specialist List
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	Group I	Group II
tl	ne employer of the concerned project; and	
(7) A	all statutory forms such as Form FSI 314, Form FSI 501, Form FSI 251, etc. shall be ssued under the name of the applicant; and	
e	The applicant shall be able to arrange joint site inspections for the government's xamination of the quality and workmanship of the submitted job reference within ormal office hours; and	
	The scope of work for each of these 2 contracts must fulfill all of the following equirements:	
(a) Contract value of the work related to the category under application for inclusion exceeds 50% Group I tender limit; and	
(The contract shall cover major scope of works as described in the "Brief Scope of Category"; and	
(The contract shall be of a completely new building construction project or a whole building refurbishment project.	
	ubcontract will not be considered as valid job reference unless it can satisfy all of the ollowing requirements, in addition to all the above stated requirements:	
(The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	
(The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	
(The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and	
(d) The aforementioned main contractor shall not be the fire service installation contractor for the project work; and	
(The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and	
(Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.	
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Group I	Group II
Registration with relevant authorities in Hong Kong (such as Quality Manager System Certification, Building Authority etc.)	nent
Statutory Registration	
The applicant shall be	Fire
♦ a Registered Electrical Contractor registered under Electricity Ordinance, Cap. and	406;
have full time technical staff (meeting the relevant statutory registration requiremen number not less than that described in the Section "Technical Staff".	t) of
3. Technical Staff (See Annex 1 for definition of Staff Qualification)	
Technical Support Staff	
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate relevant academic qualification and working experience.	and
Technician 2 nos. Site supervisor 2 nos.	
Draftsman 1 no.	
Craftsman	
Employ adequate nos. of craftsman with adequate relevant experience in the followardes	ving
(A) Plumber/ FS Mechanic	
Minimum score required 20 marks Qualified Plumber/FS Mechanic 20 marks	
Intermediate Plumber/FS Mechanic 15 marks	
Ordinary Plumber/FS Mechanic 8 marks	
(B) Electrician Minimum score required 20 marks	
Qualified Electrician 20 marks	
Intermediate Electrician 15 marks Ordinary Electrician 8 marks	
Ordinary Electrician 8 marks	
Statutory Registration	
The applicant shall have staff meeting the following statutory registration requirement	s:
Apparative 20	Daga 70 of 040

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	Group I	Group II	
	♦ Class 3 Registered Fire Service Installation Contractor (RFSIC) registered under		
	Fire Service Ordinance, Cap. 95 – 1 no. (minimum)		
	♦ Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 – 1 no. (minimum)		
	Dicensed Plumber (LP) registered under Waterworks Ordinance, Cap. 102. − 1 no.		
	(minimum)		
	The LP, REW and RFSIC can be the Qualified Engineer, Technical Support Staff or		
	Craftsman.		
	I. Plant and Equipment **(See Remarks on the end page of this Appendix)		Time: See Note in Page No. 234
1	· Tant and Equipment		删除: see rote in ruge ros 25 .
	Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal.		
	Adequate nos. of calibrated testing instruments and equipment/tools for carrying out		
. 1	installation, operation, maintenance and testing/commissioning services; valid calibration		
1	certificates where applicable for testing instruments shall be available. Refer to Annex 13 for list of typical equipment /tools and testing instruments required.		
	13 for list of typical equipment/tools and testing histranicitis required.		
5	5. Office/Workshop Facilities		
	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably		
	furnished office and workshop/storage areas. In general, the minimum office area shall be		
	40 m ² and the minimum workshop + storage area shall be 15 m ² . Documentary evidence		
	(e.g. purchase/lease agreement) to prove right of use shall be produced.		
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Managing Department:	Architectural Services Department	<u>-</u>
Works Category:	Fountain Installation	Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of equipment, piping and fittings for water treatment of fountain pool such as water circulation, filtration, pH control, chemical treatment, fountain lighting installation, etc.

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Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry	
Not Applicable	Not Applicable	1. Job Experience	
		General	
		(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	
		(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and	
		(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	
		Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)	
		(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	
		(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	
		(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	
		(7) All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and	
		(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	

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Appendix 3C

A. Entry on Probation	B. Confirmation	C. Direct Entry	
		(9)	The scope of work for each of these 2 contracts must fulfill all of the following requirements:
			(a) Contract value of the work related to the category under application for inclusion exceeds \$1M; and
			(b) The contract shall cover major scope of works as described in the "Brief Scope of Category".
		(10)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
			(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
			(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
			(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the fountain installation contractor for the project work; and
			(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
			(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof

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	provided by the applicant from parties of the concerned projec 2. Registration with relevant authorities in	t.
	2. Registration with relevant authorities in	
	2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)	
	The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".	
	3. Technical Staff (See Annex 1 for definition of Staff Qualification)	
	Technical Support Staff	
	Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.	
	Technician Site supervisor Draftsman	2 nos. 2 nos. 1 no.
	<u>Craftsman</u>	
	Employ adequate nos. of craftsman in the following trades	
	(A) Plumber/ BS Mechanic	20 1
	Minimum score required Qualified Plumber/BS Mechanic	20 marks 20 marks
	Intermediate Plumber/BS Mechanic	15 marks
	Ordinary Plumber/BS Mechanic	8 marks
	(B) Electrician	
	Minimum score required	20 marks
	Qualified Electrician	20 marks
	Intermediate Electrician	15 marks
	Ordinary Electrician	8 marks
	Statutory Registration	

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B. Confirmation	C. Direct Entry
	The applicant shall have staff meeting the following statutory registered requirements: Registered Electrical Worker (REW) registered
	winder Electricity Ordinance, Cap. 406. Grade A2 − 1 no. (minimum) Licensed Plumber (LP) registered under Waterworks Ordinance, Cap. 102 - 1 no. (minimum)
	The LP and REW can be the Technical Support Staff or Craftsman.
	4. Plant and Equipment **(See Remarks on the end page of this Appendix)
	Adequate modern drafting and computing facilities; minimum 1 no. CAD terminals. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 14 for list of typical equipment/tools and testing instruments required.
	5. Office/Workshop Facilities
	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 10 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.

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Managing Department •	Civil Engineering and Development Department	
managing Department.	Civil Engineering and Development Department	

Works Category Specialist Contractor : Ground Investigation Field Work

 Contracts/Subcontracts up to HK\$ 2.3M
 Contracts/Subcontracts of unlimited value Group I

Group II

Brief Scope of Categories:

The scope of work in this category covers ground investigation field work for Government projects.

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Group I	Group II		
A. Direct Entry	A. Direct Entry		
1. Job Experience Must be able to provide references to ground investigation works (each of value exceeding HK\$0.5M) carried out by the applicant for at least 5 projects in Hong Kong in the 3 years preceding the application and receive no adverse reports, on enquiry by CEDD. These performance reports must be prepared by at least 3 different engineering consultants who have supervised the works.	Must be able to provide references to ground investigation works (each of value exceeding HK\$0.5M) carried out by the applicant for at least 5 projects in Hong Kong in the 3 years preceding the application and receive no adverse reports, on enquiry by CEDD. These performance reports must be prepared by at least 3 different engineering consultants who have supervised the works.		
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Quality Management System certificates issued under the rules of the Hong Kong Certification Body Accreditation Scheme (HKCAS) operated by the Hong Kong Accreditation Service (HKAS); i.e. bearing the HKCAS Accreditation Mark, and Quality Management System certificates bearing the accreditation mark of other accreditation bodies which are considered as having an equivalent standard by the Environment, Transport and Works Bureau.	Quality Management System certificates issued under the rules of the Hong Kong Certification Body Accreditation Scheme (HKCAS) operated by the Hong Kong Accreditation Service (HKAS); i.e. bearing the HKCAS Accreditation Mark, and Quality Management System certificates bearing the accreditation mark of other accreditation bodies which are considered as having an equivalent standard by the Environment, Transport and Works Bureau.		
3. Top Management*(See Remarks on the end page of this Appendix)			删除: See Note in Page No. 234
Not Applicable	Not Applicable		刪除: NA
4. Technical Staff		1	刪除: NA
Must employ sufficient full-time approved personnel who satisfy the minimum requirements as given in Annex 15 .	Must employ sufficient full-time approved personnel who satisfy the minimum requirements as given in Annex 15 .		
5. Plant and Equipment **(See Remarks on the end page of this Appendix)]f	刪除: See Note in Page No. 234
Must own at least four rigs in Hong Kong, and all of them shall be capable of drilling at least 40m through soil or rock. Must own equipment capable of obtaining soil and rock samples, carrying out insitu tests (including SPT, GCO probing, impression packer, rising, falling and constant head permeability, packer (water adsorption), and vane shear) and installing standpipe piezometers (see the minimum requirements on equipment in Annex 16). All equipment should be calibrated and subject to periodic recalibration and maintenance.	Must own at least ten rigs in Hong Kong capable of drilling at least 40m through soil or rock, and at least two of them shall be capable of drilling vertical or inclined holes up to 150m through soil or rock. Must own equipment capable of obtaining soil and rock samples, carrying out insitu tests (including SPT, GCO probing, impression packer, rising, falling and constant head permeability, packer (water adsorption), and vane shear) and installing standpipe piezometers (see the minimum requirements on equipment in Annex 16). All equipment should be calibrated and subject to periodic recalibration and maintenance.		
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6. Office/Workshop Facilities All applicants must possess or set up in Hong Kong a place of business. 7. Training Facilities Not Applicable 8. Others All applicants must possess or set up in Hong Kong a place of business. All applicants must possess or set up in Hong Kong a place of business. Not Applicable	
Not Applicable Not Applicable	
Must have the managerial, professional, technical and other support staff resources to carry out Must have the managerial, professional, technical and other support	
Government contracts on ground investigation. Must have adequate safety and health provisions and measures in accordance with the spirit of Chapter 3 of the Construction Site Safety Manual (CSSM) (Works Bureau). Proper documentation to illustrate this must be available. Must employ at least on a part-time basis one safety officer registered in accordance with the Factories and Industrial Undertakings (Safety Officers and Safety Supervisors) Regulation (FIU(SO&SS)R) with a minimum of 3 years of relevant experience. Must demonstrate competence in carrying out drilling, excavation, sampling, testing, recording of information, description of soil and rock and reporting to CEDD standards. Must maintain HOKLAS (Hong Kong Laboratory Accreditation Scheme) accreditation for selected tests and procedures of ground investigation when the accreditation for these is available from HOKLAS.	Plan Must ed in with a pling, ing to eme)
B. Entry by Promotion	
Same as that required for "Direct Entry".	

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linimum Technical & Management Criteria for Admission. Confirmation and Promotion of Contra	ctors for the Specialist Lis
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Managing Department:	Architectural Services Department	
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Works Category: Industrial Type Electrical Installation

Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of electrical installations that are of high voltage or in specialized locations, typical installations include those in airport, highway, subway, tunnel, workshop, sewage treatment plant, pumping station, mechanical handling system etc., and in industrial environment.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

* See Remarks (1) on the end page of this Appendix,

删除: See Notes (1) and (2) on

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	A. Entry on Probation		B. Confirmation	C. Direct Entry	
1. Jo	o Experience	(1)	The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	1. Jo	ob Experience ral
(1)	The applicant shall have adequate experience in the type and size of work of the category, including high voltage installation, with satisfactory quality of work compatible with Government standard; and	(2)	This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	(1)	The applicant shall have adequate experience in the type and size of work of the category, including high voltage installation, with satisfactory quality of work compatible with Government standard; and
(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and	(3)	All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and The scope of work for this contract must fulfill all the following requirements:	(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.		 (a) Contract value of the work related to the category under application for confirmation exceeds \$0.5M; and (b) The contract shall cover the nature of works as 	(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
job s set o	Reference for Inspection (In addition to the above, every ubmitted by the applicant shall fulfill all requirements ut below; otherwise, it will not be considered as a valid eference)		described in the "Brief Scope of Category"; and (c) If the contract is a term contract, all of the above requirements 4 (a) and 4 (b) shall be fulfilled in one works order.	job s set o	Reference for Inspection (In addition to the above, every submitted by the applicant shall fulfill all requirements ut below; otherwise, it will not be considered as a valid eference)
(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	(5)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated	(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and		requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of	(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and		Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely	(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
(7)	All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and		and fully, including contract management; and (c) The party by which the applicant is awarded with the subcontract, and the upstream	(7)	All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and
(8)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and		contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the industrial type electrical installation contractor for the	(8)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and

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		A. Entry on Probation	B. Confirmation		C. Direct Entry
(9)		scope of work for each of these 2 contracts must l all of the following requirements:	project work.	(9)	The scope of work for each of these 2 contracts must fulfill all of the following requirements:
	(a)	Contract value of the work related to the category under application for inclusion exceeds \$0.5M; and			(a) Contract value of the work related to the category under application for inclusion exceeds \$1M; and
(10)		The contract shall cover the nature of works as described in the "Brief Scope of Category". ontract will not be considered as valid job			(b) The contract shall cover the nature of works as described in the "Brief Scope of Category" and at least one of the 2 contracts shall include high voltage electrical installations.
	requi	ence unless it can satisfy all of the following rements, in addition to all the above stated rements: The subcontract shall include all the relevant		(10)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
	(b)	work as described under the "Brief Scope of Category" covered in the main contract; and The applicant shall have undertaken the full			(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(c)	scope of work covered in the subcontract, solely and fully, including contract management; and The party by which the applicant is awarded			(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
		with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the industrial type electrical installation contractor for the project work; and			(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the industrial type electrical installation contractor for the
	(d) (e)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and Notwithstanding the above, the Managing			project work; and (d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
	ndix 3C	Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or			(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities

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A. Entry on Probation	B. Confirmation	C. Direct Entry
confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.		from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
Statutory Registration		Statutory Registration
The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".		The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
3. Technical Staff (See Annex 1 for definition of Staff Qualification)		3. Technical Staff (See Annex 1 for definition of Staff Qualification)
Qualified Engineer		Qualified Engineer
Employ at least 1 no. qualified engineer (Electrical discipline) with adequate and relevant professional and project management experience.		Employ at least 1 no. qualified engineer (Electrical discipline) with adequate and relevant professional and project management experience.
Technical Support Staff		Technical Support Staff
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no.		Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no.
<u>Craftsman</u>		<u>Craftsman</u>
Employ adequate nos. of craftsman in the following trades		Employ adequate nos. of craftsman in the following trades

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A. Entry on Probation	B. Confirmation	C. Direct Entry
(A) Electrician		(A) Electrician
Minimum score required 40 marks Qualified Electrician 20 marks Intermediate Electrician 15 marks Ordinary Electrician 8 marks		Minimum score required 40 marks Qualified Electrician 20 marks Intermediate Electrician 15 marks Ordinary Electrician 8 marks
Statutory Registration		Statutory Registration
The applicant shall have staff meeting the following statutory registration requirements:		The applicant shall have staff meeting the following statutory registration requirements:
 Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade C2 − 1 no. (minimum) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade H2 − 1 no. (minimum) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 − 3 nos. (minimum) 		 Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade C2 − 1 no. (minimum) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade H2 − 1 no. (minimum) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 − 3 nos. (minimum)
The REW can be the Qualified Engineer, Technical Support Staff or Craftsman		The REW can be the Qualified Engineer, Technical Support Staff or Craftsman
4. Plant and Equipment **(See Remarks on the end page of this Appendix)		4. Plant and Equipment **(See Remarks on the end page of this Appendix)
Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 17 for list of typical equipment/tools and testing instruments		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 17 for list of typical equipment/tools and testing instruments required.
5. Office/Workshop Facilities		5. Office/Workshop Facilities
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 40 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 40 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use
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删除: See Note in Page No. 234

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	A. Entry on Probation	B. Confirmation	C. Direct Entry
	shall be produced.		shall be produced.
6	. Others		6. Others
	Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.		Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.
	Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.		Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Managing Department :	Architectural Services Department	
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Works Category : Land Piling Specialist Contractor

Group I : Contracts/Subcontracts up to HK\$ 3.4 M Contracts/Subcontracts of unlimited value

Brief Scope of Category

The scope of work in this category covers design, supply and installation of registered piling systems on land.

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Minimum Technical & Management Criteria for Admission, C	onfirmation and Promotion of Contractors for the Specialist List

Group I	Group II	
A. Direct Entry	A. Direct Entry	_
 Job Experience At least 3 small to medium size local projects (below \$3M each) for each system. The projects must be completed within the past 5 years. Experience as main contractor. 	 a) At least 3 medium/large size local projects (over \$3M each) for each system. b) The projects must be completed within the past 5 years. c) Experience as main contractor. 	
 Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) The applicant shall be a Registered Specialist Contractor in the Foundation Sub-register under Buildings Ordinance, Cap. 123. 	The applicant shall be a Registered Specialist Contractor in the Foundation Sub-register under Buildings Ordinance, Cap. 123.	
	Quality Management System certificates issued under the rules of the Hong Kong Certification Body Accreditation Scheme (HKCAS) operated by the Hong Kong Accreditation Service (HKAS); i.e. bearing the HKCAS Accreditation Mark, and Quality Management System certificates bearing the accreditation mark of other accreditation bodies which are considered as having an equivalent standard by the Environment, Transport and Works Bureau.	
	The scope of certification shall be relevant to the piling system under application.	
3. Top Management *(See Remarks on the end page of this Appendix) At least one member of the resident top management shall have a minimum of three years local experience in managing a construction firm obtained in the past five years.	At least one member of the resident top management shall have a minimum of five years local experience in managing a construction firm obtained in the past eight years.	
4. Technical Staff At least one person with the following qualifications: a) Higher Certificate in Structural/Civil Engineering from a Hong Kong polytechnic, a Hong Kong recognized training institution or equivalent and two years local working experience in piling works; or b) Ordinary Certificate in Structural/Civil Engineering from a Hong Kong polytechnic, a Hong Kong recognized training institution or equivalent and three years local working experience in piling works.	At least two persons with a relevant degree from a Hong Kong university or equivalent with at least five years post-graduate local experience in piling works.	
5. Plant and Equipment **(See Remarks on the end page of this Appendix) Appropriate equipment for each system. (At least one set for each system).	Appropriate equipment for each system. (At least one set for each system).	刪除: See Note in Page No. 234 刪除: 234 刪除: 249 刪除: 250
Appendix 3C	Page 93 of <u>249</u>	

Minimum Technical & Management Criteria for Admission	n, Confirmation and Promotion of Contractors for the Specialist List
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Group I	Group II
6. Office/Workshop Facilities Local office required. Yard facilities available.	Local office required. Yard facilities available.
7. Training Facilities Not Applicable	Not Applicable
 8. Others Piling system to be registered a) Method statement. b) Typical calculations. c) Acceptable references. d) Satisfactory demonstration on site. 	Piling system to be registered a) Method statement. b) Typical calculations. c) Acceptable references. d) Satisfactory demonstration on site.
	B. Entry by Promotion When the contractor has completed at least 3 numbers medium/large size local projects of value above \$3M each with good references.

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Minimum Technical & Management	Criteria for Admission.	Confirmation and Promotion of	of Contractors for the Specialist Lis

Managing Department :	Lands Department	
Works Category :	Land, Engineering and Hydrographic Survey Services	Specialist Contractor
Brief Scope of Category The scope of work in this	y: s category covers the provision of land, engineering and hydrographic survey services.	

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist Lis

Direct Entry

1. Job Experience

No requirement.

Past experience on government projects is used as reference in tender evaluation and selection, but not for entry requirement.

Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

No requirement.

However, endorsement such as ISO 9001 Certification, will be used as reference in tender evaluation and selection.

3. Top Management *(See Remarks on the end page of this Appendix)

At least one senior member of the firm's permanent full time staff should be a professional member of the HKIS (Land Survey Division) or approved equivalent, with minimum 2 years' post qualification local experience.

Technical Staff

A minimum of two survey technicians should possess ordinary certificate or higher qualification in land or engineering surveying or approved equivalent with minimum one year's local land survey experience.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

The firm should possess some basic equipment such as theodolite, total station, level, EDM, computer system and transport facilities. Special equipment such as echo sounder, laser level, GPS system etc. will be an asset in bidding tender for special survey services.

Office/Workshop Facilities

Not Applicable

7. Training Facilities

Not Applicable

Others

Not Applicable

删除: See Note in Page No. 234

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Minimum Technical & Managem	ent Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist L	ist Appendix 3C			
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	Y			刪除:	[[36]
Managing Department:	Architectural Services Department		4 ·	格式化表格	
			+	格式化	([37]
			4	格式化	[38]
Works Category :	Landscaping	Specialist Contractor		格式化表格	
Class I :	General Landscape Work			格式化	[39]
			4	格式化	[40]
Group I :	Contracts/Subcontracts of value up to HK\$2.3M,		•	刪除: each	
Group II :	Contracts/Subcontracts of unlimited value		``	格式化	[[41]
			,	格式化	([42]
Brief Scope of Catego	ory/Class:		1	删除: General La	ndscape [43]
	•		*//_	格式化	([44]
·	orks includes all three types of:			删除: such a	(
	s (except hydroseeding) such as soiling, minor earthworks, turfing, spri		- /		
	aterial (including ground covers, tress, palms, shrubs, herbaceous, etc.)			/ 刪除: a	
	boricultural maintenance works to vegetation, such as regular inspection to keep the vegetation in healthy growth; identification of plant di			格式化	[[45]
	oil water and nutrient management, pest and disease control, thinning a		1 1/2	/	[[46]
	ems such as cabling, bracing, propping and lightning protection; and tre		/	格式化	([47]
	cs such as tree guards, tree grilles, tree rings, paving, planters, dwarf wa		1//	/ 刪除: .	
pavilions.				格式化	[[48]
Definition of Probation				删除:	[40]
Definition of Probatic	onary Status			格式化: 縮排: 左	: 0"
Probation to Group I	Eligible for the award of one contract/subcontract at any one time o	f value up to \$2.3 million	' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '	/ 刪除:	
Probation to Group II	: Eligible for the award of any number of Group I contracts/subcontra	acts, plus one contract/subcontract of value exceeding \$2.3	3_ 3/ //	刪除: See Notes ((1) and (2) on
	million		$\perp - h'$	刪除: 234	([49]
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·=	end page of this Appendix.		<u></u> ▼////	刪除: 250	
Annendix 3C		Page 97 of 249	11/2		

Appendix 3C

Group I	Group II		刪除: Completed at least thre
A. Entry On Probation	A. Entry On Probation	<i>」//</i> /	删除: Completed at least thre
1. Job Experience Completed satisfactorily at least three relevant contracts/subcontracts of total value of the landscape softworks exceeding \$4M within the past 5 years. Job experience in all three types of the General Landscape Works within the past five years.	Completed satisfactorily at least three relevant government contracts/1st tier Government subcontracts of total value of the General Landscape Works exceeding \$6M within the past 5 years, including at least two public works contracts/1st tier Government subcontracts of total value of landscape softworks exceeding \$3M. Job experience in all three types of the General Landscape Works within the past five years.		
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable.	Not Applicable		删除: NA 删除: Min. 1 No. trained [5]
3. Top Management *(See Remarks on the end page of this Appendix)		M/M	刪除: minimum requiremen
Not Applicable	At least one top management staff with more than five years of relevant working experience gained in Hong Kong, who can be the same person as the horticulturist or the tree specialist.		
4. Technical Staff See Annex 35,	See Annex 35		删除: See Note in Page No. 234
5. Plant and Equipment **(See Remarks on the end page of this Appendix)			刪除: All necessary[6
 See Annex 35. Office/Workshop Facilities Local office and a local holding nursery in Hong Kong of not less than 7,500m² required. 	Local office and a local holding nursery in Hong Kong of not less than 7,500m ² required.	(1) (///	格式化[u]解除: safety practice.
7. Training Facilities Have training scheme to internal staff to improve arboricultural, horticultural and workplace health and safety practice.	d Have training scheme to internal staff to improve <u>arboricultural</u> , horticultural and <u>workplace health and</u> safety practice.		刪除: 格式化 [
8. Others Special arboricultural skills such as maintenance, protection and transplanting of mature trees, and other horticultural skills such as laying and maintenance of turn for sports ground.			耐除: Financial resource 格式化 [6 刪除: Financial resources.
	*	 	格式化: 字型: 13 點 格式化[6
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			刪除: 234

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

Appendix 3C

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Group I	Group II
B. Confirmation	B. Confirmation
Completed satisfactorily at least two Government Group I contracts/ 1 st tier Government subcontracts of total value of the General Landscape Works exceeding \$2M within the past 5 years, including one Government contract / 1 st tier Government subcontract of value of landscape softworks exceeding \$0.5M. Job experience in all three types of the General Landscape Works within the past five years.	Completed satisfactorily at least two Government Group II contracts/ 1st tier Government subcontracts of individual value of the General Landscape Works exceeding \$2M within the past 5 years, including one Government contract / 1st tier Government subcontract of value of landscape softworks. Job experience in all three types of the General Landscape Works within the past five years.
C. Direct Entry	C. Direct Entry
Not Applicable,	Not Applicable
	[1] [1]
	D. Entry by Promotion
	Same as that required for "Entry on Probation".

刪除:

删除: Completed satisfactorily at least two government
Group II
contracts/subcontracts within the past 5 years, of individual

删除: Completed satisfactorily at least two government
Group I contracts/subcontracts within the past 5 years, of total value exceeding \$2M.

value exceeding \$2M.

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Appendix 3C

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Managing Department : Architectural Services Department

Works Category : Landscaping Specialist Contractor

Class II : Hydroseeding

Group I : Contracts/Subcontracts up to HK\$2.3M
Group II : Contracts/Subcontracts of unlimited value

Brief Scope of Category/Class:

Hydroseeding is the application by high-pressure spraying of specified mixture of grass seeds, fertilizer, mulch and other additives in aqueous solution, including the supply of all materials and equipment necessary for the application and subsequent establishment of grass cover by the method described.

Definition of Probationary Status#

See Remarks (1) on the end page of this Appendix.

Probation to Group I : Eligible for the award of one contract at any one time of value up to \$2.3 million

Probation to Group II : Eligible for the award of any number of Group I contracts, plus one contract of value exceeding \$2.3 million

删除: See Notes (1) and (2) on

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	Group I	Group II	
	A. Entry On Probation	A. Entry On Probation	
1.	Job Experience Completed at least three relevant contracts/subcontracts of total value exceeding \$4M within the past 5 years.	Completed at least three relevant government contracts/subcontracts of total value exceeding \$6M within the past 5 years.	
2.	Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable	Not Applicable	√刪除: NA
			删除: NA
3.	Top Management *(See Remarks on the end page of this Appendix)		NA See Note in Page No. 234
	Not Applicable	Not Applicable	_
4.	Technical Staff		刪除: NA
	Min. 1 No. trained operator with 3 years relevant HK working experience.	Min. 2 Nos. trained operator with 3 years relevant HK working experience.	刪除: NA
5.	Plant and Equipment**(See Remarks on the end page of this Appendix)		See Note in Page No. 234
	All necessary equipment to carry out the required work.	All necessary equipment to carry out the required work.	
6.	Office/Workshop Facilities Local office in Hong Kong is required.	Local office in Hong Kong is required.	
7.	Training Facilities Have training scheme to internal staff to improve hydroseeding and safety practice.	Have training scheme to internal staff to improve hydroseeding and safety practice.	
8.	Others Financial resources	Financial resources	

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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Group I	Group II
B. Confirmation	B. Confirmation
Completed satisfactorily at least two government Group I contracts/subcontracts within the past 5 years, of total value exceeding \$2M.	Completed satisfactorily at least two government Group II contracts/subcontracts within the past 5 years, of individual value exceeding \$2M.
C. Direct Entry	C. Direct Entry
Not Applicable	Not Applicable
	D. Entry by Promotion
	Same as that required for "Entry on Probation"

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immediately behind occupied structures, adjacent to railway lines or major trunk roads

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Works Category :	Landslip Preventive/Remedial Works to Slopes/Retaining Walls	Specialist Contractor
Brief Scope of Category :		

The scope of work in this category covers landslip preventive works, remedial works or formation works of slopes and/or retaining walls in areas

Civil Engineering and Development Department

Definition of Probationary Status#:

Managing Department:

Contractors included in the Specialist List for "Landslip Preventive/Remedial works to Slopes/Retaining Walls (LPM)" but have not yet achieved confirmation status. A probationary contractor may take on not more than 2 government contracts tendered under the LPM category with total outstanding value of works of not greater than \$114M.

See Remarks (1) on the end page of this Appendix

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A. Entry On Probation	B. Confirmation
1. Job Experience See paras.2.2, 2.3 and 2.4 of Annex 18	Satisfactorily completed, as main contractor, at least one Government Landslip Preventive Measures contract containing multiple sites and of value not less than \$40M and subject to a minimum probationary period of 24 months
 2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Contractors who wish to apply for inclusion should have obtained: The relevant Quality Management System Certification or if they suitable works contracts in hand for certification auditing, a conficertification body accredited by the HKAS to issue the Certification be equivalent by the Environment, Transport and Works Burea review of the Quality Manual of their Hong Kong office has been Hong Kong by the certification body and such Quality Manual has by the certification body as being in conformity with the requirem relevant standard. Registration on the Buildings Department's list of Registered Spenfor Site Formation Works. 	i) Maintain the relevant Quality Management System Certification. immation from a ii) Maintain the Registration on the buildings Department's list of Registered Specialist Contractor for Site Formation Works. Specialist Contractor for Site Formation Works.
3. Top Management *(See Remarks on the end page of this Appendix) See para. 2.5.1 of Annex 18	Employ/retain appropriate management staff
4. Professional Staff See para. 2.5.3 of Annex 18	Employ/retain appropriate professional staff
5. Technical Staff See para. 2.3.3 of Annex 18	Employ/retain appropriate technical staff
6. Safety Staff See para. 2.5.4 of Annex 18	Employ/retain appropriate safety staff
7. Plant and Equipment **(See Remarks on the end page of this Appendix) See para. 2.6 of Annex 18	Possess appropriate plant and equipment This: See Note in Page No. 234
8. Office/Workshop Facilities All applicants must posses or set up in Hong Kong a place of business.	M除: NA
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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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9. Training Facilities
Not Applicable

Not Applicable

删除: NA 删除: NA

10. Others

(i) Performance on Government contracts under the R&D and SF categories and in landslip preventive works in the past 3 years – see para. 2.2, 2.3 and 2.4 of **Annex 18**

In all other ways being considered suitable for confirmation

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Managing Department:	Architectural Services Department	
Works Category:	Lift, Escalator and Passenger Conveyor Installation	Specialist Contractor
Brief Scope of Category	··	
Bite Beope of Category	•	
	installations, lifting platform for barrier free access, and associate	senger lifts, bed/ passenger lifts, service lifts, goods lifts, escalators ed motor power drives, motor control centres, central and remote

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A. Entry on Probation	B. Confirmation	C. Direct Entry
Not Applicable.	Not Applicable.	1. Job Experience
		<u>General</u>
		(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
		(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
		(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
		Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)
		(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
		(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
		(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
		(7) All statutory submissions to EMSD and Buildings Authority shall be issued under the name of the applicant; and
		(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
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A. Entry on Probation	B. Confirmation		C. Direct Entry
		(9)	The scope of work for each of these 2 contracts must fulfill all of the following requirements:
			(a) Contract value of the work related to the category under application for inclusion exceeds \$1M; and
			(b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and
			(c) The contract shall be of a completely new building construction project or a whole building refurbishment project.
		(10)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
			(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
			(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
			(c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and
			(d) The aforementioned main contractor shall not be the lift, escalator and passenger conveyor installation contractor for the project work; and
			(e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		(f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
		Statutory Registration
		The applicant shall be
		 a Registered Lift and/or Escalator Contractor registered under Lifts & Escalators (Safety) Ordinance, Cap. 327; and
		a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406; and
		have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
		Quality Management System Certification
		Quality Management System certificates issued under the rules of the Hong Kong Certification Body Accreditation Scheme (HKCAS) operated by the Hong Kong Accreditation Service (HKAS); i.e. bearing the HKCAS Accreditation Mark, and Quality Management System certificates bearing the accreditation mark of other accreditation bodies which are considered as having an equivalent standard by the Environment, Transport and Works Bureau. The scope of certification shall be relevant to the contractor list being applied for, e.g. "Supply, installation and maintenance of lift and escalator installation".

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Qualification) Registered Lift Engineer (RLE) At least 2 nos. registered under Lifts and Escalators (Safety) Ordinance, Cap. 327 Registered Escalator Engineer (REE) At least 2 nos. registered under Lifts and Escalators (Safety) Ordinance, Cap. 327 The RLE and REE can be the same person Technical Support Staff Employ adequate nos. of site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Site supervisor 4 nos Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Lift Electrician/Mechanic Minimum score required 100 n Qualified Lift Electrician/Mechanic 20 mu Intermediate Lift Electrician/Mechanic 15 m	A. Entry on Probation	C. Direct Entry	B. Confirmation
Registered Lift Engineer (RLE) At least 2 nos. registered under Lifts and Escalators (Safety) Ordinance, Cap. 327 Registered Escalator Engineer (REE) At least 2 nos. registered under Lifts and Escalators (Safety) Ordinance, Cap. 327 The RLE and REE can be the same person Technical Support Staff Employ adequate nos. of site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Site supervisor 4 nos Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Lift Electrician/Mechanic Minimum score required 100 n Qualified Lift Electrician/Mechanic 20 mm Intermediate Lift Electrician/Mechanic 15 mm		3. Technical Staff (See Annex 1 for definition of Staff	
At least 2 nos. registered under Lifts and Escalators (Safety) Ordinance, Cap. 327 Registered Escalator Engineer (REE) At least 2 nos. registered under Lifts and Escalators (Safety) Ordinance, Cap. 327 The RLE and REE can be the same person Technical Support Staff Employ adequate nos. of site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Site supervisor 4 nos Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Lift Electrician/Mechanic Minimum score required 100 n Qualified Lift Electrician/Mechanic 20 m Intermediate Lift Electrician/Mechanic 15 m		Qualification)	
At least 2 nos. registered under Lifts and Escalators (Safety) Ordinance, Cap. 327 The RLE and REE can be the same person Technical Support Staff Employ adequate nos. of site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Site supervisor 4 nos Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Lift Electrician/Mechanic Minimum score required 100 n Qualified Lift Electrician/Mechanic 20 mm Intermediate Lift Electrician/Mechanic 15 mm		At least 2 nos. registered under Lifts and Escalators	
Employ adequate nos. of site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Site supervisor 4 nos Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Lift Electrician/Mechanic Minimum score required 100 n Qualified Lift Electrician/Mechanic 20 ma Intermediate Lift Electrician/Mechanic 15 ma		At least 2 nos. registered under Lifts and Escalators (Safety) Ordinance, Cap. 327	
Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Lift Electrician/Mechanic Minimum score required 100 n Qualified Lift Electrician/Mechanic 20 m Intermediate Lift Electrician/Mechanic 15 ma		Employ adequate nos. of site supervisors and draftsmen with adequate and relevant academic	
Employ adequate nos. of craftsman in the following trades Lift Electrician/Mechanic Minimum score required Qualified Lift Electrician/Mechanic 20 ma Intermediate Lift Electrician/Mechanic 15 ma			
trades Lift Electrician/Mechanic Minimum score required Qualified Lift Electrician/Mechanic 20 ma Intermediate Lift Electrician/Mechanic 15 ma		<u>Craftsman</u>	
Qualified Lift Electrician/Mechanic 20 ma Intermediate Lift Electrician/Mechanic 15 ma			
Intermediate Lift Electrician/Mechanic 15 ma		Minimum score required 100 marks	
		Intermediate Lift Electrician/Mechanic 15 marks	
Statutory Registration The applicant shall have staff meeting the following statutory registration requirements:		The applicant shall have staff meeting the following	
Registered Lift and/or Escalator Engineer (RLEE) registered under Lifts & Escalators (Safety) Ordinance, Cap. 327 – 2 nos. (minimum)		(RLEE) registered under Lifts & Escalators (Safety) Ordinance, Cap. 327 – 2 nos. (minimum)	
♦ Competent Lift/Escalator Worker (CLEW) registered under Lifts and Escalators (Safety)		♦ Competent Lift/Escalator Worker (CLEW) registered under Lifts and Escalators (Safety)	

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Ordinance, Cap. 327 – 10 nos. (minimum) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade B2 – 1 no. (minimum) The RLEE, CLEW and REW can be the Technical Support Staff or Craftsman.	
	1
4. Plant and Equipment **(See Remarks on the end page of the Appendix)	<u>his</u>
Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 19 for list of typical equipment/tools and testing instruments required.	t
5. Office/Workshop Facilities	
Local office/workshop in Hong Kong SAR is required Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 100 m² and the minimum workshop area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced	р
Depot At least two, preferably more and distributed over Hong Kong SAR.	
6. Others	
Authorized Agency Be an authorized agent/distributor in HKSAR for the lifts, escalators, and passenger conveyors. Documentary evidence such as authorized agency/distributorship agreements shall be available for checking.	
Type test certificates for escalators Required for steps, pallets, belts and handrails	
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A. Entry on Probation	B. Confirmation	C. Direct Entry
		(handrail breaking strength).
		Type test certificates for lifts Required for landing door locking devices, landing doors, overspeed governors, safety gears, energy dissipation and/or energy accumulation type buffers with/without buffered return movement, ascending car overspeed protection means, rupture valve/one way restrictor, and safety circuits containing electronic components.
		Permit to use and operate a new lift or escalator At least two installations for each model issued by Electrical & Mechanical Services Department. Applicant to submit a list of the models for use in Government projects. Only those models submitted and approved can be used in Government projects. New models to be offered after admission must be submitted for assessment and prior approval must be obtained before new models can be offered for use in Government projects.
		Harmonic distortion Comply with relevant standards; details of harmonic current distortion for each model to be submitted.
		Support from Manufacturer Sound technical support required, including provision of spare parts, training (record to be submitted), etc.
		Maintenance facilities 24-hour emergency service for fault attendance must be available. The 24-hour emergency service for fault attendance shall be effective, e.g. the fault call can be acknowledged immediately and maintenance personnel can arrive at the fault location within 1 hour for normal call (e.g. no trapping of passenger) and 30 minutes for urgent call (e.g. trapping of passenger). Applicant to provide evidence that such maintenance facilities are established in strategic locations in Hong Kong SAR with suitable communication network to meet the
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Revision B-10 dated 26 March 2013

Appendix 3C

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		above requirements.

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Managing Department:	Architectural Services Department
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Works Category: Liquefied Petroleum Gas Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of fixed and piped liquefied petroleum gas (LPG) installations including bulk LPG storage vessels, LPG cylinders, vaporizers, piping and fittings, valves and accessories, LPG appliances, etc.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

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Not applicable for new application for admission. (Only applicable for existing probationary contractor)

* See Remarks (1) on the end page of this Appendix,

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A. Entry on Probation	B. Confirmation	C. Direct Entry
Not Applicable	The following shall only be applicable to exprobationary contractor:	1. Job Experience General
	(1) The applicant has satisfactorily comple past 12 months, at least one public wor by a Works Department; and	type and size of work of the category, with satisfactory quality of work compatible with Government standard;
	(2) This contract shall be direct contract, w between the applicant and the employe concerned project; and	of the (2) The applicant shall have adequate experience in contract management of work of the category, solely
	(3) All statutory submissions to Gas Authorissued under the name of the applicant;	
	(4) The scope of work for this contract mu following requirements:	st fulfill all the (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the
	(a) Contract value of the work relat category under application for c exceeds \$0.25M; and	ed to the Environment, Transport and Works; otherwise, it will
	(b) The contract shall cover a comp petroleum gas installation at lea supply; and	
	(c) The contract shall be for new but new liquefied petroleum gas instead existing building. Partial renormal fitting-out works for an existing	allation in an article were completed within the past 3 years for site inspection; and
	not acceptable; and (d) If the contract is a term contract	all of the above (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
	requirements 4 (a) and 4 (c) shat one works order. (5) Subcontract will not be considered as v	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the
	(5) Subcontract will not be considered as v reference unless it can satisfy all of the requirements, in addition to all the abor requirements:	following concerned project, and
	(a) The subcontract shall include al work as described under the "Br Category" covered in the main of	inspections for the government's examination of the quality and workmanship of the submitted job
	(b) The applicant shall have underta	ken the full reference within normal office hours; and

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A. Entry on Probation	B. Confirmation	C. Direct Entry	
	scope of work covered in the subcontract, solely and fully, including contract management; and	(9) The scope of work for each of these 2 contracts must	
	(c) The party by which the applicant is awarded with the subcontract, and the upstream	fulfill all of the following requirements:	
	contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the	(a) Contract value of the work related to the category under application for inclusion exceeds \$0.5M; and	
	concerned project, all shall not be the liquefied petroleum gas installation contractor for the project work.	(b) The contract shall cover a complete liquefied petroleum gas installation at least with cylinders supply; and	
		(c) The contract shall be for new building project or new liquefied petroleum gas installation in an existing building. Partial renovation or fitting-out works for an existing installation are not acceptable.	
		(10) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:	
		(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	
		(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	
		(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the liquefied petroleum gas installation contractor for the project work; and	
		(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from	

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
		(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
		Statutory Registration The applicant shall be a Registered Gas Contractor registered under Gas Safety Ordinance, Cap. 51 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
		3. Technical Staff (See Annex 1 for definition of Staff Qualification)
		Technical Support Staff
		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
		Technician 1 no. Site supervisor 1 no. Draftsman 1 no.
		<u>Craftsman</u>
		Employ adequate nos. of craftsman in the following trades (A) BS Mechanic/Mechanical Fitter
		Minimum score required 20 marks

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A. En	try on Probation	B. Confirmation	C. Direct Entry
			Qualified BS Mechanic/Mechanical Fitter 20 marks
			Intermediate BS Mechanic/Mechanical Fitter 15 marks
			Ordinary BS Mechanic/Mechanical Fitter 8 marks
			Statutory Registration The applicant shall have staff meeting the following statutory registration requirements:
			 Registered Gas Installer (RGI) registered under Gas Safety Ordinance, Cap. 51 − 2 nos. (minimum) (These RGIs shall hold licences covering gas installation work Class 1 to 7 collectively) The RGI can be the Technical Support Staff or Craftsman.
			4. Plant and Equipment **(See Remarks on the end page of this Appendix)
			Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 20 for list of typical equipment/tools and testing instruments required.
			5. Office/Workshop Facilities
			Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 10 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.

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Managing Department:	Architectural Services Dep	partment
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Works Category: Low Voltage Cubicle Switchboard Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of low voltage cubicle switchboard comprising main incoming switchgear, busbars and droppers/risers, outgoing switchgears, instruments and protection devices, etc. all in a type tested assembly.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

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Not applicable for new application for admission. (Only applicable for existing probationary contractor)

* See Remarks (1) on the end page of this Appendix,

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A. Entry on Probation	B. Confirmation	C. Direct Entry	
Not Applicable	The following shall only be applicable to existing probationary contractor:	1. Job Experience General	
	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	
	(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building	
	(3) All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and	contractor and other building services / electrical and mechanical contractors; and	
	(4) The scope of work for this contract must fulfill all the following requirements:	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the	
	(a) Contract value of the work related to the category under application for confirmation exceeds \$0.5M; and	Environment, Transport and Works; otherwise, it will not be considered as a valid job reference. Job Reference for Inspection (In addition to the above, every	
	(b) The rating of the main incoming switchgear is not less than 2500A or with power rating not less than 1500kVA; and	job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)	
	(c) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	
	(d) If the contract is a term contract, all of the above requirements 4 (a) and 4 (c) shall be fulfilled in one works order.	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	
	(5) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	
	requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of	(7) All statutory forms such as the Work Completion Certificate (i.e. Form WR1) shall be issued under the name of the applicant; and	
	Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely	(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	
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A. Entry on Probation	B. Confirmation	C. Direct Entry
	and fully, including contract management; and (c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the low voltage cubicle switchboard installation contractor for the project work.	 (9) The scope of work for each of these 2 contracts must fulfill all of the following requirements: (a) Contract value of the work related to the category under application for inclusion exceeds \$1M; and (b) The rating of the main incoming switchgear is not less than 2500A or with power rating not less than 1500kVA; and
		(c) The contract shall cover major scope of works as described in the "Brief Scope of Category".
		(10) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
		(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
		(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
		(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the low voltage cubicle switchboard installation contractor for the project work; and
		(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
		Statutory Registration
		The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
		3. Technical Staff (See Annex 1 for definition of Staff Qualification)
		Technical Support Staff
		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
		Technician 1 no. Site supervisor 1 no. Draftsman 1 no.
		<u>Craftsman</u>
		Employ adequate nos. of Electrician/Mechanical Fitter
Appendix 20		Minimum score required 40 marks Qualified Electrician/Mechanical Fitter 20 marks Intermediate Electrician/Mechanical Fitter 15 marks Ordinary Electrician/Mechanical Fitter 8 marks

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		Statutory Registration
		The applicant shall have staff meeting the following statutory registration requirements:
		 Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade C2 − 1 no. (minimum) Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade B2 − 2 nos. (minimum)
		The REW can be the Technical Support Staff or Craftsman
		4. Plant and Equipment **(See Remarks on the end page of this
		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 21 for list of typical equipment/tools and testing instruments required.
		5. Office/Workshop Facilities
		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/ storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 180 m². Documentary evidence (e.g. purchase/ lease agreement) to prove right of use shall be produced.
		6. Others
		Design IEC 60439 Applicant to prove that switchboard is designed to the relevant IEC or equivalent standard; A motor control centre alone shall not be acceptable.
		Type test certificates for switchboard as required by
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A. Entry on Probation	B. Confirmation	C. Direct Entry
		IEC 60439-1 temperature-rise limit test dielectric properties test short-circuit withstand strength test effectiveness of protective circuit test clearances & creepage distance test mechanical operation test degree of protection test
		Tests shall be on a whole switchboard and NOT simply on the busbar system; and the above type tests shall be certified by an internationally recognized testing organization, e.g. ASTA.
		Air circuit breakers Applicant to provide proof of compliance of relevant standards IEC 60947-2.
		Switchfuse/fuseswitch Applicant to provide proof of compliance of relevant standards IEC 60947-3.
		H.R.C. fuses Applicant to provide proof of compliance of relevant standards IEC 60269 or BS 88.
		Busbars Applicant to provide proof of compliance of relevant standards BS 1433.
		Permit to use in Government projects Only those configurations with type tests certificates and materials with poof of compliance with the above standards can be offered for Government projects.
		Authorized Agency Agreement between principals and applicant, including agreements proving applicant's rights to manufacture, etc. shall be provided.

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Managing Department:	Architectural Services Department	
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Works Category: Mechanical Handling and Lifting Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of mechanical handling and lifting installation including crane, hoist, conveyor system, mechanical storage system, movable boarding facility etc.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

* See Remarks (1) on the end page of this Appendix.

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	A. Entry on Probation		B. Confirmation	C. Direct Entry	
1. Jo	b Experience	(4)		1. Jo	b Experience
Gene		(1)	The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	Gene	<u></u>
(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	(2)	This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and	(3)	All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and The scope of work for this contract must fulfill all the	(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and
	mechanical contractors; and	(-)	following requirements:		mechanical contractors; and
(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.		(a) Contract value of the work related to the category under application for confirmation exceeds \$0.5M; and	(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
	·		(b) The contract shall cover the nature of works as		· ·
job s set o	Reference for Inspection (In addition to the above, every ubmitted by the applicant shall fulfill all requirements ut below; otherwise, it will not be considered as a valid eference)		described in the "Brief Scope of Category"; and (c) If the contract is a term contract, all of the above requirements 4 (a) and 4 (b) shall be fulfilled in one works order.	job s set o	Reference for Inspection (In addition to the above, every ubmitted by the applicant shall fulfill all requirements ut below; otherwise, it will not be considered as a valid eference)
(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	(5)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated	(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and		requirements: (a) The subcontract shall include all the relevant	(5)	At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the		work as described under the "Brief Scope of Category" covered in the main contract; and	(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the
	concerned project; and		(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely		concerned project; and
(7)	All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall		and fully, including contract management; and	(7)	All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall
	be issued under the name of the applicant; and		(c) The party by which the applicant is awarded with the subcontract, and the upstream		be issued under the name of the applicant; and
(8)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and		contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the	(8)	The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and

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		A. Entry on Probation	B. Confirmation		C. Direct Entry
(9)		cope of work for each of these 2 contracts must all of the following requirements:	mechanical handling and lifting installation contractor for the project work.	(9)	The scope of work for each of these 2 contracts must fulfill all of the following requirements:
	(a)	Contract value of the work related to the category under application for inclusion exceeds \$0.5M; and			(a) Contract value of the work related to the category under application for inclusion exceeds \$1M; and
	(b)	The contract shall cover the nature of works as described in the "Brief Scope of Category".			(b) The contract shall cover the nature of works as described in the "Brief Scope of Category".
(10)	refere requir	ontract will not be considered as valid job ence unless it can satisfy all of the following rements, in addition to all the above stated rements:		(10)	Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and			(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and			(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c)	The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the mechanical handling and lifting installation contractor for the project work; and			(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the mechanical handling and lifting installation contractor for the project work; and
	(d)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and			(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
	(e)	Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the			(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the
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concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project. 2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Statutory Registration The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration) and the section "Technical Staff". 3. Technical Staff (See Annex 1 for definition of Staff Qualified Engineer Employ at least 1 no. qualified Engineer Employ at least 1 no. qualified engineer (Mechanical discriptine) with adequate and relevant project management experience. Technical Support Staff Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 3 nos of craftsman in the following trades (A) Mechanical Fitter	A. Entry on Probation	B. Confirmation	C. Direct Entry
(such as Quality Management System Certification, Building Authority etc.) Statutory Registration The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff". 3. Technical Staff (See Annex 1 for definition of Staff Qualification) Oualified Engineer Employ at least 1 no. qualified engineer (Mechanical discipline) with adequate and relevant project management experience. Technical Support Staff Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Employ adequate nos. of craftsman in the following trades Sites and support staff and support staff and supervisor and supports and supervisor and supports and supervisor and supports and supervisor and supports supervisor and supervisor and supports supervisor and supervisor and supports supervisor an	provided by the applicant from the relevant		provided by the applicant from the relevant
The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff". 3. Technical Staff (See Annex 1 for definition of Staff Qualification) Oualified Engineer Employ at least 1 no. qualified engineer (Mechanical discipline) with adequate and relevant project management experience. Technical Support Staff Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Site supervisor 3 nemploy adequate nos. of craftsman in the following trades The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (Dechanical distribution) and that setup requirement) of number not less than that described in the Section "Technical Staff". 3. Technical Staff (See Annex 1 for definition of Staff Qualification) Oualified Engineer Employ at least 1 no. qualified engineer (Mechanical discipline) with adequate and relevant project management experience. Technical Support Staff Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades	(such as Quality Management System Certification,		(such as Quality Management System Certification,
Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff". 3. Technical Staff (See Annex 1 for definition of Staff Qualification) Qualified Engineer Employ at least 1 no. qualified engineer (Mechanical discipline) with adequate and relevant project management experience. Technical Support Staff Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician — 2 nos. Site supervisor — 2 nos. Draftsman — 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff". Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff". 3. Technical Staff (See Annex 1 for definition of Staff Qualification) Qualified Engineer Employ at least 1 no. qualified engineer (Mechanical discipline) with adequate and relevant project management experience. Technical Support Staff Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician — 2 nos. Site supervisor — 2 nos. Draftsman — 1 no. Craftsman Employ adequate nos. of craftsman in the following trades	Statutory Registration		Statutory Registration
Qualification)Qualification)Qualification)Qualification)Qualified EngineerEmploy at least 1 no. qualified engineer (Mechanical discipline) with adequate and relevant project management experience.Employ at least 1 no. qualified engineer (Mechanical discipline) with adequate and relevant project management experience.Technical Support StaffTechnical Support StaffEmploy adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.Technician2 nos. Site supervisor2 nos. Site supervisorDraftsman1 no.CraftsmanCraftsman1 no.Employ adequate nos. of craftsman in the following tradesEmploy adequate nos. of craftsman in the following trades	Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical		Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical
Employ at least 1 no. qualified engineer (Mechanical discipline) with adequate and relevant project management experience. Technical Support Staff Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Employ adequate nos. of craftsman in the following trades			
discipline) with adequate and relevant project management experience. Technical Support Staff Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician Technician Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician Technician Z nos. Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Technician Employ adequate nos. of craftsman in the following trades	Qualified Engineer		Qualified Engineer
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Employ adequate nos. of craftsman in the following trades	discipline) with adequate and relevant project		discipline) with adequate and relevant project
and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades	Technical Support Staff		Technical Support Staff
Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades Site supervisor 2 nos. Draftsman 1 no. Craftsman Employ adequate nos. of craftsman in the following trades	and draftsmen with adequate and relevant academic		and draftsmen with adequate and relevant academic
Employ adequate nos. of craftsman in the following trades Employ adequate nos. of craftsman in the following trades	Site supervisor 2 nos.		Site supervisor 2 nos.
trades trades	<u>Craftsman</u>		<u>Craftsman</u>
(A) Mechanical Fitter (A) Mechanical Fitter			
	(A) Mechanical Fitter		(A) Mechanical Fitter

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A. Entry on Probation	B. Confirmation C. Direct Entry		
Minimum score required20 marksQualified Mechanical Fitter20 marksIntermediate Mechanical Fitter15 marksOrdinary Mechanical Fitter8 marks		Minimum score required20 marksQualified Mechanical Fitter20 marksIntermediate Mechanical Fitter15 marksOrdinary Mechanical Fitter8 marks	
(B) Electrician Minimum score required 20 marks Qualified Electrician 20 marks Intermediate Electrician 15 marks Ordinary Electrician 8 marks		(B) Electrician Minimum score required 20 marks Qualified Electrician 20 marks Intermediate Electrician 15 marks Ordinary Electrician 8 marks Statutory Registration	
Statutory Registration The applicant shall have staff meeting the following statutory registration requirements:		The applicant shall have staff meeting the following statutory registration requirements:	
 Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A0 − 1 no. (minimum) 		 Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A0 − 1 no. (minimum) 	
The REW can be the Qualified Engineer, Technical Support Staff or Craftsman.		The REW can be the Qualified Engineer, Technical Support Staff or Craftsman.	
Qualified Welder		Qualified Welder	
Employ adequate nos. of Qualified Welder (QW)		Employ adequate nos. of Qualified Welder (QW)	
QW 1 no.		QW 1 no.	
The QW can be the Qualified Engineer, Technical Support Staff or Craftsman.		The QW can be the Qualified Engineer, Technical Support Staff or Craftsman.	
4. Plant and Equipment **(See Remarks on the end page of this Appendix)		4. Plant and Equipment **(See Remarks on the end page of this Appendix)*	
Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 22 for list of typical equipment/tools and testing instruments		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 22 for list of typical equipment/tools and testing instruments	
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A. Entry on Probation	B. Confirmation	C. Direct Entry
required.		required.
5. Office/Workshop Facilities		5. Office/Workshop Facilities
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 40 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 40 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
6. Others		6. Others
Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.		Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.
Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.		Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Managing Department: Architectural Services Department

Mechanical Plant and Equipment Installation Works Category:

Specialist Contractor

Contracts/Subcontracts up to HK\$1.1M Group I: Contracts/Subcontracts of unlimited value **Group II:**

Brief Scope of Category:

The scope of work in this category covers the general mechanical fabrication, supply, installation and maintenance of mechanical plants and equipment. Typical installations include laundry equipment, waste disposal equipment, vehicle examination facility, garage equipment, bulk fuel storage and dispensing system, pumping system etc.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Probation to Group I: Eligible for the award of a maximum of two Group I contracts/subcontracts

Probation to Group II Eligible for the award of any number of Group I contracts/subcontracts; and a maximum of two Group II

contracts/subcontracts exceeding \$1 million provided that the total value of Group II works does not exceed \$3.4 million

Not applicable for new application for admission. (Only applicable for existing Group I probationary contractor)

See Remarks (1) on the end page of this Appendix.

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Group I	Group II
A. Entry on Probation	A. Entry on Probation
Not Applicable	1. Job Experience
	<u>General</u>
	(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
	(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
	(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
	<u>Job Reference for Inspection</u> (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)
	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
	(7) All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and
	(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
	(9) The scope of work for each of these 2 contracts must fulfill all of the following requirements:
	(a) Contract value of the work related to the category under application for inclusion exceeds 180% of Group I tender limit; and
	(b) The contract shall cover the nature of works as described in the "Brief Scope of Category".
	(10) Subcontract will not be considered as valid job reference unless it can satisfy all of the
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Group I	Group II
	following requirements, in addition to all the above stated requirements:
	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the mechanical plant and equipment installation contractor for the project work; and
	(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
	(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
	2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
	Statutory Registration
	The applicant shall be a Registered Electrical Contactor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
	3. Technical Staff (See Annex 1 for definition of Staff Qualification)
	Qualified Engineer
	Employ at least 1 no. qualified engineer (Mechanical or Building Services) with adequate and relevant professional and project management experience.

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Group I	Group II	
	Technical Support Staff	
	Employ adequate nos. of technicians, site supervisors and draftsmen w relevant academic qualification and working experience.	ith adequate and
	Technician	2 nos.
	Site supervisor	4 nos.
	Draftsman	2 nos.
	Craftsman	
	Employ adequate nos. of craftsman in the following trades	
	(A) Electrician Minimum score required	40 marks
	Qualified Electrician	20 marks
	Intermediate Electrician	15 marks
	Ordinary Electrician	8 marks
	(B) Mechanical Fitter	
	Minimum score required	40 marks
	Qualified Mechanical Fitter Intermediate Mechanical Fitter	20 marks 15 marks
	Ordinary Mechanical Fitter	8 marks
	Ordinary Mechanicar rates	o marks
	Statutory Registration/Qualified Welder	
	The applicant shall have staff meeting the following statutory registration	on requirements:
	Registered Electrical Worker (REW) registered under Electricity (406. Grade A2 – 1 no. (minimum)	Ordinance, Cap.
	The REW can be the Qualified Engineer, Technical Support Staff or the	Craftsman.
	Qualified Welder	
	Employ adequate nos. of Qualified Welder (QW)	
	QW	1 no.
	The QW can be the Qualified Engineer, Technical Support Staff or Craf	tsman.

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Group I	Group II
	4. Plant and Equipment **(See Remarks on the end page of this Appendix) Adequate modern drafting and computing facilities; minimum 2 nos. CAD terminals. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 23 for list of typical equipment/tools and testing instruments required.
	5. Office/Workshop Facilities
	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 80 m² and the minimum workshop + storage area shall be 70 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
	6. Others
	Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreement shall be available.
	Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.
B. Confirmation	B. Confirmation
The following shall only be applicable to existing probationary contractor:	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and
(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	(3) All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e.
(3) All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and	Form WR1 (A)) shall be issued under the name of the applicant; and (4) The scope of work for this contract must fulfill all the following requirements:
(4) The scope of work for this contract must fulfill all the following requirements:	(a) Contract value of the work related to the category under application for confirmation exceeds Group I tender limit; and
(a) Contract value of the work related to the category under application for confirmation exceeds 50 % of Group I tender limit; and	(b) The contract shall cover the nature of works as described in the "Brief Scope of Category"; and
(b) The contract shall cover the nature of works as described in the "Brief Scope of	Caucgory , and
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Group I	Group II
Category"; and (c) If the contract is a term contract, all of the above requirements 4 (a) to 4 (b) shall be fulfilled in one works order. (5) Subcontract will not be considered as valid job reference unless it can satisfy all of the	 (c) If the contract is a term contract, all of the above requirements 4 (a) to 4 (b) shall be fulfilled in one works order. (5) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
following requirements, in addition to all the above stated requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the mechanical plant and equipment installation contractor for the project work.	 (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the
C. Direct Entry 1. Job Experience	C. Direct Entry Not Applicable
 General The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference. Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference) 	
 (4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and (6) These 2 contracts shall be direct contract, which was signed between the applicant and 	
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Appendix 3C

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		Group I	Group II
	the en	nployer of the concerned project; and	
(7)		atutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. WR1 (A)) shall be issued under the name of the applicant; and	
(8)	exam	pplicant shall be able to arrange joint site inspections for the government's ination of the quality and workmanship of the submitted job reference within al office hours; and	
(9)		cope of work for each of these 2 contracts must fulfill all of the following rements:	
	(a)	Contract value of the work related to the category under application for inclusion exceeds 50% Group I tender limit; and	
	(b)	The contract shall cover the nature of works as described in the "Brief Scope of Category"; and	
(10)	Subco	ontract will not be considered as valid job reference unless it can satisfy all of the wing requirements, in addition to all the above stated requirements:	
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and	
	(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and	
	(c)	The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the manufacture plant and equipment installation contractor for the project work; and	
	(d)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and	
	(e)	Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.	

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Group I	Group II
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)	
Statutory Registration	
The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".	
3. Technical Staff (See Annex 1 for definition of Staff Qualification)	
Technical Support Staff	
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience. Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no.	
<u>Craftsman</u>	
Employ adequate nos. of craftsman with adequate relevant experience in the following trades (A) Electrician Minimum score required Qualified Electrician Intermediate Electrician Ordinary Electrician 8 marks	
(B) Mechanical Fitter Minimum score required Qualified Mechanical Fitter Intermediate Mechanical Fitter Ordinary Mechanical Fitter 20 marks 15 marks 8 marks	
Statutory Registration	
The applicant shall have staff meeting the following statutory registration requirements:	
Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 – 1 no. (minimum)	
The REW can be the Technical Support Staff or Craftsman.	
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Appendix 3C

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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Group I	Group II
4. Plant and Equipment **(See Remarks on the end page of this Appendix)	•
Adequate modern drafting and computing facilities, minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates where applicable for testing instruments shall be available. Refer to Annex 23 for list of typical equipment /tools and testing instruments required. 5. Office/Workshop Facilities	
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 40 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.	
6. Others	
Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreement shall be available.	
Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.	

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Managing Department:	Architectural Services Department	<u> </u>
Works Category:	Motor Vehicle Body-building and Painting	Specialist Contractor
Brief Scope of Category	•	
-	category covers design and building of general purpose/specialist vehicle modification, etc. and all vehicle related vehicle modification	

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A. Entry on Probation	B. Confirmation	C. Direct Entry	
Not Applicable.	Not Applicable.	1. Job Experience	
		General	
		(1) The applicant shall have adequate experience in the type and size of work of the category on motor vehicle fleet, with satisfactory quality of work compatible with Government standard; and	
		(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully; and	
		(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	
		Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)	
		(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	
		(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	
		(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	
		(7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	
		(8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:	
		(a) The contract shall cover the major scope of works as described in the "Brief Scope of	

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(9) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements; in addition to all the above stated requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, soley and fully, including contract management; and (c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the motor vehicle body-building and panting contractor for the project work, and (e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract, and (f) Notwinstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.	A. Entry on Probation	B. Confirmation	C. Direct Entry	
reference unless it can satisfy all of the following requirements; and addition to all the above stated requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the motor vehicle body-building and painting contract for the project work, and (e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and (f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities of the project may be added the project may be added to the applicant's technical and managerial capabilities on the project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.			Category".	
work as described under the "Brief Scope of Category" overed in the main contract; and (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the motor vehicle body-building and painting contractor for the project work; and (e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and (f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant for the relevant parties of the concerned project.			reference unless it can satisfy all of the following requirements, in addition to all the above stated	
scope of work covered in the subcontract, solely and fully, including contract management; and (c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the motor vehicle body-building and painting contractor for the project work; and (e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and (f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.				work as described under the "Brief Scope of
applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and (d) The aforementioned main contractor shall not be the motor vehicle body-building and painting contractor for the project work; and (e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and (f) Notwithstanding the above, the Managing Department may seek references on the applicant; technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project. 2. Technical Staff (See Annex 1 for definition of Staff				scope of work covered in the subcontract, solely
the motor vehicle body-building and painting contractor for the project work; and (e) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and (f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project. 2. Technical Staff (See Annex 1 for definition of Staff				applicant and the main contractor which is the company awarded with the direct contract by
evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and (f) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project. 2. Technical Staff (See Annex 1 for definition of Staff				the motor vehicle body-building and painting
Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project. 2. Technical Staff (See Annex 1 for definition of Staff				evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the
				Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant
			2 Т.	pohnical Staff (Soo Annov 1 for definition of Staff
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A. Entry on Probation	B. Confirmation	C. Direct Entry	
		Qualification)	
		Technical Support Staff	
		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.	
		Technician1 no.Site supervisor1 no.Draftsman1 no.	
		Craftsman	
		Employ adequate nos. of Craftsman in the following trades (A) Body Repairer/Builder	
		Minimum score required 30 marks Qualified Body Repairer/Builder 20 marks Ordinary Body Repairer/Builder 10 marks	
		(B) Vehicle Mechanic Minimum score required 20 marks Qualified Vehicle Mechanic 20 marks Ordinary Vehicle Mechanic 10 marks	
		(C) Vehicle Electrician Minimum score required Qualified Vehicle Electrician Ordinary Vehicle Electrician 20 marks 10 marks	
		(D) Vehicle Painter Minimum score required 20 marks Qualified Vehicle Painter 20 marks Ordinary Vehicle Painter 10 marks	
		The craftsman can be the Technical Support Staff.	
		3. Plant and Equipment **(See Remarks on the end page of this	
		Adequate modern drafting facilities and minimum 1 computer, preferably with CAD. Adequate nos. of equipment, tools and testing	
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A. Entry on Probation	B. C	Confirmation	C. Direct Entry
			instruments for carrying out installation, testing/ commissioning and maintenance services. Refer to Annex 24 for list of typical equipment / tools required.
		4	4. Office/Workshop Facilities
			Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 10 m², and the minimum workshop + storage area shall be 110 m² (minimum 50 m² of covered space). Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
			5. Others
			Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.

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Managing Department: __ Architectural Services Department _____

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Works Category: Plumbing Installation Specialist Contractor

Group I:Contracts/Subcontracts up to HK\$2.3 millionGroup II:Contracts/Subcontracts of unlimited value

Brief Scope of Category:

The scope of work in this category covers the construction, repair and maintenance of plumbing installations. Typical installations include fresh and flush water pumps and pipework installations (including connection to sanitary fixtures and associated taps and water heaters installed by others); water supply for fire service installation including up-feed pipework and connection to the roof/transfer tank; cold and hot water supply systems; rain water recycling system; water supply for feeding/make-up to air-conditioning installation, fountain installation and swimming pool installation; and water supply for irrigation system.

<u>Definition of Probationary Status</u> (Para. 3.5.3 is not applicable for this category)

Probation to Group I : Not applicable

Probation to Group II : Eligible for the award of any number of Group I contracts/subcontracts; and a maximum of two Group II contracts/subcontracts

provided that the total value of Group II works does not exceed \$8.2 million

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in the end page of this Appendix)

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** See Remarks (1) on the end page of this Appendix.

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Appendix 3C

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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Group I		
A. Entry on Probation A. Entry on Probation 1. Job Experience		
Not Applicable	General	
	(1) The applicant shall have adequate experience in the type and size of work of the category, as stipulated under "Job Reference for Inspection" below, with satisfactory quality of work compatible with Government standard on and in relation to plumbing installations; and	
	(2) The applicant shall have adequate experience in contract management of work of the category, as stipulated under "Job Reference for Inspection" below, including solely and fully responsible for carrying out contract management and coordination with building contractor and other building services / electrical and mechanical contractors; and	
	(3) For job experience outside the HKSAR, evidences must also be produced to the satisfaction of the Secretary for Development; otherwise, it will not be considered as a valid job reference.	
	Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)	
	(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	
	(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	
	(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	
	(7) All statutory forms such as Form WR1(A) "Electricity Ordinance (Cap. 406) Electricity (Wiring) Regulations Work Completion Certificate", Form WWO 46 "Waterworks Ordinance (Cap. 102) Notification/Application for Constructing, Installing, Altering or Removing an Inside Service or Fire Service", etc. shall be issued under the name of the applicant and/or the Registered Electrical Worker (REW)/ Licensed Plumber (LP) engaged by the applicant as applicable; and	
	(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	
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Group I		Group II
	(9)	The scope of work for each of these 2 contracts must fulfill all of the following requirements:
		(a) Contract value of the work related to the category under application for inclusion exceeds 180% of Group I tender limit; and
		(b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and
		(c) The contract shall be of a completely new building construction project or a whole building refurbishment project.
	(10)	<u>Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:</u>
		(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
		(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
		(c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and
		(d) The aforementioned main contractor shall not be the plumbing installation contractor for the project work; and
		(e) The applicant must present documentary evidence to prove that the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and

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Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of

the concerned project.

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Group II Croup II	
Statutory Peristration	
Statutory Registration	
The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406; and have full time technical staff (meeting the relevant statutory	
registration requirement) of number not less than that described in the Section "Technical	
Staff" below.	
Ouality Management System Certification	
Quanty Management System Certification	
Quality Management System certificates issued under the rules of the Hong Kong	
Certification Body Accreditation Scheme (HKCAS) operated by the Hong Kong	
Accreditation Service (HKAS); i.e. bearing the HKCAS Accreditation Mark, or Quality Management System certificates bearing the accreditation mark of other accreditation	
bodies which are considered as having an equivalent standard by the Development	
Bureau.	
The scope of certification shall be relevant to the "Brief Scope of the Category" being	
applied for, e.g. "supply, installation, repair and maintenance of plumbing work".	
If the scope of certification does not include "maintenance", the relevant quality management manual shall have relevant sections for maintenance work that shall include	
not only post contract service but also service for separate maintenance contract.	
3. Technical Staff (See Annex 1 for definition of Staff Qualification)	
Qualified Engineer	
Employ at least 1 no. Qualified Engineer (Mechanical, Building Services or Electrical	
discipline) with adequate and relevant professional and project management experience.	
Technical Support Staff	
1 Cennical Support State	
Employ adequate nos, of technicians, site supervisors and draftsmen with adequate and 格式化: ¬	下加底線
relevant academic qualification and working experience. 格式化: 7	「加底線
Technician 2 nos.	
Site supervisor 4 nos.	
<u>Draftsman</u> 2 nos.	
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Appendix 3C

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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Group I	Group II	「刪除: <sp></sp>
	<u>Craftsman</u>	matisty, 25 by
	Employ adequate nos, of craftsman to meet the minimum scores of both (A) and (I	3)
	below in the following trades	<u> </u>
	(A) Licensed Plumber/ BS Mechanic Minimum score required 40 marks	
	Oualified Plumber/BS Mechanic 1 no. for 20 marks	
	Intermediate Plumber/BS Mechanic 1 no. for 15 marks	- 、
	Ordinary Plumber/BS Mechanic 1 no. for 8 marks	
	(B) Electrician	刪除:
	Minimum score required 40 marks.	
	Qualified Electrician 1 no. for 20 marks	
	Intermediate Electrician 1 no. for 15 marks Ordinary Electrician 1 no. for 8 marks	一
	Gramary Electrician 1 IIO. 101 8 IIIaIKS	
	Statutory Registration	
	The applicant shall have staff meeting the following statutory registration requirements: Licensed Plumber, Grade I, registered under Waterworks Ordinance, Cap. 102. –	刪除:
	1 no. (minimum)	一 一 一 一 一 一
	The LP and REW can be the Qualified Engineer, Technical Support Staff or Craftsman.	 格式化: 不加底線
	4. Plant and Equipment ** (See Remarks on the end page of this Appendix)	一 删除: See Note in Page No. 243
		删除: in the end page of this Appendix
	Adequate modern drafting and computing facilities; minimum 2 nos. CAD terminal	
	Adequate nos. of calibrated testing instruments and equipment / tools for carrying o	ut
	installation, operation, maintenance and testing / commissioning services; val	<u>id</u> 格式化: 不加底線
	calibration certificates where applicable for testing instruments shall be available. Ref to Annex 36 for a Jist of typical equipment / tools and testing instruments required.	
	to same support a pist of typical equipment / tools and testing histitiments required.	
	5. Office/Workshop Facilities	刪除: 25
	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitab	
	furnished office and workshop/storage areas. In general, the minimum office area sha	
	be 80 m ² and the minimum workshop + storage area shall be 25 m ² . Documenta	
	evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.	删除: 249
B. Confirmation	B. Confirmation	
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Group I	Group II
Not Applicable	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and
	(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
	(3) All statutory forms such as Form WR1(A) "Electricity Ordinance (Cap. 406) Electricity (Wiring) Regulations Work Completion Certificate", Form WWO 46 "Waterworks Ordinance (Cap. 102) Notification/Application for Constructing, Installing, Altering or Removing an Inside Service or Fire Service" etc. shall be issued under the name of the applicant and/or the REW/LP engaged by the applicant as applicable; and
	(4) The scope of work for this contract must fulfill all the following requirements:
	(a) Contract value of the work related to the category under application for confirmation exceeds Group I tender limit; and
	(b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and
	(c) The contract shall be of a completely new building construction or a whole building refurbishment; and
	(d) If the contract is a term contract, all of the above requirements 4(a) to 4(c) shall be fulfilled in one works order.
	(5) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to the above stated requirements:
	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and
	(d) The aforementioned main contractor shall not be the plumbing installation contractor for the project work.
C. Direct Entry	C. Direct Entry
1. Job Experience	Not Applicable

All statutory forms such as Form WR1(A) "Electricity Ordinance (Cap. 406) Electricity (Wiring) Regulations Work Completion Certificate", Form WWO 46 "Waterworks Ordinance (Cap. 102) Notification/Application for Constructing, Installing, Altering or Removing an Inside Service or Fire Service" etc. shall be issued under the name of the applicant and/or the Registered Electrical Worker (REW)/ Licensed Plumber (LP)

The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within

The scope of work for each of these 2 contracts must fulfill all of the following

engaged by the applicant as applicable; and

normal office hours; and

requirements:

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Group I Group II 删除: <sp> General The applicant shall have adequate experience in the type and size of work of the category, as stipulated under "Job Reference for Inspection" below, with satisfactory quality of work compatible with Government standard on and in relation to plumbing installations; and The applicant shall have adequate experience in contract management of work of the category, as stipulated under "Job Reference for Inspection" below, including solely and fully responsible for carrying out contract management and coordination with building contractor and other building services / electrical and mechanical contractors; and For iob experience outside the HKSAR, evidences must also be produced to the satisfaction of the Secretary for Development; otherwise, it will not be considered as a valid job reference. Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below: otherwise, it will not be considered as a valid job reference) The applicant shall submit at least 2 contracts, which were completed within the past 3 vears for site inspection; and At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and

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Group I Group II (a) Contract value of the work related to the category under application for inclusion exceeds 50% Group I tender limit; and The contract shall cover major scope of works as described in the "Brief Scope of Category": and The contract shall be of a completely new building construction project or a whole building refurbishment project. Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements: The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and (c) The subcontract shall be signed between the applicant and the main contractor which is the company awarded with the direct contract by the employer of the concerned project; and The aforementioned main contractor shall not be the plumbing installation contractor for the project work; and The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by the main contractor including a letter, which must be signed by the main contractor's authorized signatory to confirm that the applicant has met the above requirements in the subcontract; and Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project. Registration with relevant authorities in Hong Kong (such as Quality Management **System Certification, Building Authority etc.**) Statutory Registration The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406; and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical

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1 no. (minimum)

The LP and REW can be the Qualified Engineer, Technical Support Staff or Craftsman.

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List Appendix 3C 格式化: 靠右 Group I Group II 删除: <sp> 4. Plant and Equipment **(See Remarks on the end page of this Appe 删除: See Note in Page No. 243 Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment / tools for carrying out installation, operation, maintenance and testing / commissioning services; valid calibration certificates where applicable for testing instruments shall be available. Refer to **Annex 36** for a list of typical equipment /tools and testing instruments required. 刪除: 25 **Office/Workshop Facilities** Local office/workshop in the Hong Kong Special Administrative Region (HKSAR) is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 15 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be provided.

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Revision B-10 dated 26 March 2013

Minimum Technical & Manageme	nt Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List	Revision B-10 dated 26 March 2013	\- 	刪除: <sp><sp> 格式化:</sp></sp> 靠右
	-		ا - 	刪除:
Managing Department :	Highways Department			刪除: <sp><sp></sp></sp>
				刪除: Managing Department: [6]
Works Category : Class I :	Prestressed Concrete Works for Highway Structures Supply and Installation of Prestressing Systems	Supplier / Specialist Contractor	1 1	刪除:
Brief Scope of Catego	ry/Class:		1	格式化表格
structures. The applicar	nis category and class covers the supply and installation of prestressing systems for at will be included in this category and class for the supply and installation of a particular must be a proprietary product.			

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Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects in supply and installation of the prestressing system in the past 3 years, each with a value of \$1.0 M or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

The manufacturer for the prestressing systems shall be operating a quality management system certified by an internationally recognized certifying body.

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Professional (HKIE Corporate Member or equivalent) and technical staff experienced in the design of prestressed concrete bridges and the installation of prestressing systems. Local workforce capable of installing prestressing systems.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

- a. Prestressing jack.
- b. Mechanical grout pump.
- c. Mechanical grout mixer.
- d. Strand feeder.

6. Office/Workshop Facilities

Local office in Hong Kong is required.

7. Training Facilities

Not Applicable

8. Others

Satisfactory technical information and method statements. Works must comply with Section 17 of the General Specification for Civil Engineering Works. Other equivalent national standards may be considered in lieu of the standards referred to in the Specification. Technical and testing report from an approved independent organisation to confirm compliance with the above standards must be submitted.

The manufacturer for the prestressing systems and the applicant must have established acceptable manufacturing and installation procedures and fully documented quality assurance systems. Visit to the factory may be required for this purpose. If such visits are not practicable, the applicant may be required to arrange for an independent report at his own cost.

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Managing Department:	Highways Department
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Works Category : Prestressed Concrete Works for Highway Structures
Class II : Supply of Prestressed Concrete Units
Supply of Prestressed Concrete Units

Brief Scope of Category/Class:

The scope of work in this category and class covers the supply of prestressed concrete units for highway structures. The applicant will be included in this category and class for a particular prestressing system proposed in the application. The prestressing system must be a proprietary product.

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Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects in the fabrication of prestressed concrete units in the past 3 years, each with a value of \$2.0 M or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

The manufacturer for the prestressing systems shall be operating a quality management system certified by an internationally recognized certifying body.

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Professional (HKIE Corporate Member or equivalent) and technical staff experienced in the design of prestressed concrete bridges and the installation of prestressing systems. Workforce experienced in precast and prestressed concrete works.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

- a. Lifting crane.
- b. Prestressing jacks.
- c. Mechanical grout pumps.
- d. Mechanical grout mixer.
- e. Concrete mixer.
- f. Concrete vibrator.
- g. Necessary equipment to fabricate casting moulds complete with tendon/strand anchor fittings.
- h. Concrete batching plant.
- i. Equipment for testing concrete.
- j. Curing facilities.

6. Office/Workshop Facilities

Local office in Hong Kong is required. The applicant must possess a permanent casting yard with the equipment listed above and adequate storage space.

7. Training Facilities

Not Applicable

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Direct Entry

8. Others

Satisfactory technical information and method statements. Works must comply with Sections 14, 15, 16 & 17 of the General Specification for Civil Engineering Works. Other equivalent national standards may be considered in lieu of the standards referred to in the Specification. Technical and testing report from an approved independent organisation to confirm compliance with the above standards must be submitted.

The manufacturer for the prestressing systems and the applicant must have established acceptable manufacturing procedures and fully documented quality assurance systems. Visit to the permanent casting yard may be required for this purpose. If such visits are not practicable, the applicant may be required to arrange for an independent report at his own cost.

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Architectural Services Department	Managing Department:	Architectural Services Department
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Works Category: Radio Electronics Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of VHF/UHF radio transceiver, basestation, repeater, microwave radio system and related ancillary equipment at hilltop site and different venues.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

See Remarks (1) on the end page of this Appendix.

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1. Job Experience

General

 The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and

A. Entry on Probation

- (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
- (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.

Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)

- (4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
- (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
- (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
- (7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
- (8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:

The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let

(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and

by a Works Department; and

B. Confirmation

- 3) The scope of work for this contract must fulfill all the following requirements:
 - (a) Contract value of the work related to the category under application for confirmation exceeds \$0.2M; and
 - (b) The contract shall cover the nature of works as described in the "Brief Scope of Category"; and
 - (c) If the contract is a term contract, all of the above requirements 3 (a) and 3 (b) shall be fulfilled in one works order.
- (4) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
 - (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
 - (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
 - (c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the radio electronics installation contractor for the project work.

1. Job Experience

General

 The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and

C. Direct Entry

- (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
- (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.

Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)

- (4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
- (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
- (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
- (7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
- 8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

		A. Entry on Probation	B. Confirmation			C. Direct Entry
	(a)	Contract value of the work related to the category under application for inclusion exceeds \$0.2M; and			(a)	Contract value of the work related to the category under application for inclusion exceeds \$0.5M; and
	(b)	The contract shall cover the nature of works as described in the "Brief Scope of Category".			(b)	The contract shall cover the nature of works as described in the "Brief Scope of Category".
(9)	refer requ	contract will not be considered as valid job vence unless it can satisfy all of the following irements, in addition to all the above stated irements:		(9)	refer requi	ontract will not be considered as valid job ence unless it can satisfy all of the following rements, in addition to all the above stated rements:
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and			(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and			(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	(c)	The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the radio electronics installation contractor for the project work; and			(c)	The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the radio electronics installation contractor for the project work; and
	(d)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and			(d)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
	(e)	Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant			(e)	Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant

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	A. Entry on Probation	B. Confirmation	C. Direct Entry
	parties of the concerned project.		parties of the concerned project.
2	. Technical Staff (See Annex 1 for definition of Staff Qualification)		2. Technical Staff (See Annex 1 for definition of Staff Qualification)
	Technical Support Staff		Technical Support Staff
	Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
	Technician1 no.Site supervisor1 no.Draftsman1 no.		Technician 1 no. Site supervisor 1 no. Draftsman 1 no.
	<u>Craftsman</u>		<u>Craftsman</u>
	Employ adequate nos. of Electronics Craftsman		Employ adequate nos. of Electronics Craftsman
	Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks		Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks
3	Plant and Equipment **(See Remarks on the end page of this Appendix)		3. Plant and Equipment **(See Remarks on the end page of this
	Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 25 for list of typical equipment/tools and testing instruments required.		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 25 for list of typical equipment/tools and testing instruments required.
4	. Office/Workshop Facilities		4. Office/Workshop Facilities
	Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.

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A. Entry on Probation	B. Confirmation	C. Direct Entry
5. Others		5. Others
Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available. Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.		Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available. Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Managing Department:	Architectural Services Department	
Works Category :	Repair and Restoration of Historic Buildings	Specialist Contractor
Brief Scope of Categor	ry:	
The scope of work in the	nis category covers the repair and restoration of historic buildings and st	ructures.

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7. Training Facilities
Not Applicable

Not Applicable

Others

8.

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Managing Department:	Water Supplies Department	
Works Category :	Repair of Motors, Transformers and Switchgear	Specialist Contractor
		d including 11,000 Volt) motors, transformers and switchgear and

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Direct Entry

1. Job Experience

The contractor should possess at least 5 years experience in repair of motors, transformers and switchgear. The electrical equipment required to be repaired in Water Supplies Department is listed in **Annex 26**.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

Not Applicable

3. Top Management *(See Remarks on the end page of this Appendix)

One of the managers should possess minimum qualification of a Higher Certificate in Electrical Engineering or equivalent with at least 5 years relevant experience.

4. Technical Staff

The Contractor shall have in their employment at least four supervising engineering staff and twenty competent tradesmen. The supervising staff shall possess a Higher Certificate in Electrical Engineering and be registered as a Class H Electrical Worker under the Electricity Ordinance.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

As stated in item 6 (Office/Workshop Facilities) below

6. Office/Workshop Facilities

The contractor should have a well-maintained workshop in Hong Kong with equipment as listed in **Annex 27**. The Engineer may visit the Contractor's workshop to inspect the equipment and facilities before approval is granted.

7. Training Facilities

Not Applicable

8. Others

Contractor shall be in frequent trading with one or more reputable manufacturers who are able to supply specialized materials and provide technical support for repair work when required.

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Appendix 3C

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Managing Department :	Highways Department
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Works Category : Road Marking Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the laying of the various road markings.

Definition of Probationary Status#

The probation period is 3 years, during which the probationary contractor shall only be allowed to work as a subcontractor in government contracts and shall be working on not more than 2 government contracts at any one time. At the end of the probationary period or when the probationary contractor has satisfactorily completed road marking works up to a total value of HK\$ 3.0 million, the performance of the probationary contractor will be assessed to determine whether the contractor is eligible to be confirmed, or remains as a probationary contractor, or is required to be removed from the List.

* See Remarks (1) on the end page of this Appendix.

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	A. Entry On Probation	B. Confirmation	C. Direct Entry		
marking wo 1 million consulting consulting	rience y completion of less than 3 projects in road orks in the past 3 years, each with a value of HK\$ or more. References on these projects from engineers (architects or clients if there is no engineer) must be submitted which may be paying site visits.	The performance of the probationary contractor will be assessed at the end of the probationary period or when he has satisfactorily completed road marking works up to a total value of HK\$ 3.0 million. The requirements are the same as those required for "Direct Entry".	Satisfactory completion of at least 3 projects in road marking works in the past 3 years, each with a value of HK\$ 1 million or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.		
(such as Q	on with relevant authorities in Hong Kong quality Management System Certification, Authority etc.) cable		Not Applicable		刪除: NA
3. Top Mana Not Applic	rgement (See Remarks on the end page of this Appendix)		Not Applicable		刪除: NA 刪除: See Note in Page No. 234
4. Technical Technical s works.	Staff staff and work force experienced in road marking		Technical staff and work force experienced in road marking works.		刪除: NA 刪除: NA
5. Plant and Adequate markings: a. b. c. d.	Equipment **(See Remarks on the end page of this Appendix) equipment required for thermoplastic road Spraying machine. Screeding machine. Grinding machine. Twin preheater.		Adequate equipment required for thermoplastic road markings: a. Spraying machine. b. Screeding machine. c. Grinding machine. d. Twin preheater.	(删除: See Note in Page No. 234
6. Office/Wo	rkshop Facilities <u>able</u>		Not Applicable		刪除: NA 刪除: NA
7. Training I			Not Applicable		刪除: NA 刪除: NA
		1		ן, ⊢ }, !/!!	刪除: 234 刪除: 249 刪除: 250
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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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A. Entry On Probation	B. Confirmation	C. Direct Entry
8. Others a. Satisfactory technical information and method statements. Works must comply with Section 12 of General Specification for Civil Engineering Works. Other equivalent national standards may be considered in lieu of the standards referred to in the Specification.		 Satisfactory technical information and method statements. Works must comply with Section 12 of General Specification for Civil Engineering Works. Other equivalent national standards may be considered in lieu of the standards referred to in the Specification.
b. Satisfactory site demonstration on laying various types of road marking materials to demonstrate that the laying techniques and workmanship comply with the specified requirements.		b. Satisfactory site demonstration on laying various types of road marking materials to demonstrate that the laying techniques and workmanship comply with the specified requirements.

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Managing Department : Civil Engineerin	ng and Development Department	<u> </u>
Works Category : Soil and Rock Testing	5	Specialist Contractor
Brief Scope of Category :		
Contractors (or Laboratories) under this catego carried out in Hong Kong.	ry shall provide services for testing of soil	and rock samples which originate from ground investigation

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Direct Entry

1. Job Experience

The Laboratory shall be able to provide at least the following services:

- (a) All soil tests included in Geospec 3 except the determination of particle size distribution by the pipette method (Test Methods 8.3 and 8.4), the determination of in-situ bulk density and in-situ dry density of soils by nuclear densometer method (Test Method 11.3), the determination of relative compaction of fill material (Test Method 11.4) and the direct shear test using large shear box apparatus (Test Method 16.2).
- (b) Preparation of soils from tube and block samples for examination, description and determination of bulk and dry densities, void ratio and degree of saturation.
- (c) Point load test on rock and the determination of water content, porosity and dry density of rock.
- (d) Determination of the direct shear strength of rock discontinuities.
- (e) Description of soil and rock to Geoguide 3.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

The Laboratory must have a quality system which meets the requirements of the Hong Kong Laboratory Accreditation Scheme (HOKLAS) appropriate to the testing services being provided, and be accredited by Hong Kong Accreditation Service (HKAS) under the HOKLAS for the prescribed soil tests. The full scope of accreditation shall be maintained at all times. If any amendments to Geospec 3 are promulgated in whatsoever form, the Laboratory shall seek and obtain accreditation for the relevant tests incorporating the amendments.

3. Top Management *(See Remarks on the end page of this Appendix)

All management staff below shall satisfy the minimum qualifications and training requirements prescribed below. Staff competence will be assessed.

- (a) Quality Manager (1 no.) responsible for the quality system
- (b) Laboratory Manager (1 no.) responsible for the technical and administrative matters
- (c) Signatories (minimum 2 nos.) responsible for signing all test certificates and reports

Qualifications, Training and Experience Requirements:

(a) Quality Manager

The Quality Manager shall satisfy the minimum qualification requirements for Signatory Option A or B as set out below. In addition, he/she shall be fully conversant with the quality system of the Laboratory and shall have adequate knowledge and experience on laboratory quality management and internal quality audits.

(b) Laboratory Manager

The Laboratory Manager shall satisfy the minimum qualification and experience requirements for Signatory Option A, B or C as set out below. In addition, he/she shall have at least one year of experience in the management of a Laboratory, including the technical and administrative matters associated with the Laboratory's operation.

(c) Signatories of Test Certificates and Reports

The main and reserve Signatories shall possess a sufficient standard of English to understand the requirements of soil and rock testing standards and HOKLAS documents.

They shall satisfy the minimum qualification and experience requirements given in either Option A, B or C below and shall also be a HOKLAS Approved Signatory for the prescribed soil tests included in Geospec 3.

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Appendix 3C

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Direct Entry

Option A

Be in possession of an educational qualification acceptable to HKIE for Corporate Membership (Civil or Geotechnical Discipline) (Non-mature candidates), and have a minimum of five years of post-qualification civil or geotechnical engineering experience, with at least one year of experience in supervising the relevant types of soil and rock tests.

Option B

Be in possession of a Hong Kong polytechnic or technical institute Ordinary Certificate in Civil Engineering, or equivalent approved by Government, and have a minimum of seven years of post-qualification experience in construction materials testing, with at least two years in the relevant types of soil and rock tests, one year of which shall be in a supervisory role.

Option C

Be in possession of Grade E or above in five subjects including Physics, Mathematics and English (Syllabus B) in the Hong Kong Certificate of Education Examination (HKCEE), or equivalent approved by Government, and have a minimum of ten years of post-qualification experience in construction materials testing, with at least two years in the relevant types of soil and rock tests, one year of which shall be in a supervisory role.

4. Technical Staff

All technical staff below shall satisfy the minimum qualifications and training requirements prescribed below. Staff competence will be assessed.

- (a) Senior Laboratory Technician (minimum 1 no.) responsible for organizing the testing operations
- (b) Laboratory Technicians (minimum 2 nos.) responsible for carrying out testing and reporting
- (c) Laboratory Assistants (minimum 2 nos.) responsible for simple tests under supervision
- (d) Semi-skilled Staff (adequate no.) responsible for assisting in all tests under supervision

Qualifications, Training and Experience Requirements:

(a) Senior Laboratory Technician

The person shall satisfy the minimum qualification and experience requirements given in either Option 1, 2 or 3 below:

Option 1

Be a qualified Laboratory Technician (Option 1) as defined in 4(b) below, and have a minimum of three years of experience in construction materials testing, with at least two years in the relevant types of soil and rock tests. Records of such experience shall be certified as accurate by the Signatory.

Option 2

Be a qualified Laboratory Technician (Option 2) as defined in 4(b) below, and have a minimum of six years of experience in construction materials testing, with at least two years in the relevant types of soil and rock tests. Records of such experience shall be certified as accurate by the Signatory.

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Direct Entry

Option 3

Be a qualified Laboratory Technician (Option 3) as defined in 4(b) below, and have a minimum of eight years of experience in construction materials testing, with at least two years in the relevant types of soil and rock tests. Records of such experience shall be certified as accurate by the Signatory.

(b) Laboratory Technicians

The persons shall satisfy the minimum qualification and experience requirements given under Option 1, 2 or 3 below:

Option 1

Be in possession of a Hong Kong polytechnic or technical institute Ordinary Certificate in Civil Engineering, or equivalent approved by Government, and have adequate on-the-job training on the practical and technical aspects of testing covering each of the tests to be carried out.

Be in possession of Grade E or above in five subjects including Physics, Mathematics and English (Syllabus B) in the Hong Kong Certificate of Education Examination (HKCEE), or equivalent approved by Government, and have adequate on-the-job training on the practical and technical aspects of testing covering each of the tests to be carried out.

Option 3

Be a qualified Laboratory Assistant as defined in 4(c) below, in possession of Grade E or above in English (Syllabus B) in the Hong Kong Certificate of Education Examination, or equivalent approved by Government, and have a minimum of two years of post-qualification experience in the relevant types of tests and adequate on-the-job training on the practical and technical aspects of testing covering each of the tests to be carried out. Records of such experience shall be certified as accurate by the supervising Senior Laboratory Technician or Signatory.

(c) Laboratory Assistants

The persons shall be able to read and understand the work instructions of the Laboratory and have adequate on-the-job training on the practical and technical aspects of testing covering each of the tests to be carried out.

(d) Semi-skilled Staff

The persons shall have adequate training in the operations required to be performed in the Laboratory.

Plant and Equipment **(See Remarks on the end page of this Appendix)

The laboratory shall possess the following minimum numbers of major items of equipment:

Triaxial compression machines (6 nos.), triaxial cells and all accessories (12 nos.), pedometer cells (6 nos.), and direct shear machine (1 no.)

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Appendix 3C

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Direct Entry

Office/Workshop Facilities

All applicants must possess or set up a place of business in Hong Kong. Laboratory set-up, equipment and accommodation will be audited and assessed.

Training Facilities

Training records/competence log on technical staff are required.

Others

The prescribed minimum numbers of management and technical staff shall be working full-time for the Laboratory. The Laboratory must have satisfactory accommodation and equipment to carry out the prescribed soil and rock tests to relevant testing standards. It must demonstrate that it continues to satisfy all the criteria through submission of documentary evidence and records, and during audit visits by Government representatives. It must also demonstrate satisfactory performance in proficiency testing arranged from time to time.

When applying to enter the List, the Laboratory shall provide copies of the following to the Government for assessment:

- (a) quality manuals (including test method manuals):
- (b) a test directory listing all soil and rock tests which the Laboratory can perform and the test methods (including the version of amendments to relevant test standards) adopted;
- (c) organization chart of the Laboratory;
- (d) a list of the names of the proposed main and reserve Signatories, Quality Manager and Laboratory Manager, and of the Laboratory's Technical Personnel, as well as curriculum vitae giving their qualifications and experience, together with self-declaration letters on their full-time employment status with the Laboratory;
- (e) a competence log sheet giving the tests/operations which each of the Technical Personnel is competent to perform/supervise;
- (f) a plan showing the layout and dimensions of the testing areas and sample storage and preparation areas of the Laboratory, with environment controls stated on it; and
- (g) a schedule giving the name of the manufacturer, model, identification marking, specification details of major equipment (including triaxial, direct shear, oedometer, CBR and point load tests equipment) and their calibration records required for carrying out the tests.

On acceptance on the List, whenever changes to any of the above items (including changes of staff) have taken place, the Laboratory shall provide updated copies of the item to the Government within one week of the change taking place. Also, in January and July each year, the Laboratory shall submit to the Government the latest organization chart of the Laboratory and a summary of the total number of tests carried out for each type of standard and non-standard tests in the preceding six months for both Government and other clients.

Government representatives may carry out quality or technical audits of the Laboratory at any time. The Laboratory shall provide every assistance to enable the audits to be carried out. During the audits, the Laboratory's records related to the tests carried out (including records of calibration and checking of equipment), as well as training records, internal audit records and proof of qualifications and experience of personnel may be examined. Laboratory Personnel may be interviewed and required to perform specific tests and calibrations in part or in full.

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Managing Department : Architectural Services Department				
Works Category : Space	ce Frame Systems	Supplier / Specialist Contractor		
Brief Scope of Category :				
The scope of work in this categor the specified roof system.	ory covers the supply and supervision of the erec	ction of the space frames, and the design in the case of modification to suit		

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Revision B-10 dated 26 March 2013

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naging Department :	Highways Department	
Works Category :		Specialist Contractor
Class I :	Guniting	
Brief Scope of Categ The scope of work in	ory/Class: this category and class covers the guniting works for construction and/or repair or	f concrete highway structures.

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Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects in guniting works for the construction and/or repair of concrete highway structures in the past 3 years, each with a value of \$0.1 M or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

Not Applicable

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Local skilled workforce in guniting.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

- Air compressor.
- b. Gunite machine with necessary accessories.
- c. Concrete breaking hammer (pneumatic/electric).
- d. Scabbling hammer.
- e. Spray machine with spray gun.
- f. Water jet pump.

6. Office/Workshop Facilities

Local office in Hong Kong is required.

7. Training Facilities

Not Applicable

8. Others

Satisfactory technical information and method statements.

Satisfactory site demonstrations. The applicant is also required to demonstrate procedures for the treatment of corroded reinforcement prior to guniting.

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Vorks Category : Class <u>II</u> :	Specialized Operations for Highway Structures Waterproofing of Concrete Surfaces	Specialist Contractor
rief Scope of Categor	ry/Class: nis category and class covers the waterproofing of surfaces of concrete highy	way structures e.g. footbridge roofs or the like
e scope of work in th	his category and class covers the waterproofing of surfaces of concrete highv	way structures, e.g. footoriage roofs or the like.

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Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects in waterproofing of surfaces of concrete highway structures in the past 3 years, each with a value of \$0.2 M or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

Not Applicable

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Local skilled workforce in waterproofing works.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

Equipment specified by the manufacturer of the proprietary waterproofing material.

Office/Workshop Facilities

Local office in Hong Kong is required.

7. Training Facilities

Not Applicable

8. Others

Satisfactory technical information and method statements.

Satisfactory site demonstrations.

Works must comply with Section 20: Part 1 of the General Specification for Civil Engineering Works.

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Managing Department :	Highways Department

Works Category : Specialized Operations for Highway Structures Specialist Contractor
Class III : Repair of Cracked Concrete by Resin Injection

Brief Scope of Category/Class:

The scope of work in this category and class covers the resin injection for repair of cracks in structural concrete for highway structures.

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Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects involving resin injection for repair of cracks in structural concrete in the past 3 years, each with a value of \$15,000 or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable

3. Top Management *(See Remarks on the end page of this Appendix)
Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Local workforce skilled in repair of concrete structures by resin injection.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

- a. Resin injection pump with pressure control.
- Mechanical mixer for resin.
- c. Air compressor.

Office/Workshop Facilities

Local office in Hong Kong is required.

Training Facilities

Not Applicable

Satisfactory technical information and method statements.

Satisfactory site demonstrations.

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Specialist Contractor
steelworks for highway structures.
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Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects in non-destructive weld-testing on structural steel works in the past 3 years, each with a value of \$ 0.1 M or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable

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3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

See Note in Page No. 234

4. Technical Staff

Professional and technical staff with relevant qualifications and experience in non-destructive testing of welds.

5. Plant and Equipment**(See Remarks on the end page of this Appendix)

- a. Penetrant (dye and fluorescent) flaw detection.
- Magnetic particle flaw detection.
- Radiological flaw detection, including dark room facilities.
- Ultrasonic flaw detection.

Office/Workshop Facilities

Local office in Hong Kong is required.

Training Facilities

Not Applicable

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Satisfactory technical information, method statements and fully documented quality assurance system. Satisfactory site demonstrations.

Works must comply with Clause 18.96(4) of the General Specification for Civil Engineering Works.

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naging Department :	Highways Department	
Works Category : Class V :	Specialized Operations for Highway Structures Hot Dip Galvanising	Specialist Contractor
Brief Scope of Catego The scope of work in t	ory/Class: his category and class covers the hot dip galvanising of structural steelwork	ts for highway structures.

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Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects in hot dip galvanising of structural steelworks in the past 3 years, each with a value of \$0.2 M or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable

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3. Top Management *(See Remarks on the end page of this Appendix)
Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Technical staff with relevant qualifications and experience.

Plant and Equipment**(See Remarks on the end page of this Appendix)

- a. Alkaline degreasing tank.
- Acid pickling tank.
- Rinsing tank.
- Fluxing tank.
- Drying tank.
- Zinc bath with temperature control.
- Water quenching/air cooling tank.
- Coating thickness gauge.
- Lifting cranes.
- Laboratory facilities for testing chemicals used in galvanising.

Office/Workshop Facilities

Local office in Hong Kong is required. The applicant must possess a galvanising facility with the equipment listed above.

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7. Training Facilities

Not Applicable

8. Others

Satisfactory technical information, method statements and fully documented quality assurance system. Satisfactory demonstrations.

Works must comply with Clause 18.53 to 18.60 and 18.61(2) of the General Specification for Civil Engineering Works.

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Managing Department:	Architectural Services Department
Managing Department:	Architectural Services Department

Works Category: Steam and Compressed Air Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of steam and compressed air installation including laundry equipment, boiler, steam distribution system, compressed air plant etc.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

* See Remarks (1) on the end page of this Appendix.

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of an Installation) Certificate (i.e. Form WR1 (A)) shall

be issued under the name of the applicant; and

The applicant shall be able to arrange joint site

quality and workmanship of the submitted job

reference within normal office hours; and

inspections for the government's examination of the

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

of an Installation) Certificate (i.e. Form WR1 (A)) shall

be issued under the name of the applicant; and

The applicant shall be able to arrange joint site

quality and workmanship of the submitted job

reference within normal office hours; and

inspections for the government's examination of the

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	A. Entry on Probation			B. Confirmation		C. Direct Entry
1. Jol Gene	b Experience	(1)	past	applicant has satisfactorily completed, within the	1. Jo	bb Experience
(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	(2)	This betw	contract shall be direct contract, which was signed een the applicant and the employer of the erned project; and	(1)	The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and	(3)	of an	tatutory forms such as the Work Completion (Part Installation) Certificate (i.e. Form WR1 (A)) shall sued under the name of the applicant; and	(2)	The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and
	mechanical contractors; and	(4)		scope of work for this contract must fulfill all the wing requirements:		mechanical contractors; and
(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.		(a)	Contract value of the work related to the category under application for confirmation exceeds \$0.5M; and	(3)	For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
Job l	Reference for Inspection (In addition to the above, every		(b)	The contract shall cover the nature of works as described in the "Brief Scope of Category"; and	Job I	Reference for Inspection (In addition to the above, every
job s set o	ubmitted by the applicant shall fulfill all requirements ut below; otherwise, it will not be considered as a valid eference)		(c)	If the contract is a term contract, all of the above requirements 4 (a) and 4 (b) shall be fulfilled in one works order.	job s set o	ubmitted by the applicant shall fulfill all requirements ut below; otherwise, it will not be considered as a valid eference)
(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and	(5)	refer	ontract will not be considered as valid job ence unless it can satisfy all of the following rements, in addition to all the above stated	(4)	The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
(5)	At least one of the above 2 contracts shall be			rements:	(5)	At least one of the above 2 contracts shall be
	completed within the past 12 months or at final stage; and		(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of		completed within the past 12 months or at final stage; and
(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and		(b)	Category" covered in the main contract; and The applicant shall have undertaken the full	(6)	These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
(7)	All statutory forms such as the Work Completion (Part			scope of work covered in the subcontract, solely and fully, including contract management; and	(7)	All statutory forms such as the Work Completion (Part

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The party by which the applicant is awarded

contractor, which is the company awarded with

concerned project, all shall not be the steam and

with the subcontract, and the upstream contracting parties up to and including the main

the direct contract by the employer of the

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

A. Entry on Probation **B.** Confirmation C. Direct Entry compressed air installation contractor for the The scope of work for each of these 2 contracts must The scope of work for each of these 2 contracts must project work. fulfill all of the following requirements: fulfill all of the following requirements: Contract value of the work related to the Contract value of the work related to the category under application for inclusion exceeds category under application for inclusion exceeds \$0.5M: and \$1M: and The contract shall cover the nature of works as The contract shall cover the nature of works as described in the "Brief Scope of Category". described in the "Brief Scope of Category". (10) Subcontract will not be considered as valid job (10) Subcontract will not be considered as valid job reference unless it can satisfy all of the following reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements, in addition to all the above stated requirements: requirements: The subcontract shall include all the relevant The subcontract shall include all the relevant work as described under the "Brief Scope of work as described under the "Brief Scope of Category" covered in the main contract; and Category" covered in the main contract; and The applicant shall have undertaken the full The applicant shall have undertaken the full scope of work covered in the subcontract, solely scope of work covered in the subcontract, solely and fully, including contract management; and and fully, including contract management; and The party by which the applicant is awarded The party by which the applicant is awarded with the subcontract, and the upstream with the subcontract, and the upstream contracting parties up to and including the main contracting parties up to and including the main contractor, which is the company awarded with contractor, which is the company awarded with the direct contract by the employer of the the direct contract by the employer of the concerned project, all shall not be the steam and concerned project, all shall not be the steam and compressed air installation contractor for the compressed air installation contractor for the project work; and project work; and The applicant must present documentary The applicant must present documentary evidence to prove the above requirements are evidence to prove the above requirements are met and such evidence must be substantiated by met and such evidence must be substantiated by all the relevant upstream contracting parties as all the relevant upstream contracting parties as mentioned at (c) above including letters from mentioned at (c) above including letters from them, which must be signed by their authorized them, which must be signed by their authorized signatories to confirm that the applicant has met signatories to confirm that the applicant has met the above requirements in the subcontract; and the above requirements in the subcontract; and Notwithstanding the above, the Managing (e) Notwithstanding the above, the Managing Department may seek references on the Department may seek references on the applicant's technical and managerial capabilities applicant's technical and managerial capabilities from the employer, the relevant parties of the from the employer, the relevant parties of the

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	A. Entry on Probation	B. Confirmation	C. Direct Entry
	concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.		concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.
(su	distration with relevant authorities in Hong Kong the as Quality Management System Certification, Iding Authority etc.)		2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
Stat	utory Registration		Statutory Registration
Con 406 rele	applicant shall be a Registered Electrical tractor registered under Electricity Ordinance, Cap. and have full time technical staff (meeting the want statutory registration requirement) of number less than that described in the Section "Technical f'.		The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
3. Te	chnical Staff (See Annex 1 for definition of Staff nalification)		3. Technical Staff (See Annex 1 for definition of Staff Qualification)
Qua	lified Engineer		Qualified Engineer
Bui	oloy at least 1 no. qualified engineer (Mechanical or ding Serviecs discipline) with adequate and want project management experience.		Employ at least 1 no. qualified engineer (Mechanical or Building Serviecs discipline) with adequate and relevant project management experience.
Tec	nnical Support Staff		Technical Support Staff
and	oloy adequate nos. of technicians, site supervisors draftsmen with adequate and relevant academic ification and working experience.		Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
Site	nnician 2 nos. supervisor 2 nos. ftsman 1 no.		Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no.
Cra	tsman_		<u>Craftsman</u>
Em _j trad	oloy adequate nos. of craftsman in the following es		Employ adequate nos. of craftsman in the following trades

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A. Entry on Probation	B. Confirmation	C. Direct Entry
(A) Mechanical Fitter Minimum score required 20 marks Qualified Mechanical Fitter 20 marks Intermediate Mechanical Fitter 15 marks Ordinary Mechanical Fitter 8 marks		(A) Mechanical Fitter Minimum score required 20 marks Qualified Mechanical Fitter 20 marks Intermediate Mechanical Fitter 15 marks Ordinary Mechanical Fitter 8 marks
(B) Electrician Minimum score required 20 marks Qualified Electrician 20 marks Intermediate Electrician 15 marks Ordinary Electrician 8 marks		(B) Electrician Minimum score required 20 marks Qualified Electrician 20 marks Intermediate Electrician 15 marks Ordinary Electrician 8 marks
Statutory Registration The applicant shall have staff meeting the following statutory registration requirements: ♦ Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 – 1 no. (minimum) The REW can be the Qualified Engineer, Technical Support Staff or Craftsman.		Statutory Registration The applicant shall have staff meeting the following statutory registration requirements: ♦ Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade A2 – 1 no. (minimum) The REW can be the Qualified Engineer, Technical Support Staff or Craftsman.
4. Plant and Equipment **(See Remarks on the end page of this Appendix)		4. Plant and Equipment **(See Remarks on the end page of this Appendix)
Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 28 for list of typical equipment/tools and testing instruments required.		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 28 for list of typical equipment/tools and testing instruments required.
5. Office/Workshop Facilities		5. Office/Workshop Facilities
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 40 m². Documentary evidence Appendix 3C		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m ² and the minimum workshop + storage area shall be 40 m ² . Documentary evidence Page 194 of 249

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A. Entry on Probation	B. Confirmation	C. Direct Entry
(e.g. purchase/lease agreement) to prove right of use shall be produced.		(e.g. purchase/lease agreement) to prove right of use shall be produced.
6. Others		6. Others
Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.		Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.
Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.		Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Managing Department : Highways Department					
Works Category : _	Structural Steelwork		Specialist Contractor		
Brief Scope of Category The scope of work in this	s category and class covers the fabrication and erec	ction of structural steelwork for highwa	ay structures.		

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Direct Entry

1. Job Experience

Satisfactory completion of at least 3 projects in the fabrication and erection of structural steelworks for permanent civil engineering or building structures in the past 3 years, each with a value of \$ 0.5 M or more. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

Not Applicable

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Professional and technical staff experienced in the design, fabrication and erection of structural steelwork.

Local workforce for erection of steelwork.

At least 3 qualified welders to BS 4570, BS EN287-1 or BS 4872: Part 1 as appropriate. Copies of their certificates are required.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

- a. Welding plant.
- b. Lifting crane.
- c. Drilling machine.
- d. Bending machine.
- e. Lathe.
- f. Shearing machine.
- g. Flame cutting machine.
- h. Plate rolling machine.
- i. Grit blasting equipment.
- i. Grinder.
- k. Planing, shaping and slotting machine.
- 1. Milling machine.
- m. Boring and surfacing machines.
- n. Oven/cabinet with drying facilities for storing electrodes.

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Direct Entry

6. Office/Workshop Facilities

Local office in Hong Kong is required. Fabrication yard with the equipment listed above. The applicant may be required to arrange for a visit to the fabrication yard.

7. Training Facilities

Not Applicable

8. Others

Satisfactory technical information, method statements and fully documented quality assurance and control system for fabrication and erection of steelwork. Works must comply with Section 18 of the General Specification for Civil Engineering Works.

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Managing Department:	Highways Department	
Works Category : Class <u>I</u> :	Supply and Installation of Bearings for Highway Structures Mechanical Bearings	Specialist Contractor
	ry/Class: his category and class covers the supply and installation of mechanical bridge bearing and class for the supply and installation of a particular bearing proposed in the appliance.	

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Direct Entry

1. Job Experience

Satisfactory performance of the bearing in service. A list of job references where the bearing has been installed, together with at least 3 references from the clients or maintenance authorities for projects completed in the past 3 years, must be submitted. The number of bearings installed in each project must not be less than 6. The applicant may be required to arrange site visits to verify the references.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

The manufacturer for the bearing shall be operating a quality management system certified by an internationally recognized certifying body.

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Professional and technical staff experienced in bridge design and installation of bearings. Local workforce capable of installing the bearing. A mock-up installation may be required.

Plant and Equipment**(See Remarks on the end page of this Appendix)

- Equipment required for the installation of the bearing as specified by the manufacturer.
- Mechanical grout mixer.
- Mechanical grout pump.

Office/Workshop Facilities

Local office in Hong Kong is required.

Training Facilities

Not Applicable

Others

Satisfactory technical information and method statements. The design, manufacture and installation must comply with Section 20: Part 2 of the General Specification for Civil Engineering Works and Section 9 of the Structures Design Manual for Highways and Railways. Other equivalent national standards may be considered in lieu of the standards referred to in the Specification. Technical and testing report from an approved independent organisation to confirm compliance with the above standards must be submitted.

The manufacturer for the bearing and the applicant must have established acceptable manufacturing and installation procedures and fully documented quality assurance systems. Visit to the factory may be required for this purpose. If such visits are not practicable, the applicant may be required to arrange for an independent report at his own cost.

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Managing Department :	Highways Department
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Works Category	:	Supply and Installation of Bearings for Highway Structures	Specialist Contractor
Class II	:	Elastomeric Bearings	

Brief Scope of Category/Class:

The scope of work in this category and class covers the supply and installation of elastomeric bridge bearings for highway structures. The applicant will be included in this category and class for the supply and installation of a particular bearing proposed in the application. The bearing must be a proprietary product.

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Direct Entry

1. Job Experience

Satisfactory performance of the bearing in service. A list of job references where the bearing has been installed, together with at least 3 references from the clients or maintenance authorities for projects completed in the past 3 years, must be submitted. The number of bearings installed in each project must not be less than 6. The applicant may be required to arrange site visits to verify the references.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

The manufacturer for the bearing shall be operating a quality management system certified by an internationally recognized certifying body.

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Professional and technical staff experienced in bridge design and installation of bearings. Local workforce capable of installing the bearing. A mock-up installation may be required.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

- a. Equipment required for the installation of the bearing as specified by the manufacturer.
- b. Mechanical grout mixer.
- c. Mechanical grout pump.

6. Office/Workshop Facilities

Local office in Hong Kong is required.

7. Training Facilities

Not Applicable

8. Others

Satisfactory technical information and method statements. The design, manufacture and installation must comply with Section 20: Part 2 of the General Specification for Civil Engineering Works and Section 9 of the Structures Design Manual for Highways and Railways. Other equivalent national standards may be considered in lieu of the standards referred to in the Specification. Technical and testing report from an approved independent organisation to confirm compliance with the above standards must be submitted.

The manufacturer for the bearing and the applicant must have established acceptable manufacturing and installation procedures and fully documented quality assurance systems. Visit to the factory may be required for this purpose. If such visits are not practicable, the applicant may be required to arrange for an independent report at his own cost.

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Managing Department:	Highways Department

Works Category : Supply and Installation of Expansion Joints for Highway Structures Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply and installation of expansion joints for vehicular bridges. The applicant will be included in this category for the supply and installation of a particular expansion joint proposed in the application. The expansion joint must be a proprietary product.

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Direct Entry

1. Job Experience

Satisfactory performance of the expansion joint in service. A list of job references where the expansion joint has been installed, together with at least 3 references from the clients or maintenance authorities for projects completed in the past 3 years, must be submitted. The number of expansion joints installed in each project must not be less than 3. The applicant may be required to arrange site visits to verify the references.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)

The manufacturer for the expansion joint shall be operating a quality management system certified by an internationally recognized certifying body.

3. Top Management *(See Remarks on the end page of this Appendix)

Top management shall possess relevant professional and management experience and have sufficient commitment for control of the works.

4. Technical Staff

Professional and technical staff experienced in bridge design and installation of expansion joints. Local workforce capable of installing the expansion joint. A mock-up installation may be required.

5. Plant and Equipment **(See Remarks on the end page of this Appendix)

- a. Equipment required for the installation of the expansion joint as specified by the manufacturer.
- b. Pneumatic breaker with compressor.
- c. Oven for melting binder.
- d. Welding equipment.
- e. Concrete vibrator.
- f. Mechanical mortar mixer.
- g. Generator.
- h. Concrete cutter.
- i. Gas burner.
- j. Air blower.

6. Office/Workshop Facilities

Local office in Hong Kong is required.

7. Training Facilities

Not Applicable

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See Note in Page No. 234

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Direct Entry

8. Others

Satisfactory technical information and method statements. The design, manufacture and installation must comply with Section 20: Part 4 of the General Specification for Civil Engineering Works and Section 11 of the Structures Design Manual for Highways and Railways. Other equivalent national standards may be considered in lieu of the standards referred to in the Specification. Technical and testing report from an approved independent organisation to confirm compliance with the above standards must be submitted. The manufacturer for the expansion joint and the applicant must have established acceptable manufacturing and installation procedures and fully documented quality assurance systems. Visit to the factory may be required for this purpose. If such visits are not practicable, the applicant may be required to arrange for an independent report at his own cost. Trial installation on a private/Government work site may be required. For the latter case, the trial will be subject to the applicant accepting the liability for replacing the joint with an approved joint should the joint be found unsatisfactory. The assessment period of the trial is 12 months.

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Managing Department :	Water Supplies Department		
Works Category :	Supply and Installation of Pumpsets and Associated Pipework	Specialist Contractor	
Brief Scope of Category: The scope of work in this cathe associated equipment.	ategory covers the supply, fabrication, installation, testing, and delivery to site of pu	ampsets, pipework, fittings, valves and	
1 0	y Status th the criteria of 'Entry On Probation' will be admitted initially on probation and a distribution of 'Confirmation'. Contractors complying with the criteria of 'Confirmation'.	-	

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	A. Entry On Probation		B. Confirmation	C. Direct Entry			
	1.	Job Experience Possess at least five years experience in the supply and installation of large pumping plant (with an input power requirement not less than 250kW), either in the capacity of a contractor or sub-contractor in private sector or Government contracts. The contractor should either have an agency agreement with a reputable pump manufacturer or have a close-link relation with (such as a subsidiary company of) a contractor with sufficient experience in the supply and installation of large pumping plant (with an input power requirement over 500kW) for direct technical support and services.	Satisfactory completion of one contract without any adverse report for the duration (including the maintenance period) of the contract. The contract should consist of at least a large pumpset with an input power of 500 kW.	Possess at least five years experience in the supply and installation of large pumping plant (with an input power requirement of 500kW and over), either in the capacity of a contractor or sub-contractor in private sector or Government contracts.			
	2.	Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)					
I		Not Applicable	Not Applicable	Not Applicable	刪除: NA		
	3.	Top Management *(See Remarks on the end page of this Appendix)			刪除: NA		
!	<i>3.</i>	At least one of the managers and professional staff should possess minimum qualification of corporate membership of a recognized professional institution in mechanical engineering discipline i.e. MIMechE, MHKIE or equivalent with relevant experience.	The contractor can provide proof that the company's management capabilities remain the same or have become better than that at the time when the company was admitted to the List on probation.	At least one of the managers and professional staff should possess minimum qualification of corporate membership of a recognized professional institution in mechanical engineering discipline i.e. MIMechE, MHKIE or equivalent with relevant experience.	刪除: NA 刪除: See Note in Page No. 234		
		•		with televant experience.	格式化表格		
	4.	Technical Staff Minimum of three qualified technical and supervisory persons as well as 10 tradesmen/workers. The technical and supervisory staff should possess minimum qualification of Higher Certificate in Mechanical Engineering or equivalent with at least five years relevant experience.	The contractor can provide proof that the company's technical capabilities remain the same or have become better than that at the time when the company was admitted to the List on probation.	Minimum of three qualified technical and supervisory persons as well as 10 tradesmen/workers. The technical and supervisory staff should possess minimum qualification of Higher Certificate in Mechanical Engineering or equivalent with at least five years relevant experience.	格式化: 字型: 13 點 格式化: 內文縮排, 靠左. 縮排: 定心, 右: 0", 右: 0", 貼齊格線, 定位點: 不在 0.36" + 0.63" + 4.06" 刪除: 234 刪除: 249		
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4	Appendix 3	ppendix 3C Page 207 of <u>249</u>					

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

	A. Entry On Probation	B. Confirmation	C. Direct Entry	4 (-,-,-,	格式化: 置中格式化表格
	5. Plant and Equipment **(See Remarks on the end page of this Appendix)	X-2-X-3-2-3-2			刪除: See Note in Page No. 234
	Not Applicable	Not Applicable	Not Applicable		刪除: NA
	6. Office/Workshop facilities			* \	刪除: NA
	A workshop in Hong Kong furnished with the necessary	The contractor can provide proof that the company's	A workshop in Hong Kong furnished with the necessary	(``\	刪除: NA
	tools, specialised equipment and other supporting facilities.	workshop facilities remain the same or have become better than that at the time when the company was	tools, specialised equipment and other supporting facilities.		格式化: 縮排: 左: 0"
		admitted to the List on probation.		4 , \\	格式化: 字型: 非粗體
				-11	格式化: 字型: 非粗體
	7. Training Facilities			4 1	格式化
	Not Applicable	Not Applicable	Not Applicable		格式化: 縮排: 左: 0", 第 一行: 0"
	8. Others			→ \ \ \	格式化
	The Contractor should provide full details of the equipment supplied and installed under previous contracts to demonstrate his capability of complying with WSD's	The Contractor should provide full details of the equipment supplied and installed under previous contracts to demonstrate his capability of complying	The Contractor should provide full details of the equipment supplied and installed under previous contracts to demonstrate his capability of complying	\\\\\	格式化: 縮排: 左: 0",第 一行: 0",定位點: 0.08",左+ 不在 0.63"
	standard technical specification.	with WSD's standard technical specification.	with WSD's standard technical specification.		格式化: 縮排: 左: 0"
	-				格式化: 縮排: 左: 0"
				· ·	格式化: 字型: 非粗體
Ι,					刪除: A. Entry On Probation [75]

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Managing Department :	Water Supplies Department

Works Category : Supply and Installation of Water Treatment Plant

Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the design, manufacture and installation of water treatment plant in public supply systems for treating raw water to potable standard acceptable to the Water Supplies Department and for processing water treating effluent to a quality acceptable for disposal.

Definition of Probationary Status

Except in the most exceptional circumstances, a contractor will be admitted initially on probation.

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	A. Entry On Probation	B. Confirmation	
	1. Job Experience The applicant should possess a minimum of 10 years experience in the design, manufacture and installation of water treatment plant in public supply systems for treating raw water to potable standard acceptable to the Water Supplies Department and for processing water treatment effluent to a quality acceptable for disposal. Also, they should have completed successfully 2 water treatment works each of capacity at least 150 Mld in the past 10 years.	The probationary contractor has satisfactorily completed one contract and he has not received any adverse report for the duration (including the maintenance period) of the contract.	
	2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable	Not Applicable	
	3. Top Management *(See Remarks on the end page of this Appendix)		NA 删除: NA
	Not Applicable	Not Applicable	删除: See Note in Page No. 234
			刪除: NA
	4. Technical Staff The applicant should have an established organization comprising qualified professional and technical staff from Civil, Electrical, Mechanical and Chemical Engineering fields with at least 5 years relevant experience.	see item (8)	刪除: NA
	5. Plant and Equipment **(See Remarks on the end page of this Appendix) Not Applicable	Not Applicable	一一 删除: See Note in Page No. 234
	6. Office/Workshop Facilities The applicant should have local supporting workshop facilities and allow inspection of such facilities by Government representatives.	see item (8)	刪除: NA 刪除: NA
	7. Training Facilities Not Applicable	Not Applicable	
	8. Others The applicant should have at least 3 years trading record with manufacturers who are able to supply specialized materials and equipment for the work and provide him with technical support when required.	The probationary contractor can provide proof that the company's financial, technical and management capabilities have remained the same or have become better than the time when he was admitted on the List on probation.	刪除: NA
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Appendix 3C

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Managing Department: Highways Department

Works Category: Supply of Bituminous Pavement Materials and Construction of

Supplier / Specialist Contractor

Special Bituminous Surfacing

Brief Scope of Category:

The scope of work in this category covers the supply of the bituminous pavement materials and the laying of the special bituminous surfacing as described in Works Branch Technical Circular No. 17/96.

Definition of Probationary Status

The probation period is 2 years. The probationary contractor will be assessed at the end of the probationary period on the basis of capability and performance locally to determine whether the contractor is eligible to be confirmed, or remains as a probationary contractor, or is required to be removed from the List.

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

A. Entry On Probation	B. Confirmation	C. Direct Entry		
Satisfactory completion of 1 to 3 projects in supply of bituminous pavement materials and construction of special bituminous surfacing in the past 3 years or in lieu of which, satisfactory completion of trial production and laying of bituminous pavement materials and/or special bituminous surfacing. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by	The probationary contractor will be assessed at the end of the probationary period on the basis of capability and performance locally. The requirements are the same as those required for "Direct Entry".	Satisfactory completion of at least 3 projects in supply of bituminous pavement materials and construction of special bituminous surfacing in the past 3 years, demonstrating adequate management and technical support for a general supply of bituminous pavement materials to the local market. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits.		
(such as Quality Management System Certification, Building Authority etc.)		Not Applicable		一刪除: NA
Top Monogomont*(See Remarks on the end page of this Appendix)		(刪除: NA
		Not Applicable		刪除: See Note in Page No. 234
Professional, technical staff and work force experienced in the supply of bituminous pavement materials and		Professional, technical staff and work force experienced in the supply of bituminous pavement materials and construction of special bituminous surfacing.		刪除: NA 刪除: NA
 Adequate equipment required: a. Mixing plant having at least four separate cold feed bins, four hot storage bins, a rotary drum dryer, temperature monitoring devices, and sampling devices for taking samples of hot aggregates, fillers and bitumen before mixing. b. Heating and storage tank fitted with circulating pumps and thermostatically controlled heaters for bitumen. c. Heating and storage tank fitted with high shear mixer for pre-blended type of modified binder. d. Insulated surge bin fitted with heating devices to maintain temperature of the hot-mix. 		Adequate equipment required: a. Mixing plant having at least four separate cold feed bins, four hot storage bins, a rotary drum dryer, temperature monitoring devices, and sampling devices for taking samples of hot aggregates, fillers and bitumen before mixing. b. Heating and storage tank fitted with circulating pumps and thermostatically controlled heaters for bitumen. c. Heating and storage tank fitted with high shear mixer for pre-blended type of modified binder. d. Insulated surge bin fitted with heating devices to maintain temperature of the hot-mix.		删除: See Note in Page No. 234 刪除: 234 刪除: 249 刪除: 250
	Satisfactory completion of 1 to 3 projects in supply of bituminous pavement materials and construction of special bituminous surfacing in the past 3 years or in lieu of which, satisfactory completion of trial production and laying of bituminous pavement materials and/or special bituminous surfacing. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable Top Management *(See Remarks on the end page of this Appendix) Not Applicable Technical Staff Professional, technical staff and work force experienced in the supply of bituminous pavement materials and construction of special bituminous surfacing. Plant and Equipment **(See Remarks on the end page of this Appendix) Adequate equipment required: a. Mixing plant having at least four separate cold feed bins, four hot storage bins, a rotary drum dryer, temperature monitoring devices, and sampling devices for taking samples of hot aggregates, fillers and bitumen before mixing. b. Heating and storage tank fitted with circulating pumps and thermostatically controlled heaters for bitumen. c. Heating and storage tank fitted with high shear mixer for pre-blended type of modified binder. d. Insulated surge bin fitted with heating devices to	Satisfactory completion of 1 to 3 projects in supply of bituminous pavement materials and construction of special bituminous surfacing in the past 3 years or in lieu of which, satisfactory completion of trial production and laying of bituminous pavement materials and/or special bituminous surfacing. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable Technical Staff Professional, technical staff and work force experienced in the supply of bituminous pavement materials and construction of special bituminous surfacing. Plant and Equipment "See Remarks on the end page of this Appendits) Adequate equipment required: a. Mixing plant having at least four separate cold feed bins, four hot storage bins, a rotary drum dryer, temperature monitoring devices, and sampling devices for taking samples of hot aggregates, fillers and bitumen before mixing. b. Heating and storage tank fitted with high shear mixer for pre-blended type of modified binder. d. Insulated surge bin fitted with heating devices to maintain temperature of the hot-mix.	Job Experience Satisfactory completion of 1 to 3 projects in supply of bituminous parement materials and construction of special bituminous surfacing in the past 3 years or in lieu of which, satisfactory completion of trail production and laying of bituminous parement materials and/or special bituminous surfacing in the past 3 years of misure of bituminous parement materials and/or special bituminous parement materials and/or special bituminous parement materials and/or special bituminous surfacing. References on these projects from consulting engineers (architects or clients if there is no consulting engineer) must be submitted which may be verified by paying site visits. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable Top Management (See Remarks on the and nowe of file Ausendia) Not Applicable Technical Staff Professional, technical staff and work force experienced in the supply of bituminous parement materials and construction of special bituminous aurfacing. Plant and Equipment (See Remarks on the real page of this Ausendia) Adequate equipment required: a. Mixing plant having at least four separate cold feed bins, four hot storage bins, a rotary drum dryer, temperature monitoring devices, and sampling devices for taking samples of hot aggregates, filters and bitumen before mixing. b. Heating and storage tank fitted with circulating pumps and thermostatically controlled heaters for bitumen. c. Heating and storage tank fitted with high shear mixer for pre-blended type of modified binder. d. Insultated surge bin fitted with heating devices to maintain temperature of the hot-mix.	Satisfactory completion of 1 to 3 projects in supply of bituminous pavement materials and construction of special bituminous pavement materials and construction of special bituminous surfacing in the past 3 years or in lieu of which, assistactory completion of trial production and laying of bituminous pavement materials and/or special bituminous pavement materials and construction of special bituminous pavement materials on the local market. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management "Gar. Banades as the rad use of this Assential" Not Applicable Top Management Top Management materials and construction of special bituminous pavement materials and construction of special bituminous pavement materials and construction of special bituminous pavement materials and construction of post of the professional, technical staff and work force experienced in the supply of bituminous pavement materials and construction of post of the professional,

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A. Entry On Probation	B. Confirmation	C. Direct Entry	
e. Measuring and weighing equipment for batching.		e. Measuring and weighing equipment for batching.	
f. Vehicles for transportation of bituminous materials.		f. Vehicles for transportation of bituminous materials.	
g. Self-propelled paving machine with a screw auger and		g. Self-propelled paving machine with a screw auger and	
attached screed.		attached screed.	
h. Smooth three-wheeled steel-wheeled roller with a mass of between 6 tonnes and 12 tonnes or vibratory		h. Smooth three-wheeled steel-wheeled roller with a mass of between 6 tonnes and 12 tonnes or vibratory tandem	
tandem steel-wheeled roller with an effective mass of		steel-wheeled roller with an effective mass of between 6	
between 6 tonnes and 12 tonnes.		tonnes and 12 tonnes.	
i. Smooth pneumatic tyred-roller with a mass of between		i. Smooth pneumatic tyred-roller with a mass of between 12	
12 tonnes and 25 tonnes, and with not less than seven		tonnes and 25 tonnes, and with not less than seven	
overlapping wheels.		overlapping wheels.	
j. Tack coat spraying machine.		 Tack coat spraying machine. 	
k. Mechanical rammers, vibrating plates and hand-tools		k. Mechanical rammers, vibrating plates and hand-tools for	
for compaction work.		compaction work.	
1. Equipment for testing related to the production and		l. Equipment for testing related to the production and laying	
laying of bituminous materials.		of bituminous materials.	
. Office/Workshop Facilities		Ntee Applicable	
Not Applicable		Not Applicable	刪除: NA
. Training Facilities			刪除: NA
Not Applicable		Not Applicable	刪除: NA
Others			刑除: NA
a. Satisfactory technical information and method		a. Satisfactory technical information and method	
statements. Works including the design mix and		statements. Works including the design mix and details	
details of composition of the materials must comply		of composition of the materials must comply with	
with Section 9 of General Specification for Civil		Section 9 of General Specification for Civil Engineering	
Engineering Works and/or other specified		Works and/or other specified requirements. Other	
requirements. Other equivalent national standards		equivalent national standards may be considered in lieu	
may be considered in lieu of the standards referred to		of the standards referred to in the Specifications	
in the Specifications.			刪除: 234
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A. Entry	On Probation	B. Confirmation		C. Direct Entry
pavement materia during manufactur to arrange visits t bituminous paver Where such facili practical to carry o	acturing details of the bituminous 1 and quality control procedure e. The applicant is also required o inspect the facilities where the ment material is manufactured. ties are overseas and if it is not out an inspection, the applicant may ange for an independent report on		b.	Acceptable manufacturing details of the bituminous pavement material and quality control procedure during manufacture. The applicant is also required to arrange visits to inspect the facilities where the bituminous pavement material is manufactured. Where such facilities are overseas and if it is not practical to carry out an inspection, the applicant may be required to arrange for an independent report on these aspects at his own cost.
c. Satisfactory site special bituminous	demonstration in construction of surfacing to demonstrate that the workmanship comply with the		c.	Satisfactory site demonstration in construction of special bituminous surfacing to demonstrate that the techniques and workmanship comply with the specified requirements.
d. Proof of meeting the proper land use	building requirements and showing to of the bituminous material mixing togs Department, Lands Department		d.	Proof of meeting building requirements and showing the proper land use of the bituminous material mixing plant from Buildings Department, Lands Department and Planning Department are required.
requirements of Er	ast also meet the environmental avironmental Protection Department of bituminous materials.		e.	The applicant must also meet the environmental requirements of Environmental Protection Department for the production of bituminous materials.

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Managing Department:	Architectural Services Department	
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Works Category: Survey of Government Land Pressure Receivers subject to Steam and Air Pressure (but excluding Steam Boilers)

Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the carrying out of examination and test to ensure that the pressure vessels are in safe working order and comply with the Boiler and Pressure Vessels Ordinance, Cap. 56.

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General (1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and the surveys should preferably be of various types and classes as mentioned in the Boilers and Pressure Vessels (Forms) Order; and (2) The applicant shall provide documentary evidence to substantiate experience, such as copies of the	A. Entry on Probation	B. Confirmation	C. Direct Entry
(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard, and the surveys should preferably be of various types and classes as mentioned in the Boilers and Pressure Vessels (Forms) Order, and (2) The applicant shall provide documentary evidence to substantiate experience, such as copies of the Certificate of Fitness of the inspector of the applicant, contract agreement, correspondence etc.; and (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Work; otherwise, it will not be considered as a valid job reference; and Job Refences for Insepticin (In addition to the above, every job submitted by the applicant shall fulfil all requirements stout below; otherwise, it will not be considered as a valid job reference as a valid job reference of the produced to the satisfaction of the store every job submitted by the applicant shall submit at least 5 surveys, which were completed within the past 12 months or at final stage; and (5) At least 2 of the above 5 surveys shall be completed within the past 12 months or at final stage; and office hours; and cover the nature of works as described in the "Brief Scope of Category".	Not Applicable.	Not Applicable.	1. Job Experience
type and size of work of the category, with satisfactory quality of work compatible with Government standard, and the surveys should preferably be of various types and classes as mentioned in the Boilers and Pressure Vessels (Forms) Order; and (2) The applicant shall provide documentary evidence to substantiate experience, such as copies of the Certificate of the same of the same pacetor essels signed by a Qualified Boiler Inspector or Qualified Air Receiver Inspector of the applicant, contract agreement, correspondence etc.; and (3) For job experience outside the HKSAR, evidences as stipulated under para, 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference; and Job Reference for Inspecting (In addition to the above, every job submitted by the applicant shall fulfill all requirements and the same of			<u>General</u>
substantiate experience, such as copies of the Certificate of Fitness of the inspected vessels signed by a Qualified Boiler Inspector or Qualified Air Receiver Inspector of the applicant, contract agreement, correspondence etc.; and (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference; and Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference; of the product of the secretary is a valid job reference; of the product of the produc			type and size of work of the category, with satisfactory quality of work compatible with Government standard; and the surveys should preferably be of various types and classes as mentioned in the Boilers and Pressure
stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference; and Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference) (4) The applicant shall submit at least 5 surveys, which were completed within the past 3 years for site inspection; and (5) At least 2 of the above 5 surveys shall be completed within the past 12 months or at final stage; and (6) The applicant shall be able to arrange joint site inspections for the government's examination of the quality of the submitted job reference within normal office hours; and (7) The scope of work for each of these 5 surveys shall cover the nature of works as described in the "Brief Scope of Category".			substantiate experience, such as copies of the Certificate of Fitness of the inspected vessels signed by a Qualified Boiler Inspector or Qualified Air Receiver Inspector of the applicant, contract agreement,
job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference) (4) The applicant shall submit at least 5 surveys, which were completed within the past 3 years for site inspection; and (5) At least 2 of the above 5 surveys shall be completed within the past 12 months or at final stage; and (6) The applicant shall be able to arrange joint site inspections for the government's examination of the quality of the submitted job reference within normal office hours; and (7) The scope of work for each of these 5 surveys shall cover the nature of works as described in the "Brief Scope of Category".			stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will
were completed within the past 3 years for site inspection; and (5) At least 2 of the above 5 surveys shall be completed within the past 12 months or at final stage; and (6) The applicant shall be able to arrange joint site inspections for the government's examination of the quality of the submitted job reference within normal office hours; and (7) The scope of work for each of these 5 surveys shall cover the nature of works as described in the "Brief Scope of Category".			job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid
within the past 12 months or at final stage; and (6) The applicant shall be able to arrange joint site inspections for the government's examination of the quality of the submitted job reference within normal office hours; and (7) The scope of work for each of these 5 surveys shall cover the nature of works as described in the "Brief Scope of Category".			were completed within the past 3 years for site
inspections for the government's examination of the quality of the submitted job reference within normal office hours; and (7) The scope of work for each of these 5 surveys shall cover the nature of works as described in the "Brief Scope of Category".			
cover the nature of works as described in the "Brief Scope of Category".			inspections for the government's examination of the quality of the submitted job reference within normal
			cover the nature of works as described in the "Brief

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		2. Technical Staff (See Annex 1 for definition of Staff Qualification)
		Technical Support Staff
		Employ adequate nos. of inspectors with adequate and relevant academic qualification and working experience.
		Statutory Registration
		The applicant shall have staff meeting the following statutory registration requirements:
		 ♦ Qualified Boiler Inspector (QBI) appointed as Boiler Inspector under the Boilers and Pressure Vessels Ordinance Cap. 56 and holding the Certificate of Competency in respect of all classes and types of boilers mentioned in the Boilers and Pressure Vessel (Forms) Order, with appointment not having been suspended - 1 no. (minimum); OR ♦ Qualified Air Receiver Inspector (QARI) appointed as Air Receiver Inspector under the Boilers and Pressure Vessels Ordinance Cap. 56 and holding the Certificate of Competency in respect of all classes and types of air receiver mentioned in the Boilers and Pressure Vessels (Forms) Order, with appointment not having been suspended - 1 no. (minimum).
		Documentary proof of the registration issued by the Boiler and Pressure Vessel Authority should be provided.
		3. Plant and Equipment **(See Remarks on the end page of this Appendix)
		Adequate nos. of calibrated testing instruments and equipment/tools for carrying out examination and test; valid calibration certificates for testing instruments/equipment shall be available. Refer to Annex 29 for list of typical equipment, tools and testing instruments required.
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格式化: 靠右

A. Entry on Probation	B. Confirmation	C. Direct Entry
		4. Office/Workshop Facilities
		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 20 m ² and the minimum workshop + storage area shall be 20 m ² . Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.

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Managing Department:	Architectural Services Department	
Works Category:	Survey of Government Land Steam Boilers	Specialist Contractor
Dwief Saans of Catagory		
Brief Scope of Category	-	
The scope of work in this Boiler and Pressure Vesse	category covers the carrying out of examination and test to ensure that stels Ordinance.	eam boilers are in safe working order and comply with the

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A. Entry on Probation	B. Confirmation	C. Direct Entry	
Not Applicable.	Not Applicable.	1. Job Experience	
		<u>General</u>	
		(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and the surveys should preferably be of various types and classes as mentioned in the Boilers and Pressure Vessels (Forms) Order; and	
		(2) The applicant shall provide documentary evidence to substantiate experience, such as copies of the Certificate of Fitness of the inspected vessels signed by a Qualified Boiler Inspector of the applicant, contract agreement, correspondence etc.; and	
		(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	
		Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)	
		(4) The applicant shall submit at least 5 surveys, which were completed within the past 3 years for site inspection; and	
		(5) At least 2 of the above 5 surveys shall be completed within the past 12 months or at final stage; and	
		(6) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours.	
		(7) The scope of work for each of these 5 surveys shall cover the nature of works as described in the "Brief Scope of Category".	
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A. Entry on Probation	B. Confirmation	C. Direct Entry
		Technical Staff (See Annex 1 for definition of Staff Qualification) Technical Support Staff Employ adequate nos. of inspectors with adequate and relevant academic qualification and working experience.
		Statutory Registration
		The applicant shall have staff meeting the following statutory registration requirements:
		♦ Qualified Boiler Inspector (QBI) appointed as Boiler Inspector under the Boilers and Pressure Vessels Ordinance Cap. 56 and holding the Certificate of Competency in respect of all classes and types of boilers mentioned in the Boilers and Pressure Vessel (Forms) Order, with appointment not having been suspended – 1 no. (minimum)
		Documentary proof of the registration issued by the Boiler and Pressure Vessel Authority should be provided.
		3. Plant and Equipment **(See Remarks on the end page of this
		Adequate nos. of calibrated testing instruments and equipment/tools for carrying out examination and test; valid calibration certificates for testing instruments/equipment shall be available. Refer Annex 30 for list of typical equipment, tools and testing instruments required.
		4. Office/Workshop Facilities
		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 20 m ² and the minimum workshop

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Appendix 3C

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		+ storage area shall be 20 m ² . Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.

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Managing Department:	Architectural Services Department	
Works Category:	Survey of Lifting Appliances and Lifting Gear	Specialist Contractor
Brief Scope of Category	:	
	category covers the carrying out of examination and test to ensure that lifting appliances and ories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations.	d lifting gears are in safe working order

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A. Entry on Probation	B. Confirmation	C. Direct Entry	
Not Applicable.	Not Applicable.	1. Job Experience	
		<u>General</u>	
		(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and the surveys must be relevant and should preferably for various types of lifting appliances and lifting gear. Both thorough examination and proof load test should be included in the job records. Also at least one survey should be for crane with automatic safe load indicator.	
		(2) The applicant shall provide documentary evidence to substantiate experience, such as copies of the certificate of test and thorough examination issued after the survey in the approved format under the Regulations signed by the Qualified Competent Examiner should be produced for inspection; and	
		(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.	
		Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)	
		(4) The applicant shall have at least 5 surveys, which were completed within past 3 years for site inspection; and	
		(5) At least 2 of the above 5 surveys shall be completed within the past 12 months or at final stage; and	
		(6) The applicant shall be able to arrange joint site inspections for the government's examination of the quality of the submitted job reference within normal office hours; and	
Annualis 20		(7) The scope of work for each of these 5 surveys shall cover the nature of works as described in the "Brief Scope of Category".	

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A. Entry on Probation	B. Confirmation	C. Direct Entry
		2. Technical Staff (See Annex 1 for definition of Staff Qualification)
		Technical Support Staff
		Employ adequate nos. of inspectors with adequate and relevant academic qualification and working experience.
		Statutory Registration
		The applicant shall have staff meeting the following statutory registration requirements:
		 ♦ Qualified Competent Examiner (QCE) for lifting appliances and lifting gear in accordance with the Factory and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations Cap 59J (hereinafter referred to as the Regulations). The Competent Examiner should be a Registered Professional Engineer registered under the Engineers Registration Ordinance within the Mechanical or Marine discipline – 1 no. (minimum).
		Documentary proof of the registration issued by the Engineers Registration Board should be provided.
		3. Plant and Equipment **(See Remarks on the end page of this Appendix)
		Adequate nos. of calibrated testing instruments and equipment/tools for carrying out examination and test; valid calibration certificates for testing instruments/equipment shall be available. Refer Annex 31 for list of typical equipment, tools and testing instruments required.
		4. Office/Workshop Facilities
		Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 20 m ² and the minimum workshop + storage area shall be 20 m ² . Documentary evidence
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Revision B-10 dated 26 March 2013

Appendix 3C

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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A. Entry on Probation	B. Confirmation	C. Direct Entry (e.g. purchase/lease agreement) to prove right of use	
		(e.g. purchase/lease agreement) to prove right of use shall be produced.	

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Managing Department:	Architectural Services Department	_
Works Category:	Swimming Pool Water Treatment Installation	Specialist Contractor
Brief Scope of Category:		
	category covers the supply, installation and maintenance of equipmenter circulation, filtration, pH control, chemical treatment, sterilization	
Definition of Probational	ry Status [#] (Para. 3.5.3 is not applicable for this category)	

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$34 million

* See Remarks (1) on the end page of this Appendix.

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A. Entry on Probation	B. Confirmation	C. Direct Entry			
1. Job Experience General	(1) The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and	Not Applicable.			
(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and	(2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and				
(2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and	(3) All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and				
mechanical contractors; and	(4) The scope of work for this contract must fulfill all the following requirements:				
(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will	(a) Contract value of the work related to the category under application for confirmation exceeds \$5M; and				
not be considered as a valid job reference. Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid	(b) The contract shall cover major scope of works as described in the "Brief Scope of Category"; and				
job reference) (4) The applicant shall submit at least 2 contracts, which	(c) If the contract is a term contract, all of the above requirements 4 (a) to 4 (b) shall be fulfilled in one works order.				
were completed within the past 3 years for site inspection; and	(5) Subcontract will not be considered as valid job reference unless it can satisfy all of the following				
(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and	requirements, in addition to all the above stated requirements:				
(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and	(a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and				
(7) All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall	(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and				
be issued under the name of the applicant; and (8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and	(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the				
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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

		A. Entry on Probation	B. Confirmation	C. Direct Entry
(9)		scope of work for each of these 2 contracts must all of the following requirements:	concerned project, all shall not be the swimming pool water treatment installation contractor for the project work.	
	(a)	Contract value of the work related to the category under application for inclusion exceeds \$10M; and		
	(b)	The contract shall cover major scope of works as described in the "Brief Scope of Category".		
(10)	refere requi	ontract will not be considered as valid job ence unless it can satisfy all of the following rements, in addition to all the above stated rements:		
	(a)	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and		
	(b)	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and		
	(c)	The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the swimming pool water treatment installation contractor for the project work; and		
	(d)	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and		
Apper	(e)	Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the		Page 229 of 249

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A. Entry on Probation	B. Confirmation	C. Direct Entry
concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.		
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)		
Statutory Registration		
The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".		
3. Technical Staff (See Annex 1 for definition of Staff Qualification)		
Qualified Engineer		
Employ at least 1 no. qualified engineer (Mechanical and Building Services discipline) with adequate and relevant professional and project management experience.		
Technical Support Staff		
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.		
Technician 2 nos. Site supervisor 2 nos. Draftsman 1 no.		
<u>Craftsman</u>		
Employ adequate nos. of craftsman in the following trades		
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(A) Plumber/BS Mechanic Minimum score required Qualified Electrician Minimum score required Qualified Electrician Minimum score required Qualified Electrician Minimum score required Minimum score required Qualified Electrician Minimum score required Nordinary Electrician Minimum State Statiotry Registerian Minimum score required Minimum Statiotry Registerian Minimum Minimum Minimum Minimum A Registered Electricial Morker (REW) registered under Electricity Ordinance, Cap. 406. Grade B2 - 1 no. (minimum) Lucensed Plumber (LP) registered under Minimum Minimum Mordinance, Cap. 102 - 1 no. Mordinance, C	A. Entry on Probation	B. Confirmation	C. Direct Entry
Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation,	(A) Plumber/BS Mechanic Minimum score required 20 marks Qualified Plumber/BS Mechanic 15 marks Intermediate Plumber/BS Mechanic 15 marks Ordinary Plumber/BS Mechanic 8 marks (B) Electrician Minimum score required 20 marks Qualified Electrician 20 marks Intermediate Electrician 15 marks Ordinary Electrician 8 marks Statutory Registration The applicant shall have staff meeting the following statutory registration requirements: → Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade B2 − 1 no. (minimum) → Licensed Plumber (LP) registered under Waterworks Ordinance, Cap. 102 − 1 no. (minimum) The LP or REW can be the Qualified Engineer, Technical Support Staff or Craftsman. Qualified Welder Employ adequate nos. of Qualified Welder (QW) QW 1 no. The QW can be the Qualified Engineer, Technical Support Staff or Craftsman.	B. Confirmation	C. Direct Entry
	Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation,		

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A. Entry on Probation	B. Confirmation	C. Direct Entry
operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 32 for list of typical equipment/tools and testing instruments required.		
5. Office/Workshop Facilities		
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 30 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.		

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		Revision B-10 dated 26 March 2013 -	格式化: 靠右
Minimum Technical & Manageme	nt Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List	Appendix 3C	刪除: <sp><sp></sp></sp>
	*		
Managing Department :	Highways Department		
Works Category :	Transparent Panels for Noise Barriers on Highways [formerly named as "Prefabricated Architectural Walls and Finishes (Screens, Sound Barriers and Retaining Walls)"]	<u>Supplier</u>	
and acoustic properties	nis category covers the supply of transparent panels for noise barriers on highways. The and proven performance and durability. They shall functionally and aesthetically for perform safely in fire and traffic accidents and satisfactorily in glare reduction.		
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Direct Entry

1. Job Experience

The transparent panels shall have successfully performed in at least 1 project for noise barriers on highways or similar works in Hong Kong in the past 3 years, each with a value of \$0.5M or more. References on these projects from consulting engineer (architects or clients if there is no consulting engineer) must be submitted, which may be verified by site visits.

2. Registration with relevant authorities in Hong Kong (such as ISO9000 Certification, Building Authority etc.)

The transparent panels shall be manufactured under a quality management system certified by an internationally recognized certifying body.

3. Top Management*(See Remarks on the end page of this Appendix)

Top management shall possess relevant technical and managerial experience in the design and construction of noise barriers on highways.

4. Technical Staff

Professional and technical staff experienced in the design and construction of noise barriers on highways are required.

5. Plant and Equipment**(See Remarks on the end page of this Appendix)

Not Applicable

6. Office/Workshop Facilities

Local office in Hong Kong is required.

7. Training Facilities

Not Applicable

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Direct Entry

8. Others

Satisfactory technical information, including testing reports from independent organizations, shall be submitted, to demonstrate that the transparent panels and their any accessories shall meet the principles and considerations given in the Bridges & Structures Division Practice Note No. BSTR/PN/003 – Noise Barriers with Transparent Panels, issued by the Highways Department or equivalent national standards.

The materials to be approved shall possess acceptable application history of at least 5 years in weathering conditions similar to Hong Kong climate, and be subject to a 10-year performance warranty.

Note: The Bridges & Structures Division Practice Note No. BSTR/PN/003 referred to in item 8 above is available on Highways Department's website at http://www.hyd.gov.hk.

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Managing Department: Architectural Services Department

Works Category : Turn-key Interior Design & Fitting-out Works Specialist Contractor

Group I: Eligible to be awarded one contract as a Trade Test.

Group II : Eligible to be awarded more than one contract at any one time.

Brief Scope of Category:

The scope of work in this category covers the design and fitting-out works for Government department/bureaux.

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Group I	Group II		
A. Direct Entry	A. Direct Entry		
1. Job Experience At least 3 major local projects in design and fitting-out works of value \$5M completed in the past 5 years and one of which shall exceed \$15M.	above Not Applicable		一 刪除: NA
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) The applicant must be an approved contractor under Buildings category in the List of Approved Contractors for Public Works.	the		
3. Top Management *(See Remarks on the end page of this Appendix)			Fig.: See Note in Page No. 234
Not Applicable			刪除: NA
4. Technical Staff At least one qualified Architectural and Interior Designer (AP - L Architects); one qualified Structural Engineer (RSE); one qualified Bu Services Engineer as BS Coordinator (CIBSE or HKIE (BS)); one qua contract manager with at least ten years' management experience in construindustry (Ordinary Certificate in Building Studies); four foremen each w least five years' experience in construction industry; six carpenters/skill wo three qualified quantity surveying staff (Ordinary Certificate in Bu Studies); four accounting staff	alified ruction with at orkers;		
5. Plant and Equipment **(See Remarks on the end page of this Appendix) Not Applicable			删除: See Note in Page No. 234
6. Office/Workshop Facilities A design office and workshop suitable for the nature of work. Loca office and local workshop in Hong Kong are required.	al		刪除: NA
7. Training Facilities Not Applicable			刪除: NA
8. Others			
- supporting facilities from suppliers/ manufacturers/agents			刪除: 250
- regular sub-contractors			刪除: 249
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	Group I		Group II		
			B. Entry by Promotion		
		1.	Job Experience Satisfactory completion of the Trade Test (satisfactory completion of at least one ArchSD project under this Works Category within the past 5 years and of value above \$10M) and have good result in the full Performance Assessment		
		2.	Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.) The applicant must be an approved contractor under Buildings category in the List of Approved Contractors for Public Works.		
		3.	Top Management*(See Remarks on the end page of this Appendix) Not Applicable		See Note in Page No. 234
		4.	Technical Staff At least one qualified Architectural and Interior Designer (AP - List of Architects); one qualified Structural Engineer (RSE); one qualified Building Services Engineer as BS Coordinator (CIBSE or HKIE (BS)); one qualified contract manager with at least ten years' management experience in construction industry (Ordinary Certificate in Building Studies); four foremen each with at least five years' experience in construction industry; six carpenters/skill workers; three qualified quantity surveying staff (Ordinary Certificate in Building Studies); four accounting staff	nadia	
		5.	Plant and Equipment**(See Remarks on the end page of this Appendix) Not Applicable	-	See Note in Page No. 234 R: NA
		6.	Office/Workshop Facilities A design office and workshop suitable for the nature of work	HATIN	··
1		7.	Training Facilities Not Applicable	一刪覧	È: NA
		8.	Others - supporting facilities from suppliers/ manufacturers/agents - regular sub-contractors	∴′ ≻—	हे: 250 हे: 249
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Managing Department:	Architectural Services Department		
Works Category:	Uninterruptible Power Supply Installation	Specialist Contractor	
Brief Scope of Category:			
The scope of work in this category covers the supply, installation and maintenance of static-type, uninterruptible power supply (UPS) systems together the associated batteries, control and monitoring accessories, which are mainly deployed to support computer operations.			

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

Not Applicable.	••	I. Job Experience General (1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
		(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
		type and size of work of the category, with satisfactory quality of work compatible with Government standard; and (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
		contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
		'
		(3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.
		<u>Job Reference for Inspection</u> (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)
		(4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
		(5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
		(6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
		(7) All statutory forms such as the Work Completion (Part of an Installation) Certificate (i.e. Form WR1 (A)) shall be issued under the name of the applicant; and
		(8) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

A. Entry on Probation	B. Confirmation	C. Direct Entry	
		(9) T	he scope of work for each of these 2 contracts must alfill all of the following requirements:
		(8	Contract value of the work related to the category under application for inclusion exceeds \$0.5M; and
		(ł	The UPS rating in at least one of the above 2 contracts should be of 80kVA or above; and
		(0	The contract shall cover the nature of works as described in the "Brief Scope of Category".
		re	ubcontract will not be considered as valid job eference unless it can satisfy all of the following equirements, in addition to all the above stated equirements:
		(8	The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
		(1	The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
		(6	The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the uninterruptible power supply system contractor for the project work; and
		(6	The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
		(6	e) Notwithstanding the above, the Managing
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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

A. Entry on Probation

C. Direct Entry Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.

2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, **Building Authority etc.**)

Statutory Registration

The applicant shall be a Registered Electrical Contractor registered under Electricity Ordinance, Cap. 406 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".

3. Technical Staff (See Annex 1 for definition of Staff **Oualification**)

Technical Support Staff

Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.

Technician 1 no. Site supervisor 1 no. Draftsman 1 no.

Craftsman

Employ adequate nos. of Electronics Craftsman

Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks

Statutory Registration

The applicant shall have staff meeting the following statutory registration requirements:

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B. Confirmation

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A. Entry on Probation	B. Confirmation	C. Direct Entry	
		Registered Electrical Worker (REW) registered under Electricity Ordinance, Cap. 406. Grade C2 − 1 no. (minimum)	
		The REW can be the Technical Support Staff or Craftsman.	
		4. Plant and Equipment **(See Remarks on the end page of this Appendix)	
		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 33 for list of typical equipment/tools and testing instruments required.	
		5. Office/Workshop Facilities	
		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 40 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.	
		6. Others	
		Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.	
		Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.	

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Managing Department:	Architectural Services Department
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Works Category: Video Electronics Installation Specialist Contractor

Brief Scope of Category:

The scope of work in this category covers the supply, installation and maintenance of video cassette recorder, video camera, monitor etc. commonly used for closed circuit TV system, video recording/display system and visual system at different venues.

Definition of Probationary Status[#] (Para. 3.5.3 is not applicable for this category)

Eligible for the award of a maximum of two contracts/subcontracts provided that the total value of works in this category does not exceed \$3.4 million

* See Remarks (1) on the end page of this Appendix.

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1. Job Experience

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General

(1) The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and

A. Entry on Probation

- (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
- (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.

Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)

- (4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
- (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage.
- (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
- (7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours: and
- (8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:
 - (a) Contract value of the work related to the

B. Confirmation

- The applicant has satisfactorily completed, within the past 12 months, at least one public works contract let by a Works Department; and
- (2) This contract shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
- 3) The scope of work for this contract must fulfill all the following requirements:
 - (a) Contract value of the work related to the category under application for confirmation exceeds \$0.2M; and
 - (b) The contract shall cover the nature of works as described in the "Brief Scope of Category"; and
 - (c) If the contract is a term contract, all of the above requirements 3 (a) and 3 (b) shall be fulfilled in one works order.
- (4) Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:
 - (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
 - (b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
 - (c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the video electronics installation contractor for the project work.

C. Direct Entry 1. Job Experience

General

- The applicant shall have adequate experience in the type and size of work of the category, with satisfactory quality of work compatible with Government standard; and
- (2) The applicant shall have adequate experience in contract management of work of the category, solely and fully, including coordination with building contractor and other building services / electrical and mechanical contractors; and
- (3) For job experience outside the HKSAR, evidences as stipulated under para. 2.4.5 of the CMH must also be produced to the satisfaction of the Secretary for the Environment, Transport and Works; otherwise, it will not be considered as a valid job reference.

Job Reference for Inspection (In addition to the above, every job submitted by the applicant shall fulfill all requirements set out below; otherwise, it will not be considered as a valid job reference)

- (4) The applicant shall submit at least 2 contracts, which were completed within the past 3 years for site inspection; and
- (5) At least one of the above 2 contracts shall be completed within the past 12 months or at final stage; and
- (6) These 2 contracts shall be direct contract, which was signed between the applicant and the employer of the concerned project; and
- (7) The applicant shall be able to arrange joint site inspections for the government's examination of the quality and workmanship of the submitted job reference within normal office hours; and
- 8) The scope of work for each of these 2 contracts must fulfill all of the following requirements:

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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	A. Entry on Probation	B. Confirmation	C. Direct Entry	
	category under application for inclusion exceeds \$0.2M; and (b) The contract shall cover the nature of works as			(a) Contract value of the work related to the category under application for inclusion exceeds \$0.5M; and
(9)	described in the "Brief Scope of Category". Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated requirements:		(9)	(b) The contract shall cover the nature of works as described in the "Brief Scope of Category". Subcontract will not be considered as valid job reference unless it can satisfy all of the following requirements, in addition to all the above stated
	 (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and (b) The applicant shall have undertaken the full 			requirements; in addition to an the above stated requirements: (a) The subcontract shall include all the relevant work as described under the "Brief Scope of Category" covered in the main contract; and
	scope of work covered in the subcontract, solely and fully, including contract management; and (c) The party by which the applicant is awarded			(b) The applicant shall have undertaken the full scope of work covered in the subcontract, solely and fully, including contract management; and
	with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the video electronics installation contractor for the project work; and			(c) The party by which the applicant is awarded with the subcontract, and the upstream contracting parties up to and including the main contractor, which is the company awarded with the direct contract by the employer of the concerned project, all shall not be the video electronics installation contractor for the project
	(d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and (e) Notwithstanding the above, the Managing			work; and (d) The applicant must present documentary evidence to prove the above requirements are met and such evidence must be substantiated by all the relevant upstream contracting parties as mentioned at (c) above including letters from them, which must be signed by their authorized signatories to confirm that the applicant has met the above requirements in the subcontract; and
Anna	Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant parties of the concerned project.			(e) Notwithstanding the above, the Managing Department may seek references on the applicant's technical and managerial capabilities from the employer, the relevant parties of the concerned project and other sources or confirmation on the authenticity of the proof provided by the applicant from the relevant

Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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A. Entry on Probation	B. Confirmation	C. Direct Entry	
			parties of the concerned project.
2. Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)		2.	Registration with relevant authorities in Hong Kong (such as Quality Management System Certification, Building Authority etc.)
Statutory Registration			Statutory Registration
The applicant shall be a Licensed Security Company engaged in Type III Security Work under Security and Guarding Services Ordinance, Cap. 460 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".			The applicant shall be a Licensed Security Company engaged in Type III Security Work under Security and Guarding Services Ordinance, Cap. 460 and have full time technical staff (meeting the relevant statutory registration requirement) of number not less than that described in the Section "Technical Staff".
3. Technical Staff (See Annex 1 for definition of Staff Qualification)		3.	Technical Staff (See Annex 1 for definition of Staff Qualification)
Technical Support Staff			Technical Support Staff
Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.			Employ adequate nos. of technicians, site supervisors and draftsmen with adequate and relevant academic qualification and working experience.
Technician 1 no. Site supervisor 1 no. Draftsman 1 no.			Technician 1 no. Site supervisor 1 no. Draftsman 1 no.
Craftsman			Craftsman
Employ adequate nos. of Electronics Craftsman Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks			Employ adequate nos. of Electronics Craftsman Minimum score required 40 marks Qualified Electronics Craftsman 20 marks Ordinary Electronics Craftsman 10 marks
Statutory Registration			Statutory Registration
The applicant shall have staff meeting the following statutory registration requirements:			The applicant shall have staff meeting the following statutory registration requirements:
Security Personnel (SP) holding Security Personnel Permit (Cat. D) issued under Security and Guarding Services Ordinance, Cap 460 – 1 no. (minimum)			Security Personnel (SP) holding Security Personnel Permit (Cat. D) issued under Security and Guarding Services Ordinance, Cap 460 – 1 no. (minimum)

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Minimum Technical & Management Criteria for Admission, Confirmation and Promotion of Contractors for the Specialist List

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A. Entry on Probation	B. Confirmation	C. Direct Entry
The SP can be the Technical Support Staff or Craftsman. 4. Plant and Equipment**(See Remarks on the end page of this Appendix)		The SP can be the Technical Support Staff or Craftsman. 4. Plant and Equipment **(See Remarks on the end page of this Appendix)
Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer to Annex 34 for list of typical equipment/tools and testing instruments required.		Adequate modern drafting and computing facilities; minimum 1 no. CAD terminal. Adequate nos. of calibrated testing instruments and equipment/tools for carrying out installation, operation, maintenance and testing/commissioning services; valid calibration certificates for testing instruments shall be available. Refer Annex 34 for list of typical equipment/tools and testing instruments required.
5. Office/Workshop Facilities		5. Office/Workshop Facilities
Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.		Local office/workshop in Hong Kong SAR is required. Reasonably sized, suitably furnished office and workshop/storage areas. In general, the minimum office area shall be 40 m² and the minimum workshop + storage area shall be 25 m². Documentary evidence (e.g. purchase/lease agreement) to prove right of use shall be produced.
6. Others		6. Others
Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.		Authorized Agency Preferably be an authorized agent in HKSAR for essential equipment. Documentary evidence such as authorized agency agreements shall be available.
Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.		Support from Principal Technical support from principal(s) of relevant agency is desirable. Applicant to demonstrate scope and extent (such as design, backup on installation / maintenance etc.) of support.

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Remarks:

- * Top management shall be the President, Chairman, Director, Managing Director, Executive Director or General Manager etc.
- ** The plant and equipment requirements are subject to modification as technology advances and as new plant emerges. Furthermore, the type of materials and method of application that the contractors select will dictate the plant required
- (1) The outstanding value instead of the total value of works is to be used for checking compliance with the tender limits for probationary contractors. The outstanding value of works in an existing contract shall be the differenced between the original contract sum and the aggregate of all payments certified under the contract up to and including the date set for the close of tender or, if this has been extended, the extended date for the contract being procured. The outstanding value shall be taken as zero if the original contract sum is less than the aggregate of all payments certified.
- (2) The new tender/contract limits effective as from 17 July 2009 will not affect the group limits and the minimum contract value referred to in the contract experience requirement set out in this Appendix for assessing applications for admission, confirmation or promotion of contractors in the relevant categories, groups and status of the Specialist List until further notice.

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ANNEX 1 Staff Qualification for 26 Categories

Works Categories: Air-conditioning Installation

Audio Electronics Installation

Broadcast Reception Installation

Burglar Alarm and Security Installation

Catering Equipment Installation

Diesel Generator Installation

Electrical and Mechanical Installation for Sewage Treatment &

Screening Plant

Electrical Installation

Electronic Timing and Display Installation

Fire Service Installation

Fountain Installation

Industrial Type Electrical Installation

Lift, Escalator and Passenger Conveyor Installation

Liquefied Petroleum Gas Installation

Low Voltage Cubicle Switchboard Installation

Mechanical Handling and Lifting Installation

Mechanical Plant and Equipment Installation

Motor Vehicle Body-building and Painting

Plumbing Installation

Radio Electronics Installation

Steam and Compressed Air Installation

Survey of Government Land Pressure Receivers subject to Steam and Air Pressure (but excluding Steam Boilers)

Survey of Government Land Steam Boilers

Survey of Lifting Appliances and Lifting Gear

Swimming Pool Water Treatment Installation

Uninterruptible Power Supply Installation

Video Electronics Installation

Annex 1 to Appendix 3C Page 1 of 5

ANNEX 35 Minimum Personnel and Equipment Requirements for Landscaping Category, Class I: General Landscape Work

Minimum Requirements for Technical Staff

	Academic /		N	0.
Position ^{(a), (b)}	Professional	Experience ^(c)	Group	Group
	Qualifications		I	II
horticulturalist	Obtained a university	Three years working	1 ^(d)	1 ^(d)
	degree in horticulture or	experience in		
	related discipline ^(e)	horticulture		
	C	R		
	Satisfactorily	Four years working		
	completed ^(f) a	experience in		
	recognized diploma	horticulture		
	programme in			
	horticulture or related			
	field ^(g) equivalent to the			
	standards of Level 3 or			
	above in the Hong Kong			
	Qualification			
	Framework			
	C	R		
	Obtained a qualification	Five years working		
	or certification from a	experience in		
	professional institute or	horticulture		
	organization of			
	horticulture ^(h) with			
	standards equivalent to			
	those of the Certified			
	Horticulturist from the			
	American Society for			
	Horticultural Science or			
	above			

Annex 35 to Appendix 3C Page 1 of 5

Minimum Personnel and Equipment Requirements for Landscaping Category, Class I : General Landscape Work

Annex 35 to Appendix 3C

Minimum Requirements for Technical Staff (Cont'd)

	Academic /		N	о.	
Position ^{(a), (b)}	Professional	Experience ^(c)	Group	Group	
	Qualifications		I	II -	
tree specialist	Obtained a university	Three years working	1 ^(d)	1 ^(d)	
	degree in arboriculture	experience in			
	or related discipline ⁽ⁱ⁾	arboriculture			
	O	R			
	Satisfactorily	Four years working			
	completed ^(f) a	experience in			
	recognized diploma	arboriculture			
	programme in				
	arboriculture or related				
	field ^(j) equivalent to the				
	standards of Level 3 or				
	above in the Hong Kong				
	Qualification				
	Framework				
	O	R			
	Obtained a qualification	Five years working			
	or certification from a	experience in			
	professional institute or	arboriculture			
	organization of				
	arboriculture ^(h) with				
	standards equivalent to				
	those of the Certified				
	Arborist from the				
	International Society of				
	Arboriculture or above				

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Annex 35 to Appendix 3C

Minimum Requirements for Technical Staff (Cont'd)

Position ^{(a), (b)}	Academic /		No.	
	Professional	Experience ^(c)	Group	Group
	Qualifications		I	II
skilled worker	Satisfactorily	Three years site	2	4
	completed ^(f) a total of	working experience in		
	not less than 60 hours of	arboriculture and		
	training courses in the	horticulture		
	past five years in the			
	arboricultural and			
	horticultural fields			
	offered by the relevant			
	organizations with			
	standards equivalent to			
	those of the			
	Construction Industry			
	Council Training			
	Academy (CICTA) and			
	the Vocational Training			
	Council (including the			
	courses offered under			
	the Horticulture Skills			
	Upgrading Scheme) or			
	above, in which the			
	Supervision of Tree			
	Works Course offered			
	by CICTA and course(s)			
	on training of pruning			
	techniques and use of			
	basic arboricultural and			
	horticultural tools and			
	equipment such as			
	chainsaws should be			
	included.			

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Annex 35 to Appendix 3C

Minimum Requirements for Technical Staff (Cont'd)

Notes:

- (a) All individuals must be full time employees of the applicant and must be resident Hong Kong Identity Card Holders or Work Visa Holders, and the practice must constitute their principal source of employment. Documentary evidence such as self-declaration letter specifying full-time employment with the company, bank payroll statement, Mandatory Provident Fund contribution record, Employer's Return of Remuneration and Pensions to Inland Revenue Department, etc. shall be produced.
- (b) All individuals may fill only one of the positions at any one time, unless stated otherwise.
- (c) The experience of all individuals must be full time experience gained in Hong Kong.
- (d) The horticulturalist and the tree specialist can be the same person for Group I contractors but cannot be the same person for Group II contractors.
- (e) Related disciplines of horticulture include agriculture, arboriculture, botany, landscape architecture, landscape management, landscape science, plant science, or equivalent.
- (f) 'Satisfactorily completed' means achievement of satisfactory level of competency assessment through examination, assignment and/or class performance.
- (g) Programme in related field of horticulture shall comprise core course module in subjects including botany, soil science and fertility, diagnosis, treatment and control of plant pests and diseases, nursery management, and landscape management, or equivalent.
- (h) The qualification or certification shall be obtained through satisfactory passing of examination or assessment process within the institute or organization.
- (i) Related disciplines of arboriculture include botany, forestry, horticulture, landscape architecture, landscape management, landscape science, plant science, or equivalent.
- (j) Programme in related field of arboriculture shall comprise core course module in subjects including tree identification and biology, tree planting, protection and establishment, pruning, plant health care, diagnosis and disorder, tree risk assessment and management, and tree works safety, or equivalent.

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Annex 35 to Appendix 3C

Minimum Plant and Equipment Requirements for Group I and Group II Contractors

Plant and Equipment	Remarks	
Advanced tree diagnostic equipment such as resistograph and tomograph, and auxiliary plant and tools for ready set-up, testing, fine-tuning and maintenance of the equipment.	Documentary proof of ownership of the equipment or ability to source the equipment from third party when required, should be produced.	
Basic tools and equipment that are necessary to enable the contractor to perform effectively the works as defined in the Brief Scope of Category/Class, such as hoe, saw, shears, spade, mattock, shoulder sprayers, power chainsaw, tree climbing saddles, braided climbing ropes, carabiner, bow saw, pole saw, long-arm pruner	Documentary proof of ownership of the tools and equipment should be produced.	
Personal protective equipment that are necessary to enable the contractor to perform safely the works as defined in the Brief Scope of Category/Class, such as safety steel toe cap boots, chainsaw gloves, chainsaw pants, safety helmet with face guard, safety glasses or goggles and ear defenders	Documentary proof of ownership of the equipment should be produced.	

ANNEX 36 List of Tools / Equipment and Testing Instruments for the Plumbing Installation Category

Item of Assessment	Minimum Requirement		Remark
	Group I	Group II	
Flow meters & pressure gauges	1	1	Calibration is permanent, components to be replaced if damaged
Hydraulic pump with pressure gauge	1	2	
Insulation tester	1	2	
Multi-tester (AVO)	1	2	
Clamp-on ammeter	1	2	
Conduit bender	1	2	
Conduit cutter	1	2	
Welding machine	1	2	
Threading machine	1	2	
Bending machine	1	2	
Drilling machine	1	2	
Proof of regular calibration (for instrument only), e.g. calibration certificates issued by the Hong Kong Laboratory Accreditation Scheme (HOKLAS) accredited laboratory or equivalent institutions	Required	Required	At least yearly and as requested when the instrument is in doubt

Note: All equipment should be verified that they are all at sight or with other form of proof of ownership.

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