

**Sample statement forms for (1)(c), (d), (e) and (g) under Annex to Appendices 2A & 3B
for Hong Kong Contractors**

Name of Contractor:

Half-year/Year end Balance sheet date

(dd/mm/yyyy)

Reporting position as at (Note 1) :

(dd/mm/yyyy)

Company Currency (Note 2):

HKD

Notes:

- (1) The cut-off date for the outstanding balance of current or outstanding contracts in hand reported in Form FIN3.
- (2) Company currency refers the Company's functional and presentation currency in financial statements.

Schedule of significant events occurred after the half-year/year end which would affect your company's employed and/or working capital

Name of Contractor:

Half-year/Year end Balance sheet date: (dd/mm/yyyy)

<p style="text-align: center;">Nature of events (Please specify the date of occurrence)</p>	<p style="text-align: center;">Effect on employed capital (Note 2)</p> <p style="text-align: center;">HKD \$'000</p>	<p style="text-align: center;">Effect on working capital within 12 months from the balance sheet date (Notes 2 and 3)</p> <p style="text-align: center;">HKD \$'000</p>

The above information is certified true, correct and complete.

()

Director

Date: _____
(dd/mm/yyyy)

Note:

- (1) NIL return is required.
- (2) Examples: capital injection in cash and dividends declared/paid
- (3) Example: drawing of long-term loans repayable after 12 months from the balance sheet date

Subsequent Settlement of Current Assets

Name of Contractor:

Half-year/Year end Balance sheet date: (dd/mm/yyyy)

Account receivable / Trade debtors

Details	Amount as at balance sheet date (Note)	Subsequent settlement <u>up</u> <u>to date</u> *	Bad or doubtful debts already provided at balance sheet date	Bad or doubtful debts provided after balance sheet date	Amount outstanding
	(a)	(b)	(c)	(d)	(e)=(a)-(b)-(c)-(d)
	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000
Total					

Rental deposits / Utility deposits / Other deposits / Prepayments

Details	Amount as at balance sheet date (Note)	Subsequent settlement <u>up</u> <u>to date</u> *	Bad or doubtful debts already provided at balance sheet date	Bad or doubtful debts provided after balance sheet date	Amount outstanding
	(a)	(b)	(c)	(d)	(e)=(a)-(b)-(c)-(d)
	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000
Total					

Subsequent Settlement of Current Assets

Name of Contractor:

Half-year/Year end Balance sheet date: (dd/mm/yyyy)

Amount due from directors / shareholders / partners / employees

Details	Amount as at balance sheet date (Note)	Subsequent settlement <u>up</u> <u>to date</u> *	Bad or doubtful debts already provided at balance sheet date	Bad or doubtful debts provided after balance sheet date	Amount outstanding
	(a)	(b)	(c)	(d)	(e)=(a)-(b)-(c)-(d)
	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000
Total					

Amount due from immediate / holding company

Details	Amount as at balance sheet date (Note)	Subsequent settlement <u>up</u> <u>to date</u> *	Bad or doubtful debts already provided at balance sheet date	Bad or doubtful debts provided after balance sheet date	Amount outstanding
	(a)	(b)	(c)	(d)	(e)=(a)-(b)-(c)-(d)
	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000
Total					

Subsequent Settlement of Current Assets

Name of Contractor:

Half-year/Year end Balance sheet date: (dd/mm/yyyy)

Amount due from subsidiaries

Details	Amount as at balance sheet date (Note)	Subsequent settlement <u>up</u> <u>to date</u> *	Bad or doubtful debts already provided at balance sheet date	Bad or doubtful debts provided after balance sheet date	Amount outstanding
	(a)	(b)	(c)	(d)	(e)=(a)-(b)-(c)-(d)
	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000
Total					

Amount due from associated / related companies

Details	Amount as at balance sheet date (Note)	Subsequent settlement <u>up</u> <u>to date</u> *	Bad or doubtful debts already provided at balance sheet date	Bad or doubtful debts provided after balance sheet date	Amount outstanding
	(a)	(b)	(c)	(d)	(e)=(a)-(b)-(c)-(d)
	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000
Total					

Subsequent Settlement of Current Assets

Name of Contractor: _____

Half-year/Year end Balance sheet date: _____ (dd/mm/yyyy)

Other current assets

Details	Amount as at balance sheet date (Note)	Subsequent settlement <u>up</u> <u>to date</u> *	Bad or doubtful debts already provided at balance sheet date	Bad or doubtful debts provided after balance sheet date	Amount outstanding
	(a)	(b)	(c)	(d)	(e)=(a)-(b)-(c)-(d)
	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000
Total					

Retention money receivable

Details	Amount as at balance sheet date (Note)	Subsequent settlement <u>up</u> <u>to date</u> *	Bad or doubtful debts already provided at balance sheet date	Bad or doubtful debts provided after balance sheet date	Amount outstanding	Contractual date for receiving retention money
	(a)	(b)	(c)	(d)	(e)=(a)-(b)-(c)-(d)	
	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	HKD\$'000	
Total						

The above information is certified true, correct and complete.

(_____)

Director

Date: _____
(dd/mm/yyyy)

Note:

- (1) The figures shown in this column must tally with those in the audited financial statements/management accounts.
 (2) Documentary evidence need not be provided unless separately requested by Development Bureau.

All Current / Outstanding Contracts in Hand

Name of Contractor: _____

Reporting position as at: (Note 2) _____

(dd/mm/yyyy)

Contract No.	Contract Title	Works Category (Note 3)	Contractual Period (Maintenance Period excluded)		Contract Sum	Outstanding Balance of Contract	Time Required to Complete	Value of Uncompleted works for the Coming 12 months
			From (mm/yyyy)	To (mm/yyyy)			No. of Months	
<u>Public Works (including Hospital Authority)</u>			(a)	(b)	HKD\$'000	HKD\$'000	(d)=(b)-Reporting position above	(e)=(c)/(d) x 12 or (e) = (c) if (d) <= 12
								HKD\$'000
							0	0.000
							0	0.000
							0	0.000
							0	0.000
							0	0.000
<u>MTR Corporation</u>					HKD\$'000	HKD\$'000		HKD\$'000
							0	0.000
							0	0.000
							0	0.000
							0	0.000
							0	0.000
<u>Housing Authority</u>					HKD\$'000	HKD\$'000		HKD\$'000
							0	0.000
							0	0.000
							0	0.000
							0	0.000
							0	0.000
<u>Private Sector</u>					HKD\$'000	HKD\$'000		HKD\$'000
							0	0.000
							0	0.000
							0	0.000
							0	0.000
							0	0.000

The above information is certified true, correct and complete.

(_____)
 Managing Director or Person Authorised to sign
 Contract with the Employer

Date (dd/mm/yyyy): _____

Note :**(1) : Nil return is required.****(2) : The cut-off date for the outstanding balance of contracts.****(3) : Please state Works Category such as Buildings, Port Works, Roads & Drainage, Site Formation, Waterworks or Others. (Applicable to contractors listed on the List of Approved Contractors for Public Works only)**

Form FIN3 (2020_12)

Statement of Gains/ (Losses) arising from Fair Value Adjustments (FVA)

Name of Contractor: _____

Year end date: _____ (dd/mm/yyyy)
(Applicable to Annual Audited Financial Statements Only)

		Current Financial Year	Previous Financial Year
		HKDS\$'000	HKDS\$'000
(I) FVA recognised in Profit or Loss			
Profit/(Loss) for the year after FVA(as per audited financial statements)	(a)		
Recognised FVA Gains	(b)		
Recognised FVA (Losses)	(c)		
Net recognised FVA Gains/(Losses)	(d)=(b)-(c)		
Profit/(Loss) for the year before FVA	(e)=(a)-(d)		
(II) FVA Recognised in Equity			
Equity after FVA as at Balance Sheet Date (as per audited financial statements)	(f)		
Recognised FVA Gains	(g)		
Recognised FVA (Losses)	(h)		
Net recognised FVA Gains/(Losses)	(i)=(g)-(h)		
Equity before FVA	(j)=(f)-(i)		

The above information is certified true, correct and complete.

(_____)
DirectorDate: _____
(dd/mm/yyyy)**Note: NIL return is required.**