DEVELOPMENT BUREAU LIBRARY OF STANDARD NOTES TO TENDERERS

Important Notes:

- (1) This set of Notes to Tenderers ("NTT") is applicable to contracts using NEC4 ECC (June 2017, with amendments January 2023) form.
- (2) Project officers should refer to the latest technical circulars/memos on DEVB's website and Works Group Intranet Portal during their preparation of tender documents.
- (3) Project officers should use the library with caution and, if any anomalies are found, notify their departmental contract advisors for clarification and, if necessary, seek further clarification with the DEVB subject officer [AS(WP4)8, telephone no. 3509 7308].
- (4) Double check the correct references are inserted in the relevant spaces.

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[Remarks: Please be reminded to check the cross-reference with other parts of the tender and contract documents are correct.]

Clause	Remarks/Guidelines
NTT A1 Location of tender box	
*[The tender box (Government Secretariat Tender Box) is located at the Lobby of the Public Entrance on the Ground Floor, East Wing, Central Government Offices, 2 Tim Mei Avenue, Tamar, Hong Kong.] ¹	* Delete as appropriate. ¹For tenders to be opened by the tender opening teams of the Central Tender Board. Any interim arrangement is subject to review and update by FSTB periodically. Project officers are required to check the latest arrangement.
*[The tender box (Public Works Tender Box) is located in Room 503 on the 5 th Floor, Low Block, Queensway Government Offices, 66 Queensway, Hong Kong.] ²	² For tenders to be opened by the tender opening teams of the Public Works Tender Board

Clause	Remarks/Guidelines
NTT A2 Procedures for opening tenders	
Tenders will be opened by the tender opening team of the [insert name of tender board] at 12 noon on the date set for the close of tender or, if this has been extended, the extended date at [insert location of tender box].	

Clause	Remarks/Guidelines
NTT A3 Pre-tender meeting [optional clause]	
(1) The date, time and venue of the pre-tender meeting are as follows:	WBTC No. 4/92
Date:	
Time:	
Venue:	
(2) The tenderer shall make its own arrangement of the transportation for attending the pre-tender meeting.	
(3) The tenderer is required to complete the Reply Slip at Appendix [insert appropriate reference] to these Notes to Tenderers to confirm if it will attend the pre-tender meeting and nominate its representatives (not more than [insert appropriate number] persons) who will attend the pre-tender meeting. The completed Reply Slip is required to be submitted to the Project Manager designate by fax and post at the fax number and address stated in the Reply Slip by 5:00 p.m. on [insert appropriate date].	

Clause	Remarks/Guidelines
NTT A4 Clarifications from *Project Manager / S	Supervisor designate
Should the tenderer for any reason whatsoever be in doubt about the precise meaning of any item or figure contained in the documents it shall seek clarification from the [*Project Manager/ Supervisor] designate [Insert post title of Government officer / company	Advice directing tenderers to submit any queries about the particulars of the tender documents to the [*Project Manager/Supervisor] designate preparing the tender documents (the contact telephone and fax numbers for enquiries should be included).
name and contact details as appropriate.]	* Delete as appropriate.

Remarks/Guidelines Clause NTT A5 Check list for tenders deposited in the tender box (1) Before the tender is sealed and delivered to * Delete as appropriate. the *Government Secretariat Tender Box / *Public Please update the figure where Works Tender Box, please check the following: appropriate. (a) The tender has been properly signed and the Please refer to Appendix 5.8 of Chapter 5 signature witnessed. of the Project Administration Handbook). (b) All the documents issued with or requested in the tender such as acknowledgements of receipt of corrigenda or addenda, are properly completed and attached to the tender. (c) Copies of the Form of Tender, Contract Data Part two, and priced *bill of quantities / *activity schedule are attached to *the tender / *Tender Price Documents. The *Central Tender Board / *Public Works Tender Board will make copies of the Form of Tender, Contract Data Part two, and priced *bill of quantities / *activity schedule on behalf of tenderers who have failed to submit copies of such documents and a charge of *[#\$12] /*[#\$16.214.8] or such amount as advised by the *Secretary for Financial Services and the Treasury / *Chairman of the Public Works Tender Board periodically will be levied for each page so copied. (d) The envelope or cover holding the tender does not bear the name of the tenderer but the tender reference or contract number and the closing date should be shown on the cover. (2) The tenderer should also note the following: (a) Unless otherwise indicated, plans and drawings

*Government

issued with the tender documents shall not be

Secretariat Tender Box / *Public Works Tender Box,

returned and deposited in the

Clause	Remarks/Guidelines
	Remains/ Guideinies
such drawings are to be returned to the issuing office	
after submission of the tender.	
(b) Samples, if called for, should be submitted	
separately to the issuing office inviting the tenders	
with the tender reference or contract number	
indicated clearly on the cover, and should not be	
deposited in the *Government Secretariat Tender Box	
/ *Public Works Tender Box.	
(c) The tender that is bulky should be wrapped	
properly with strong paper which is unlikely to break	
when the tender is being deposited in the Tender Box.	
The tender with a size exceeding 0.1m^2 and a	
thickness of more than 30cm should be separated into	
smaller parcels, each parcel to be properly labelled.	
(d) For tender submission in electronic format, the	
tender opening team will make copies of the required	
documents on behalf of the tenderer who has failed to	
submit the required duplicate in electronic format.	
The tenderer may be asked to bear the cost of making	
the duplicate at a charge of [#\$54] per electronic file	
and a material charge of [#\$1.1] per CD-ROM and	
[#\$1.3] per 4.7GB DVD+/-R, or such amount as	
advised by the *Secretary for Financial Services and	
the Treasury / *Chairman of the Public Works Tender	
Board periodically will be levied for each duplicate	
so made.	
(3) Please allow adequate time for the tender to	
be delivered to the *Government Secretariat Tender	
Box / *Public Works Tender Box. The Tender Box is	
closed on the tender closing date, which will be a	
Friday, as soon as the 12:00 noon time signal is	
broadcast by a local radio channel and the staff of the	
*Central Tender Board / *Public Works Tender Board	
are under strict instruction not to accept the tender	

Clause	Remarks/Guidelines
that is delivered after the closing time. However, if	
tropical cyclone signal No. 8 or above is hoisted, or a	
black rainstorm warning signal or "extreme	
conditions after super typhoons" announced by the	
Government is/are in force at any time between 9	
a.m. and 12 noon on the tender closing date, the	
tender closing time will be extended to 12 noon on	
the first working day after the tropical cyclone signal	
No. 8 is lowered, or the black rainstorm warning	
signal or the "extreme conditions after super	
typhoons" announced by the Government has/have	
ceased to be in force. In case of blockage of the	
public access to the location of the *Government	
Secretariat Tender Box / *Public Works Tender Box	
at any time between 9 a.m. and 12 noon on the tender	
closing date, the Government will announce	
extension of the tender closing date and time to a date	
and time to be specified in a further notice.	
Following removal of the blockage, the Government	
will announce the extended tender closing time as	
soon as practicable. The announcements concerning	
"extreme conditions after super typhoons" and	
blockage will be made via press releases on the	
website of Information Services Department	
(http://www.info.gov.hk/gia/general/today.htm). In	
order to ensure that the tender is deposited in the	
Tender Box well before the closing time, the tenderer	
should as far as possible arrange for the tender to be	
deposited before the closing date.	
(4) The tenderer may rest assured that no person	
is allowed access to the tender that has been	
deposited in the *Government Secretariat Tender Box	
/ *Public Works Tender Box until after the closing	
time when they will be removed by authorized	
personnel.	

Clause	Remarks/Guidelines
NTT A6 Electronic submission	
(1) Tenderers may submit tenders in traditional hard copy format or partly in electronic format in accordance with Clause GCT 4 of the General Conditions of Tender. All tenders, whether in hard copy format or partly in electronic format, will be evaluated on an equal basis. (2) When submitting tenders in electronic format, tenderers are reminded to digitally sign their tenders in electronic format, which shall comply with	Advice to tenderers about electronic submission of tender returns in removable media. Note: # Please insert appropriate reference. & The requirements have been set out in
the requirements set out in Appendix [#] ^{&} to these Notes to Tenderers General Conditions of Tender.	Appendix 4 of ETWB TCW No. 11/2005.

Clause	Remarks/Guidelines
NTT A7 Changes in status of qualifications	
The tenderer should inform the Government in its tender submission of any factor which might affect its status of qualifications. The Government reserves the right to review the tenderer's qualified status in the light of any new information relevant to its qualifications.	Advice to tenderers to inform the Government of any factor which might affect the tenderer's qualified status (See SPR 330).

Clause	Remarks/Guidelines
NTT A8 Regulating actions on inappropriate con	nducts
Where the tenderer (i) is involved in any of the inappropriate conducts as described in paragraph [5.13.1*] of the Contractor Management Handbook and which gives rise to reasonable suspicions as to its capability or integrity or (ii) fails or refuses to implement an accepted tender, regulating action may be taken against the tenderer in accordance with the terms of the Contractor Management Handbook.	DEVB memo ref. DEVB(W) 546/70/02 dated 10.2.2021 Advice to tenderers about regulating actions for withdrawal of tenders within the tender validity period, or failure or refusal to implement an accepted tender. # Please check and update the paragraph number with reference to the prevailing version of the Contractor Management Handbook.

Clause Remarks/Guidelines

NTT A9 Regulating action (serious incident or conviction for site safety or environmental offences)

Tenderers' attention is drawn to Clause [SCT 12]# of the Special Conditions of Tender requiring a statement of "no conviction" or a statement of all convictions under the Factories and Industrial Undertakings Ordinance (Cap 59), the Occupational Safety and Health Ordinance (Cap 509), the Shipping and Port Control Ordinance (Cap 313), the Merchant Shipping (Local Vessels) Ordinance (Cap. 548), the Air Pollution Control Ordinance (Cap 311), the Noise Control Ordinance (Cap 400), the Waste Disposal Ordinance (Cap 354), the Water Pollution Control Ordinance (Cap 358), the Dumping at Sea Ordinance (Cap 466), the Ozone Layer Protection Ordinance (Cap. 403), the Land (Miscellaneous Provisions) Ordinance (Cap. 28), the Environmental Impact Assessment Ordinance (Cap. 499), and the Hazardous Chemicals Control Ordinance (Cap. 595). The statement needed takes no special form.

This Clause is only applicable if Marking Scheme Approach is used.

Please refer to DEVB TCW No. 35/200923.

Modify as appropriate.

Clause	Remarks/Guidelines
NTT A10 Anti-collusion	
Tenderers' attention is drawn to the anti-collusion provisions in Clause GCT 26 of the General Conditions of Tender.	Please refer to DEVB memo ref. (02B6J-01-6) in DEVB(W) 510/10/01 dated 24.3.2011.

Clause Remarks/Guidelines

NTT A11 Formula Approach [optional clause]

- (1) Tenders will be evaluated in accordance with the formula approach at Appendix [insert appropriate reference | to these Notes to Tenderers. Tenderers should note DEVB TC(W) No. 4/2014 and 4/2014A which set out the use of the Formula Approach for tender evaluation. Tenderers shall note that the Government is not bound to accept the tender with the highest overall score or any tender and may cancel the tender exercise on public interest ground. In considering the acceptance of a tender, the Government will take account of all relevant circumstances including the following:-
 - (i) The overall score;
 - (ii) The effect of incident of payments by discounting future payments to obtain the present values and use the present values to substitute the forecast total of the Prices in determining the ranking of the tenders, if the forecast total of the Prices/overall scores are very close;
 - (iii)The effect of exceptionally high or low priced items;
 - (iv) The tenderer's capability (financially, commercially and technically) undertaking this contract. avoidance of doubt, matters such as bankruptcy, false declaration, lack of integrity, acts of dishonesty, the latest available information and reports reflecting serious shortfall in performance and the latest available information and reports relating serious site safety to environmental incidents may be taken into account in determining whether a tenderer is fully capable of undertaking this contract

To be used for tender evaluation using Formula Approach.

Please refer to DEVB TCW No. 4/2014 and 4/2014A. See also SDEV memos ref. DEVB(W) 546/84/01 dated 9.7.2021, and DEVB(W) 510/30/01 dated 31.8.2022, DEVB(W) 546/84/01 dated 18.8.2023 and DEVB(W) 546/84/01 dated 10.11.2023, and DEVB(W) 546/84/01 dated 10.11.2023, and DEVB(W)

- ** for use in tenders which EMSTF is eligible to bid
- [#] Please insert appropriate reference.

Net present value analysis is NOT applicable for NEC target contracts. Please replace sub-clause (1)(ii) as "not used" for NEC target contracts.

Clause	Remarks/Guidelines
[**. For tenders submitted by EMSTF, the	
letters of "non-compliance" issued by the	
relevant regulatory authorities shall also be	
treated as conviction records];	
(v) The effect of erratic pricing determined in	
accordance with Clause GCT 14 of the	
General Conditions of Tender; and	
(vi)The interest of national security.	
[Set out the details of the Stage I Screening and all the	
minimum requirements where applicable and the	
consequences of failing Stage I Screening.]	
Appendix [X]	
The Formula Approach to Tender Evaluation	
The Formula Approuen to Tender Evaluation	
(1) The Formula Approach forto tender	
evaluation will takes into account the forecast total of the	
Prices and the tenderer's past performance under public works contracts. With respect to each conforming	
works contracts. With respect to each conforming tender, a combined price and performance (overall)	
score will be worked out in accordance with the formula	
below. Normally, the tender with the highest overall	
score should be recommended for acceptance, subject to	
the usual requirement that the procuring department is	
satisfied that the recommended tenderer is fully capable	
(including technically, commercially and financially) of	
undertaking this contract, and that the recommended	
tender is the most advantageous to the Government in	
accordance with the tender provisions.	
accordance with the tender provisions.	
the lowest forecast total of the Prices	

	Clause	Remarks/Guidelines
	among those conforming tenders	
60 x	the forecast total of the Prices of the tenderer	
+ 40 x	the tenderer's performance score	
TO X	the highest performance score among those conforming tenders	
as stipulated	al of the Prices is subject to correction rules in Clause [insert appropriate reference] of Conditions of Tender.	
Conforming	g Tender	
1 1	or the purpose of calculation using the ove, a conforming tender means a tender	* Delete where appropriate.
(a) conforms	s to the essential requirements of the tender on; *and	
` ´	tted by a tenderer which complies with the f participation*. / *; and	
*(c) has pass	sed the Stage I Screening where applicable.	
tendered tot unsuitable fo contract (s	ng tender with abnormally low or high al of the Prices or which is considered or recommendation for the award of this uch as financially, commercially or ncompetent) remains to be a conforming	
Performanc	ee Score	
(3) T	he "performance score" in the above ll be worked out in accordance with the	

	Clause	Remarks/Guidelines
formulae below.		
For cases where "training rating" is not applicable	Performance score = "performance rating" + "safety rating" + merit/demerit point for safety	
For cases where "training rating" is applicable	Performance score = "performance rating" + "safety rating" + "training rating" + merit/demerit point for safety	

(4) In case "training rating" is applicable, the full mark of the "performance score" will be increased from 111 110 (i.e. 100 for "performance rating" and 1 for merit/demerit point for safety) to 111 112 or 112 (with an addition of 1 or 2 mark for "training rating").

(A) Performance rating

- (5) The "performance rating" means the performance rating held in the DEVB's Contractors' Performance Index System (CMIS) on the original date set for the return of tenders or, if this has been extended, the extended date. The maximum rating in the CMIS is 100.
- (6) If a tenderer has been enlisted by way of substitution, the performance rating of this tenderer as recorded in the CMIS will take into account the past performance of the previous contractor.
- (7) If a tenderer does not have a rating on the particular date, it shall be assigned an average performance rating based on the performance ratings of

Clause	Remarks/Guidelines
the other tenderers who have submitted a conforming tender. In cases where the only conforming tenderer does not / all the conforming tenderers do not have any performance rating on the particular date, the tenderer(s) concerned will be given a performance rating of 50% of the maximum rating.	
Joint venture	
(8) The "performance rating" of a joint venture tenderer shall be evaluated as the higher of either — (i) the weighted average of the performance ratings of the participants or shareholders in the joint venture in accordance with their percentage participation; or	
(ii) the performance rating attained by the lead participant or major shareholder in the joint venture provided that the lead participant or major shareholder has a percentage participation of at least 70%; and that-	
(I) all the other participants or shareholders are in the same Category as the lead participant or major shareholder and on the confirmed or probationary status of the same Group as the lead participant or major shareholder (where the lead participant or major shareholder is a confirmed contractor); or	
(II) all the other participants or shareholders are in the same Category as the lead participant or major shareholder and on probationary status of the same Group or on confirmed status of a Group lower than that of lead participant or major shareholder (where the lead participant or major shareholder is a probationary	

Clause	Remarks/Guidelines
contractor).	
Where contractors not on the List of Approved	
Contractors for Public Works or contractors of more than	
one Category are invited to tender, only the method in (i)	
above is applicable in evaluation of performance rating	
of a joint venture tenderer.	
(9) For the purpose of evaluation using the method	
in paragraph 8(i) above, if a where there is/are	
participant(s)//shareholder(s) in a joint venture who	
has/have no performance rating, it will not be given any	
performance rating and there is only one	
participant/shareholder in this joint venture who has a	
performance rating, the performance rating of this joint	
venture tenderer its percentage participation shall be	
taken as excluded from the calculation of the	
performance rating of the joint venture tenderer under	
paragraph 8(i). For example, if joint venture tenderer	
A is composed of 3 participants X, Y and Z with 30%,	
30% and 40% shares respectively. If participant X has	
a performance rating of 60, participant/shareholder who	
Y has a performance rating. Where there is/are of 50	
and participant(s)/shareholder(s) in a joint venture who	
Z_has/have no performance rating and there are more	
han one participants/shareholders in this joint venture	
who have performance ratings, the performance rating	
of this joint venture tenderer shall be for the weighted	
average of the performance ratings of the	
participants/shareholders who have performance ratings	
n accordance with their percentage participation. joint	
venture tenderer A shall be (60 x 0.3 + 50 x 0.3)/(0.3 +	
$\frac{0.3)}{0.5} = 55$. If none of the participants/shareholders in	
this joint venture has any performance rating, the	
performance rating of this joint venture tenderer shall be	
calculated in accordance with paragraph 7 above by	
considering this joint venture tenderer being a tenderer	

Clause	Remarks/Guidelines
as described in that paragraph.	

(B) Safety rating

Calculation of safety rating

- (10) The "safety rating" is worked out from the past accident rates under public works contracts as per the accident and records of man-hours worked kept in DEVB's PWP Construction Site Safety & Environmental Statistics (PCSES) for three 12-month periods fixed by reference to the original date set for the close of tender or, if this has been extended, the extended date, according to paragraphs 11 to 16 below.
- (11) The three 12-month periods shall end on the last day of the calendar month immediately preceding the dates being 2 months (1st 12-month period), 14 months (2nd 12-month period) and 26 months (3rd 12-month period) respectively counting back from but excluding the original date set for the close of tender or, if this has been extended, the extended date. A table showing the three 12-month periods and measuring dates for tender closing dates is given below for illustration purpose.

Example to illustrate the three 12-month periods and measuring dates for tender closing dates

The original date set for the close of tender, or if this has been extended, the	First 12- Month Period			Secon	Second 12- Month Period			Third 12- Month Period		
extended date being a date falling within the following period	From	To	Measuring Date	From	То	Measuring Date	From	То	Measuring Date	
1 to 31 Jan-21	1-Nov-19	31-Oct-20	31-Oct-20	1-Nov-18	31-Oct-19	31-Oct-19	1-Nov-17	31-Oct-18	31-Oct-18	
1 to 28 Feb-21	1-Dec-19	30-Nov-20	30-Nov-20	1-Dec-18	30-Nov-19	30-Nov-19	1-Dec-17	30-Nov-18	30-Nov-1	
1 to 31 Mar-21	1-Jan-20	31-Dec-20	31-Dec-20	1-Jan-19	31-Dec-19	31-Dec-19	1-Jan-18	31-Dec-18	31-Dec-1	
1 to 30 Apr-21	1-Feb-20	31-Jan-21	31-Jan-21	1-Feb-19	31-Jan-20	31-Jan-20	1-Feb-18	31-Jan-19	31-Jan-19	
1 to 31 May-21	1-Mar-20	28-Feb-21	28-Feb-21	1-Mar-19	29-Feb-20	29-Feb-20	1-Mar-18	28-Feb-19	28-Feb-1	
1 to 30 Jun-21	1-Apr-20	31-Mar-21	31-Mar-21	1-Apr-19	31-Mar-20	31-Mar-20	1-Apr-18	31-Mar-19	31-Mar-1	
1 to 31 Jul-21	1-May-20	30-Apr-21	30-Apr-21	1-May-19	30-Apr-20	30-Apr-20	1-May-18	30-Apr-19	30-Apr-1	
1 to 31 Aug-21	1-Jun-20	31-May-21	31-May-21	1-Jun-19	31-May-20	31-May-20	1-Jun-18	31-May-19	31-May-19	
1 to 30 Sep-21	1-Jul-20	30-Jun-21	30-Jun-21	1-Jul-19	30-Jun-20	30-Jun-20	1-Jul-18	30-Jun-19	30-Jun-19	
1 to 31 Oct-21	1-Aug-20	31-Jul-21	31-Jul-21	1-Aug-19	31-Jul-20	31-Jul-20	1-Aug-18	31-Jul-19	31-Jul-19	
1 to 30 Nov-21	1-Sep-20	31-Aug-21	31-Aug-21	1-Sep-19	31-Aug-20	31-Aug-20	1-Sep-18	31-Aug-19	31-Aug-19	
1 to 31 Dec-21	1-Oct-20	30-Sep-21	30-Sep-21	1-Oct-19	30-Sep-20	30-Sep-20	1-Oct-18	30-Sep-19	30-Sep-19	

(12) The following formula shall be used for calculating the accident rates for the concerned 12-month periods: -

	Claus	e			Remarks	s/Guidelin
	No. of non-fat reportable accidents Note 1 the period)	(N + aco	o. of fatal cidents in e period)			
5	Total no. of ma period/100	n-hours wor),000 man-h				
resulting in an three days and	all fatal accide fety rating", the ree 12-month p th periods have	ncapacity fonts. maximum to beriods. The ea maximum	total is 10 for first, second m rating of 5			
worked out by re	ference to the	table below	and shall be			
the sum of the the	_	rresponding	to the three			
12-month period	S.					
Tenderer's Accident Rate@	1 st	Rating 2 nd	3 rd			
Accident Kate	12-month	12-month	12-month			
accident rate ≤ 25 of the limit #		3	2			
25% of the limit accident rate ≤ 50 of the limit		2.25	1.5			
50% of the limit accident rate ≤ 75 of the limit		1.5	1			
75% of the limit	<	0.77	0.7			

1.25

0

 $\begin{array}{ll} \text{accident} & \text{rate} & \leq \\ 100\% & \text{of the limit} \\ \\ \text{accident} & \text{rate} & > \\ \end{array}$

100% of the limit

0.75

0

0.5

0

Clause	Remarks/Guidelines
 @ The unit of accident rate is number of accident per 100,000 man-hours worked. # The limit of accident rate currently set by DEVB is 0.6. 	
(14) If a tenderer does not have an accident rate for a particular 12-month period (on the ground of no manhour worked for that period), the accident rate to be used for that period shall be the average of accident rates for the other two periods. If a tenderer has an accident rate for one of the three 12-month periods only, that accident rate shall be used for calculating the safety rating for the other two 12-month periods.	
(15) For a tenderer without any accident rate for the past three 12-month periods, its safety rating shall be the average safety rating attained by the other tenderers with a safety rating who have submitted a conforming tender.	
(16) In cases where the only conforming tenderer does not/all the conforming tenderers do not have any accident rate for the past three 12-month periods, the tenderer(s) concerned will be given a safety rating of 50% of the maximum rating.	
Joint venture (17) The safety rating of a joint venture tenderer shall be the weighted average (in accordance with their percentage participation) of the safety ratings of the individual participants or shareholders which shall each be calculated in accordance with paragraphs 11 to 14 above.	

Clause	Remarks/Guidelines
(18) Where there is/are participant(s)/	
shareholder(s) in a joint venture without any accident	
rate for the past three 12-month periods and there is only	
one participant/shareholder in this joint venture with	
accident rate(s) for the past three 12-month periods, the	
safety rating of this joint venture tenderer shall be the	
safety rating attained by the participant/shareholder in	
this joint venture with accident rate(s) for the past three	
12 month periods. Where there is/are participant(s) /	
shareholder(s) in a joint venture without any accident	
rate for the past three 12 month periods and there are	
more than one participants/shareholders in this joint	
venture with accident rate(s) for the past three 12-month	
periods, the safety rating of this joint venture tenderer	
shall be the weighted average of the safety rating of the	
participants/shareholders in this joint venture with	
accident rate(s) for the past three 12 month periods in	
accordance with their percentage participation. If a	
participant/shareholder in a joint venture does not have	
an accident rate for the past three 12-month periods, it	
will not be given any safety rating and its percentage	
participation shall be excluded from the calculation of	
the safety rating of the joint venture tenderer under	
paragraph 17.	
(19) If none of the participants/shareholders of in a	
joint venture has any accident rate for the past three 12-	
month periods, the safety rating of this joint venture	
tenderer shall be calculated in accordance with	
paragraphs 15 - 16 above by considering this joint	
venture tenderer being a tenderer as described in those	
paragraphs.	

Clause Remarks/Guidelines (20)In calculating the accident rates of each participant/shareholder of a past/existing joint venture contract, the accident rates of the whole joint venture contract shall be used and attributed to the participant/shareholder irrespective of its share of the work in the past/existing joint venture contract. Accident rates for tenders with or without involvement of Contractors of the Buildings Category (21)For tenders invited from contractors of the For open tendering, departments should Buildings category, the accident rates for completed and decide whether the accidents rates for all on-going contracts in the Buildings category only will be completed and on-going contracts in used in the calculation of the accident rates, hence the **Buildings** category, non-Buildings safety ratings for the assessment of tenders. For tenders categories or all categories will be used. invited from contractors from any category/categories other than the Buildings category, the accident rates for completed and on-going contracts in non-Buildings categories (i.e. all other categories) will be used. For tenders invited from contractors in more than one category including the Buildings category, the accident rates for all completed and on-going contracts in all categories will be used. (C) Training rating** (22)The full mark for training rating shall be $[X]^{\#}$. The "training rating" shall be incorporated in the Formula (23)The "training rating" of a tenderer is worked Approach as well as the Standard out based on its past records of training workers to Marking Scheme for evaluating skilled/semi-skilled levels in public works contracts via tenders invited from Group C joining the collaborative training schemes (including In the case of open Contractor Collaborative Training Scheme (CCTS), contractors. tendering, or where tenders are Intermediate Tradesman Collaborative Training Scheme invited from contractors other than (ITCTS) (formerly called Construction Tradesman Group C contractors enlisted in any Collaborative Training Scheme (CTS)), Advanced category of the List of Approved Construction Manpower Training Scheme (ACMTS)

and Construction Industry Council Approved Technical

Contractors for Public Works, the

Clause

Talents Training Programme (CICATP) administered by the Construction Industry Council (CIC) in the stated period, and its manpower deployment in public works

contracts in the same period.

(24) The "training rating" shall be calculated using the below formula –

Training rating

(Max = full mark)

$$= \text{full}$$
mark

Training score in the stated period

Total "man-year" worked in the stated period / 20

(25) For a tenderer who (i) does not have any total "man-year" worked in the stated period; or (ii) has total "man-year" worked below 20 and a training score of "0" in the stated period; or (iii) is not a Group C contractor Note 2 in the stated period, its training rating shall be the average training rating attained by other tenderers with a training rating who have submitted a conforming tender.

Note 2 In the context of training rating, a Group C contractor means a Group C contractor enlisted in any category of the List of Approved Contractors for Public Works.

Remarks/Guidelines

"training rating" shall also be incorporated the Formula Approach and the Standard Marking Scheme for evaluating tenders if the department has assessed that not less than 80% of potential tenderers would be Group C contractors enlisted in any category of the List of Approved Contractors for Public Works so that the past performance assessment criterion in connection with the provision of on-the-job training to workers could be meaningfully adopted and put to use.

[X]##, i.e. the full mark, shall be determined as follows:-

Estimated Forecast Total of the Prices	Value of X
>\$1 billion	1
≤\$1 billion	2

	Clause	Remarks/Guidelines
have an or (ii) l training Group (In cases where the only conforming reach of all the conforming tenderers (i) does not y total "man-year" worked in the stated period; has total "man-year" worked below 20 and a score of "0" in the stated period; or (iii) is not a C contractor in the stated period, the tenderer(s) ed will be given a training rating of 50% of the rk.	
Trainin	g score	
	The training score of a tenderer should be out using the number of workers trained as below — 1 training score for each of his CCTS or ITCTS trainees in public works contracts who: (a) is registered as the registered semi-skilled worker of the trained trade under the Construction Workers Registration Ordinance (Cap. 583) (CWRO); or (b) has passed the end-of-training assessment under CCTS or ITCTS if such trade has no corresponding trade division under CWRO, or if CWRO does not allow registration of registered semi-skilled worker for the corresponding trade division, during the stated period;	
(ii)	2 training scores for each of his ACMTS or CICATP trainees in public works contracts who has passed CIC's mid-term assessment of ACMTS or CICATP for the trained trade during the stated period;	
(iii)	2 training scores for each of his ACMTS or CICATP trainees in public works contracts who is registered as the registered skilled worker of the trained trade under CWRO during the stated period; and	

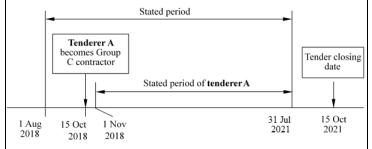
Clause	Remarks/Guidelines
(iv) 0 training score if none of the above applies.	
(28) To cope with the characteristics of the	
construction industry that most of the skilled workers are	
employed by sub-contractors, CCTS, ITCTS, ACMTS	
or CICATP trainees employed and trained by sub- contractors in a public works contract will be counted as	
the trainees under the main contractor for the purpose of	
calculating the training rating. A trainee will be	
counted as receiving training under a public works	
contract so long as such contract is stated, in the trainee's	
application form for joining the collaborative training	
schemes, as the public works contract under which the	
trainee will mainly receive training. Such information	
will be duly reflected in CIC's Collaborative Training Schemes Statistics System (CTSSS).	
Schemes Statistics System (C1333).	
(29) The tenderers' training records under CCTS,	
ITCTS, ACMTS and CICATP to be used for calculating	
"training rating" are kept in CIC's CTSSS accessible via	
CIC's website at http://www.cic.hk/ctsss . The training	
score of a tenderer should be worked out based on	
paragraph 27 above, using the number of workers	
trained by the tenderer as recorded in the CTSSS.	
Total "man-year" worked	
(30) The total "man-year" worked of a tenderer	
shall be equal to the total "man-day" worked for all	
public works contracts of the tenderer in the stated period kept in the PCSES, divided by 295 work days per	
year.	
Stated period	
(31) The stated period shall be 36 months ending on	
the last day of the calendar month immediately	

Remarks/Guidelines

preceding the dates being 2 months counting back from
but excluding the original date set for the close of tender,
or if this has been extended, the extended date.

Clause

(32)For tenderer who is not a Group C contractor of any category immediately preceding the start date of the stated period, the stated period for such tenderer shall start on the first date of the calendar month immediately following the earliest date on which the tenderer becomes a Group C contractor. An example is provided below for illustration purpose.



(33)The following examples are provided to illustrate the calculation of training rating.

	(A)	(B)	(C)
	Total "man-year" worked in the stated period	Training score in the stated period	Training rating (see Note 2) $= Full Mark (FM) x \frac{(B)}{(A)/20}$
Example 1	40	1	= FM x 1/(40/20)
Example 2	40	2	= FM x 2/(40/20)
Example 3 (see Note 1)	453	11	= FM x 11/(453/20)
Example 4	0	N/A	= average of other conforming
Example 5	15 (i.e. <20)	0	tenderers with a training rating
Example 6	5	1	= FM x 1/(5/20) = 4 FM but capped by FM

- Example 3 illustrates the average situation of active Group C contractors in 2016. In case $\frac{(B)}{(A)/20}$ is larger than 1, it shall be taken as 1 only, i.e. the "training rating" shall be capped by the Full Mark. Similarly, for the case of joint venture tenderers, the "training rating" shall be capped by the Full Mark for each participant or shareholder before calculating the weighted average.

<u> Joint venture</u>

	Clause	Remarks/Guidelines
(34)	For joint venture tenderers -	
(i)	A "specified participant/shareholder" in a joint venture means a participant/shareholder who -	
	(a) does not have any total "man-year" worked in the stated period;	
	(b) has total "man-year" worked below 20 and a training score of "0" in the stated period; or	
	(c) is not a Group C contractor in the stated period.	
(ii)	Except for situations as described in itemsSubject to paragraphs (iii), (iv) and (viv) below, the training rating of a joint venture	
	tenderer shall be the weighted average (in accordance with their percentage participation)	
	of the training ratings of the individualits participants or shareholders which shall each	
	be calculated in accordance with paragraphs 23 to 33 (excluding 25 & 26) above.	
(iii)	— <u>If a</u> — <u>Where there is/are specified</u> participant(s)/shareholder(s) in a joint venture ₅	
	and there is only one participant/shareholder of this joint venture not being a specified	
	participant/shareholder, the training rating of this joint venture shall be the training rating	
	attained by the participant/shareholder of this joint venture not being is a specified	
	participant/shareholder-	
<u>(iv)(</u>	<u>Where there is/are specified</u>	
	participant(s)/shareholder(s) in a joint venture, and there are more than one	
	participants/shareholders of this joint venture	
	not being specified participants/shareholders,	
	the training rating of this joint venture shall be the weighted average of the training ratings of	

Clause	Remarks/Guidelines
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those participants/shareholders not being specified participants/shareholders in accordance with their, it will not be given any training rating and its percentage participation shall be excluded from the calculation of the training rating of the joint venture tenderer under paragraph (ii) above.

in a joint venture are specified participants/shareholders, the training rating of this joint venture tender shall be calculated in accordance with paragraphs 25–26 above by considering this joint venture tenderer being a tenderer as described in those paragraphs.

(35) The following table illustrates the calculation of the training rating for joint venture tenderer.

s	Status of JV participant / shareholder (see Note)						Training rating of ABCDE joint venture
A	В	C	D	E			
NS	NS	NS	NS	NS	Weighted average of all participants / shareholders		
NS	NS	NS	S	S	Weighted average of A, B and C		
NS	S	S	S	s	Training rating of A		
s	s	s	s	s	Average training ratings attained by other conforming tenderers with a training rating		

 $\begin{array}{ll} Note: & S-A \ specified \ participant/shareholder \\ & NS-Not \ a \ specified \ participant/shareholder \end{array}$

(36) In calculating the training rating of each participant/shareholder of a past/existing joint venture contract, the training rating of the whole joint venture contract shall be used and attributed to the participant/shareholder irrespective of its share of the work in the past/existing joint venture contract.

Merit / Demerit Point for Safety Obtain present value

	Claı	Remarks/Guide	elines		
y discour	nting future paym	ents			
(37) T	he "merit/demeri				
dependent	on (i) whether a t	nay have			
caused or	contributed (wheth	er by act or om	ission) to		
any incide	nt involving loss of	flife or incident	involving		
serious boo	dily injury Note 1 at	a construction sit	e Note 2 in		
Hong Kor	ng (hereinafter co	llectively referre	ed to as		
'Serious	Incident") during	the Relevant P	eriod as		
defined in	paragraph 39 belo	ow; and (ii) whe	ther such		
tenderer h	eld any on-going v	works contract d	uring the		
Relevant P	eriod.				
Note 1	"Serious bodily in	jury" shall bear	the same		
	s assigned to it un	-			
	C(W) No. 5/2023 d				
subsequent					
Note 2	" Construction sit	e" chall bear t	he came		
	s defined in paragra				
	3 dated 28 July		• • •		
update.	5 dated 26 July	2023 Of ally 30	ioscqueiii		
apaare.					
(38) T	he merit/demerit po	oint for safety app	licable to		
4	under different situa	ations is as follow	vs:		
a tenderer					
a tenderer					
Situation	The tenderer	The tenderer	Merit /		
	The tenderer has or may	The tenderer held an on-	Merit / Demerit		
	has or may	held an on-	Demerit		
	has or may have caused or	held an on- going works	Demerit Point for		
	has or may have caused or contributed to a	held an on- going works contract	Demerit Point for Safety		
	has or may have caused or contributed to a Serious	held an on- going works contract during the	Demerit Point for Safety		
	has or may have caused or contributed to a Serious Incident during	held an on- going works contract during the Relevant	Demerit Point for Safety		

	Clau	ise	Remarks/Guidelines	
<u>II</u>	No	No	Note 3	
III	Yes (not involving any	Yes or No	<u>-0.5</u>	
	involving any loss of life)			
<u>IV</u>	Yes (involving	Yes or No	<u>-1</u>	
	loss of life)			
	[Note 4]			

Note 3 Merit / Demerit Point for Safety for a tenderer falling within Situation II shall be the average mark obtained by all conforming tenderer(s), excluding those who fall within Situation II.

Provided that if the only conforming tenderer falls / all conforming tenderers fall within Situation II, +0.5 mark will be given to it/them. For the avoidance of doubt, a participant or shareholder of a joint venture tenderer is not regarded as a conforming tenderer.

Note 4 For the avoidance of doubt, if a tenderer has or may have caused or contributed to a Serious Incident involving any loss of life, it will be considered as falling within Situation IV, regardless whether the tenderer has or have caused or contributed to any other Serious Incident not involving any loss of life.

- (39) For the purpose of assessing the merit/demerit point for safety:
 - (i) Relevant Period means the period between and inclusive of the two dates below:-
 - (a) the first day of the 14th calendar month immediately preceding the calendar month in which the original date set for close of tender is in or, if this has been extended,

Clause	Remarks/Guidelines
the extended date; and	
(b) the last day of the 3 rd calendar month immediately preceding the calendar month in which the original date set for close of tender is in or, if this has been extended, the extended date.	
(ii) A tenderer is regarded as having or may be having caused or contributed to a Serious Incident during the Relevant Period if:	
(a) According to the information provided by Labour Department or other relevant government departments as described in paragraph 13 of DEVB TC(W) No. 5/2023 dated 28 July 2023 or any subsequent update, the tenderer was involved in a Serious Incident occurred during the Relevant Period; and (b) On the basis of the aforesaid information, DEVB consider that the tenderer has or may have caused or contributed to the Serious Incident in any capacity whatsoever, including but not limited to main contractor and subcontractor at any tier^.	^ The procuring departments shall refer to the records kept in DEVB's Works Group Intranet Portal.
(iii) A tenderer is regarded as holding an on-going works contract during the Relevant Period if:-	
(a) The tenderer is acting in the capacity of the main contractor or is a participant/shareholder of a joint venture acting in the capacity of a main contractor of a public or private works contract at any	

Clause	Remarks/Guidelines
point of time during the Relevant Period;	
(b) The whole or part of the works under the	
said contract is to be or has been carried	
out in a construction site See Note 2 above in	
Hong Kong; and	
(c) The said contract has commenced on or	
before the last day of the Relevant Period	
and the works under the said contract as a	
whole (excluding Maintenance Period)	
have not been certified complete by the	
Engineer / Architect / Surveyor /	
Supervising Officer/ Authorised Person or	
other equivalent professionals before the	
Relevant Period commences or, in the case	
of term contract, the contract term has not	
yet expired before the Relevant Period	
commences.	
(40) A tenderer should provide sufficient	
documentary evidence of any on-going works contract	
held by it (e.g. articles of agreement, recent	
correspondences issued by the Engineer / Architect /	
Surveyor / Supervising Officer / Authorised Person and	
the like for the contract). If a tenderer fails to	
demonstrate that it has one or more on-going works	
contract, its tender shall be assessed as if it held no on-	
going works contract during the Relevant Period.	
g g	
Joint venture	
(41) The merit/demerit point for safety for a joint	
venture tenderer shall, subject to paragraphs 42 and 43	
below, be the weighted average (in accordance with their	
percentage participation) of the merit/demerit point for	
safety of its participants or shareholders which shall each	

Clause	Remarks/Guidelines
be calculated in accordance with paragraphs 37 to 39	
above.	
(42) If a participant/shareholder in a joint venture	
falls within Situation II in the table under paragraph 38,	
it will not be given any merit/demerit point for safety and	
its percentage participation shall be excluded from the	
calculation of the merit/demerit point for safety of the	
joint venture tenderer under paragraph 41.	
(43) If all participants/shareholders in a joint	
venture fall within Situation II in the table under	
paragraph 38, the merit/demerit point for safety of the	
joint venture tenderer shall be calculated as if it is a	
tenderer falling within Situation II in the said table.	
Obtain present value by discounting future payments	
(3744) For tenders with a forecast total of the Prices,	Net present value analysis is NOT
if the tendered sums or the overall scores of the tenders	applicable for NEC target contracts
under consideration with highest overall scores are very	Please replace paragraph (3744) as "no
close (usually the three with the highest overall score),	used" for NEC target contracts.
the procuring department should consider discounting	
future payments to obtain the present value and use the	
present value instead of the forecast total of the Prices in	
determining the ranking of the tenders. This calculation	
exercise should only apply to those conforming tenders	
with the highest overall scores (usually the top three).	

Clause

Remarks/Guidelines

NTT A12 Marking Scheme Approach [optional clause]

- (1) Tenderers should note that tenders will be evaluated in accordance with the Marking Scheme at Appendix [insert appropriate reference]# to these Notes to Tenderers. Tenderers should note DEVB TC(W) No. 4/2014 and 4/2014A which set out the use of Marking Scheme Approach for tender evaluation. Tenderers shall note that the Government is not bound to accept the tender with the highest overall score or any tender and may cancel the tender exercise on public interest ground. In considering the acceptance of a tender, the Government will take account of all relevant circumstances including the following:-
 - (i) The overall score;
 - (ii) The effect of incident of payments by discounting future payments to obtain the present values and use the present values to substitute the forecast total of the Prices in determining the ranking of the tenders, if the forecast total of the Prices/overall scores are very close;
 - (iii) The effect of exceptionally high or low priced items;
 - (iv) The tenderer's capability (financially, commercially and technically) in undertaking this contract. For the avoidance of doubt, matters such as bankruptcy, false declaration, lack of integrity, acts of dishonesty, the latest available information and reports reflecting serious shortfall in performance and the latest available information and reports relating to serious site safety or environmental incidents may be taken into account in determining whether a tenderer is fully capable of undertaking this contract [**. For tenders submitted by EMSTF, the letters of "non-

To be used for tender evaluation using Marking Scheme Approach.

Please refer to DEVB TCW No. 4/2014 and 4/2014A. See also SDEV memos ref. DEVB(W) 546/84/01 dated 9.7.2021—and, DEVB(W) 510/30/01 dated 31.8.2022, DEVB(W) 546/84/01 dated 18.8.2023 and DEVB(W) 546/84/01 dated 10.11.2023.

- * Delete where appropriate.
- ** For use in tenders which EMSTF is eligible to bid
- [#] Please insert appropriate reference.

Net present value analysis is NOT applicable for NEC target contracts. Please replace sub-clause (1)(ii) as "not used" for NEC target contracts.

Clause	Remarks/Guidelines
compliance" issued by the relevant regulatory	
authorities shall also be treated as conviction	
records]; *	
(v) *The effect of erratic pricing determined in	
accordance with Clause GCT 14 of the	
General Conditions of Tender; and	
(vi) The interest of national security.	
(2) Tenderers are required to make a Technical	
Submission in accordance with Clause GCT 4 of the	
General Conditions of Tender, which will be taken into	
account in the tender evaluation. The submissions on	
technical resources and technical proposals shall form	
part of this contract. The tendered total of the Prices	
submitted in the tender, subject to the correction(s) in	
accordance with Clause [GCT 11]# of the General	
Conditions of Tender where appropriate, is deemed to	
be inclusive of the execution of the works in accordance	
with the tender submissions on technical resources and	
technical proposals. Tenderers shall ensure that it is	
legally and physically possible to execute the works in	
accordance with the tender submissions on technical	
resources and technical proposals. Should the	
Contractor for any reason be unable to adhere to the	
tender submissions on technical resources and technical	
proposals, any cost savings to the Contractor arising	
therefrom shall be determined by the <i>Project Manager</i>	
and deducted from the Prices in accordance with Clause	
[A2] [#] of the additional conditions of contract.	
Tenderers' attention is drawn to Clause [A2] [#] of the	
additional conditions of contract.	
(3) The respective weights for price and technical	
score are 60/40 [@] . The overall score for each conforming	
tender is determined according to the formula below.	
Normally, the tender with the highest overall score would	@ Price to Technical Weighting for

Clause

be recommended for acceptance subject to the requirement that the procuring department is satisfied that the recommended tenderer is fully (including technically, commercially and financially) capable of undertaking this contract, and that the recommended tender is the most advantageous to the Government in accordance with the tender provisions.

the lowest forecast total of the Prices
among those conforming tenders

the forecast total of the Prices
of the tenderer

the tenderer's technical score

the highest technical score among those conforming tenders

Forecast total of the Prices is subject to correction rules as stipulated in Clause [*insert appropriate reference*] of the General Conditions of Tender.

- (4) For the purpose of calculation using the formula above, a conforming tender means a tender which
- (a) conforms to essential requirements of the tender documentation:
- (b) is submitted by a tenderer which complies with the conditions of participation;
- (c) has passed the Stage I Screening; and
- (d) in respect of its Technical Submission, has satisfied the passing marks requirements.

A conforming tender with abnormally low or high tendered total of the Prices or a conforming tender considered unsuitable for recommendation for the award of this contract (such as financially, commercially or

Remarks/Guidelines

Works Tender:-

Option A – "Price to Technical Weighting" at **50/50**: For contracts with a high technical content that: (i) require contractors' specialized input (e.g. design of certain critical parts of the works); and (ii) entail highly complex functional requirements, and/or construction require methodology involving specialized plant/equipment with special constrains (e.g. delivery programme, site/environmental constraints). Policy support from DEVB for the adoption of 50/50 weighting is required.

Option B – "Price to Technical Weighting" at 60/40: For other contracts.

Clause	Remarks/Guidelines
technically incompetent) remains to be a conforming	
tender.	
(5) For tenders with a forecast total of the Prices, if the tendered sums or the overall scores of the top few tenders are very close (usually the three with the highest overall score), the procuring department should consider discounting future payments to obtain the present value and use the present value instead of the forecast total of the Prices in determining the ranking of the tenders. This calculation exercise should only apply to those conforming tenders with the highest overall scores	Net present value analysis is NOT applicable for NEC target contracts. Please replace sub-clause (5) as "not used" for NEC target contracts.
(usually the top three).	
Appendix [X]	
MARKING SCHEME IN TENDER EVALUATION	
[Please disclose the full marking scheme including Stage I Screening where applicable. Disclose the weighting of each attribute in the technical evaluation, the marking standard and the assessment criteria and the formula in determining the overall score. The information provided should be similar to those shown in Appendix C1 in DEVB TC(W) No. 4/2014A, as well as any additional qualification requirements on tenderers as	
part of the Stage I Screening and/or any criteria of assessment specific to the needs of the contract. The consequences of failing Stage I Screening should be clearly stated.]	
2.2	

Clause

Remarks/Guidelines

NTT A13 Evaluation method for use in tenders which EMSTF may be a potential bidder [optional clause]

- (1) Tenderers shall note that Government is not bound to accept the tender with the lowest forecast total of the Prices or any tender and may cancel the tender exercise on public interest ground. In considering the acceptance of a tender, Government will take account of all relevant circumstances including the following:-
- (i) The forecast total of the Prices;
- (ii) The effect of incident of payments by discounting future payments to obtain the present values and use the present values to substitute the forecast total of the Prices in determining the ranking of the tenders, if the forecast total of the Prices are very close;
- (iii) The effect of exceptionally high or low priced items;
- The capability (iv) tenderer's (financially, commercially and technically) in undertaking this contract. For the avoidance of doubt, matters such as bankruptcy, false declaration, lack of integrity, acts of dishonesty and frequency and seriousness of convictions relating to site safety and environmental incidents may be taken into account in determining whether a tenderer is fully capable of undertaking this contract. For tenders submitted by EMSTF, the letters of "non-compliance" issued by the relevant regulatory authorities shall also be treated as conviction records; and
- (v) The effect of erratic pricing determined in accordance with Clause GCT 14 of the General Conditions of Tender.

For use in tenders which EMSTF may be a potential bidder.

DEVB memo. ref. DEVB(W) 510/10/01 dated 2.5.2014.

Net present value analysis is NOT applicable for NEC target contracts. Please replace sub-clause (1)(ii) as "not used" for NEC target contracts.

Clause Remarks/Guidelines

NTT A14 Assessment of EMSTF offer [optional clause]

- (1) Tenderers should note that tenders are invited from contractors/suppliers* in both the private and public sectors including department(s) of the Government of the Hong Kong Special Administrative Region whose operation of the services is managed and accounted for by trading funds established pursuant to the Trading Funds Ordinance, Cap. 430 (hereafter referred to as "the relevant trading fund department").
- (2) All tenders will be evaluated on a fair basis. Every effort has been and will be made by the Government to ensure that the relevant trading fund department would not undertake both the role of consultant and contractor in this tendering exercise and where appropriate, independent consultant has been or will be engaged for the preparation of the tender documents, assessment of tenders and subsequent monitoring on the performance of the contractor/supplier*.
- (3) The Electrical and Mechanical Services Trading Fund (EMSTF) may submit a tender for this contract. A code of conduct for staff of the Electrical and Mechanical Services Department seconded to other department(s) is also in place for the staff to observe to avoid conflict of interest and is available for inspection by tenderers.

Advice to tenderers about assessment of EMSTF's (Electrical and Mechanical Services Trading Fund) offer (see SPR Appendix III(E)2, FC 7/2014 & WBTC No. 25/2001). This is to be used if Trading Fund is invited to tender.

* Delete as appropriate.

Clause

Remarks/Guidelines

NTT A15 Net present value analysis

- (1) A programme for the purpose of conducting a net present value analysis in accordance with NTT [X]# of these Notes to Tenderers is included in Appendix [X]# of these Notes to Tenderers. This programme is for tender assessment use only and will not form part of this contract.
- (2) The cashflow discount *[rate/rates] to be used for the aforesaid net present value analysis shall be *[a% per annum/as follows :

Calendar Year	<u>Cashflow</u>
	Discount Rate (per annum)
[Current year]	<i>x</i> %
[Next 4 years]	y%
[6th–10th year, if ap	oplicable] z%]

- (3) The cashflow discount *[rate/rates] provided herein *[is/are] for tender assessment only and will not form part of this contract. The cashflow discount *[rate/rates] shall not be taken as the economic forecasts by the Government.
- (4) The Government makes no warranties, representations or statements (whether express or implied) of any kind whatsoever in relation to the programme and the cashflow discount *[rate/rates] provided herein or any part thereof, including any warranties, representations or statements in respect of the accuracy, completeness, appropriateness and/or sufficiency of the same.

This is to be included for tenders with a forecast total of the Prices in conjunction with NTT A11 (1)(ii), NTT A12(1)(ii) and NTT A13 (1)(ii).

Net present value analysis is NOT applicable for NEC target contracts.

The programme should include some guidance notes on the allocation of preliminary costs and the contingencies (including provisional sums and contingency sums) throughout the contract period to be used in net present value (NPV) analysis.

The cashflow discount rate/rates shall be based on the <u>real discount rate</u> (i.e. a%) for contracts with actual payment <u>subject to</u> <u>price fluctuation adjustment</u> or <u>the nominal discount rates</u> (i.e. x%, y% and z%) for contracts with actual payment <u>not subject to price fluctuation adjustment</u>, applicable on the first publication date of tender invitation or, where the tender invitation is not published⁺, the date of issuance of the tender invitation, as announced in Secretary for Financial Services and the Treasury's memo to the departmental STA around March each year.

For cashflow expressed in **constant price** (i.e. actual payment subject to price fluctuation adjustment), the real discount rate (i.e. a%) shall be used.

For cashflow expressed in money-of-the-

Clause	Remarks/Guidelines
	day price (i.e. actual payment not subject to price fluctuation adjustment), nominal discount rates (i.e. x%, y% and z%) shall be used.
	 *[] - Project Offices should include the former for cashflow expressed in constant price and the latter for cashflow expressed in money-of-the-day price, and delete the one which is not applicable accordingly. + The tender invitation is not published where prequalified tendering or single/restricted tendering is adopted. Please refer to DEVB memo ref. DEVB(W) 545/17/01 dated 19.4.2010
	# Insert appropriate reference.

Clause	Remarks/Guidelines
NTT A16 Destruction of documents	
Documents of unsuccessful tenderers may be destroyed *three months\(^1\)/three years\(^2\) after the date this contract has been awarded.	Advice to tenderers that documents of unsuccessful tenderers will be destroyed at certain time after the date of the contract has been awarded and the agreement signed (See SPR 530). * Delete where appropriate 1 for tenders not covered by WTO GPA. 2 for tenders covered by WTO GPA. (See DEVB TCW No. 2/2014) Before destruction, Project Offices are required to check whether on-going disputes may require retention of these documents as evidence. Please consult LAD(W) if in doubt.

Clause Remarks/Guidelines

NTT A17 Bid challenge (WTO GPA) [optional clause]

- (1) This tender is covered by the Agreement on Government Procurement of the World Trade Organization (WTO GPA) and the provisions of the WTO GPA will apply to this tender. Tenderers are requested to note that a Review Body on Bid Challenges (under WTO GPA) ("the Review Body") has been set up by the Government to deal with challenges made against alleged breaches of the WTO GPA and the relevant procedures for handling bid challenges are set out in the Rules of Operation of the Review Body ("the Rules"), which are available for inspection at the Secretariat of the Review Body located at the Trade and Industry Department or which may be sent to the interested parties upon request. In the event that a tenderer believes that a breach of the WTO GPA had occurred, the tenderer may, within 10 working days after it knew or reasonably should have known the basis of the challenge, lodge a challenge to the Review Body on the alleged breaches of the WTO GPA. Nevertheless, the tenderer is encouraged to seek resolution of its complaint in consultation with the procuring department before lodging a complaint to the Review Body. In such instances, the procuring department shall accord impartial and timely consideration to any such complaint, in a manner that is not prejudicial to obtaining corrective measures through the Review Body.
- (2) Tenderers are also to note that the Review Body may receive and consider a late challenge but a challenge shall not be considered if it is filed later than 30 working days after the basis of the challenge is known or reasonably should have been known.

Advice to tenderers of the bid challenge system under the WTO GPA (see SPR Appendix III(E)1). Only needed for tenders governed by WTO GPA.

Clause	Remarks/Guidelines
NTT A18 JV Proforma	
Tenderers' attention is drawn to Clause [SCT 5(4)]* of the Special Conditions of Tender requiring the submission of the JV Proforma. Tenderer shall note the following in completing the JV Proforma: For each category of works set out in the JV Proforma, the tenderer shall fill in the proposed value of works to be undertaken by each participant / shareholder in the joint venture, and each proposed value of works shall be inserted as a figure in the unit of Hong Kong dollar, otherwise the tender shall be rendered invalid. Any other descriptions, such as "jointly", "fully integrated" etc. are not acceptable. The tenderer shall not add any additional category of works to, or amend or delete any category of works in the list of categories set out in the JV Proforma. The tenderer shall ensure that there are no uncategorised works for the purpose of filling in the JV Proforma. The value of those parts of the works that are not directly related to any category of works, e.g. preliminaries, personnel, insurance, safety etc. shall be distributed among the categories of works set out in the JV Proforma by the tenderer in such a way that the tendered total of the Prices in the JV Proforma tallies with the "tendered total of the Prices" inserted elsewhere in its tender e.g. the Grand Summary of *activity schedules/*bills of quantities, Form of Tender, and Contract Data Part two. The specific correction rules are set out in the JV Proforma.	Please refer to DEVB memo ref. (03487-01-1) in DEVB(W) 510/83/05 dated 31.12.2019. # Insert appropriate reference. * Delete as appropriate.

	Clause	Remarks/Guidelines
NTT	A19 Pre-bid arrangement under Target Co	ntract [Optional clause]
(1)	If the tenderer elects to propose Subcontractor(s)/supplier(s) for any of the works/items stipulated in Appendix [X]* to these additional conditions of contract on or before the close of tender, the tenderer shall comply with The tenderer's attention is drawn to the pre-bid arrangement in Clause SCT [18]* of the Special Conditions of Tender and the tenderer shall comply with the requirements stated therein.	Optional clause applicable toonly for target contract onlywhich adopts pre-bid arrangement. # Insert appropriate reference
(2)	The tenderer's attention is also drawn to the subcontracting arrangements in Clauses C3 and, C9, C9A and C11 of the additional conditions of contract.	
(3)	The tenderer shall not appoint the Subcontractor(s) proposed <u>under Clause SCT</u> [18] [#] of the Special Conditions of Tender until the <i>Project Manager</i> <u>has</u> accepted <u>himit</u> pursuant to NEC Clause 26 <u>with amendments</u> as detailed in the Schedule to the Articles of Agreement.	

Clause	Remarks/Guidelines
NTT A20 Eligibility to Tender and for the Awar Group [B] ^{Note 1} Contractors Effective period from 2	rd of Contracts Applicable to Confirmed October 2020 to 30 September 2022]
Tenderers' attention is drawn to General Conditions of Tender Clause [31A] [#] on "Eligibility to Tender and for the Award of Contracts Applicable to Confirmed Group [B] ^{Note 1} Contractors".	dated 31.8.2020 and 8.8.2022.
	# Insert appropriate reference

Note 1 Please insert the appropriate group as follows:

Contract	Group
Works contract (other than term contract) with pre-	Group A
tender estimate more than the Group A tender limit but	
less than or equivalent to 110% of the Group A tender	
limit	
Works contract (other than term contract) with pre-	Group B
tender estimate more than the Group B tender limit but	
less than or equivalent to 110% of the Group B tender	
limit	

Clause	Remarks/Guidelines
NTT A21 Estimates for Tender Price Index	
Tenderer's attention is drawn to SCT [20]# which requires the tenderer to submit Estimates for Tender Price Index ("ETPI") with its tender. The ETPI is submitted to the <i>Client</i> for the purposes as stated in SCT [20(4)]# only and will not be used for tender assessment. Failure to submit the ETPI by the tenderer will NOT render its tender invalid. Tenderer's attention is also drawn to Clause A8 of the <i>additional conditions of contract</i> which requires the <i>Contractor</i> to submit the ETPI within 14 days of the <i>Project Manager</i> 's request.	This Clause is only applicable for works tenders of Group C contracts issued under CEDD , HyD , WSD and DSD and adopting ECC Options A or C, where the tender price information are required for compilation of the Civil Engineering Works Tender Price Index (CEWTPI). Please refer to DEVB TC(W) No. 6/2017. # Insert appropriate reference

Clause

Remarks/Guidelines

NTT A22 Bonus for joint venture with listed contractor in lower group or with probationary status

Tenderers shall note that the attribute "Bonus for joint venture with listed contractor in lower group or with probationary status" has been included under the attribute [(3)(c)] " of Section [(3)]" of Part [(A)]" of the marking scheme at Appendix *[insert appropriate reference]* to the Notes to Tenderers.

Tenderer's attention is drawn to the associated submission requirement under Special Conditions of Tender Clause SCT [21][#].

Tenderer's attention is also drawn to Special Conditions of Tender Clauses SCT [5(1)]# that each participant or shareholder in the joint venture shall be technically capable for that part of the *works* it undertakes. Tenderer's attention is also drawn to Special Conditions of Tender Clauses SCT [5(5)]# and SCT [5(6)]# for circumstances under which a participant or shareholder in the joint venture will be considered as technically capable for that part of the *works* it undertakes.

DEVB's memo ref. DEVB(W) 546/84/01 dated 18.8.2023.

Applicable to tenders invited from Group C contractors of the List of Approved Contractors for Public Works only. Not applicable for open tendering or where tenders are invited from contractors other than Group C contractors enlisted in any category of the List of Approved Contractor for Public Works. Also not applicable for tenders invited from both Group B contractors and Group C contractors.

[#]Insert appropriate reference

Clause	Remarks/Guidelines
NTT B1 Conditions of contract	
The <i>conditions of contract</i> of this contract are the core	# Insert appropriate main Option.
clauses and the clauses for main Option [X]#,	## Insert appropriate secondary Option X;
secondary Options [X]## and Z of the NEC4	commonly used options include X1, X5,
Engineering and Construction Contract [(June 2017,	X7, X15, X16 and X20.
with amendments October 2020January 2023)]*	
published by Thomas Telford Limited, as amended or	* Insert appropriate version.
supplemented by the Schedule to the Articles of	
Agreement.	

Remarks/Guidelines Clause NTT B2 Constraints on Project Manager's power (1) The tenderer's attention is drawn to the Please refer to WBTC Nos. 19/2000, constraints on the *Project Manager's* powers set out in 20/2000 and 16/2002, ETWB TCW Nos. Clause [B1][#] of the *additional conditions of contract*. 56/2002A and 6/2004, and DEVB TCW No. 5/2007 (2) In addition to the above constraints, the *Project Manager* is also required under the terms of its # Insert appropriate reference appointment by the Client to: (i) refer the details of every change to the Scope, including the reasons for the change and its estimated change to the **Prices** due to the associated compensation event, to the Client for information as soon as the change is ordered; (ii) refer the details of the evaluation to the Client for information as soon as the change to the Prices due to the compensation event associated with the change to the Scope has been determined; (iii)report to the Client all compensation events involving changes to the Prices and refer to the principles underlying its assessment of each compensation event to enable the Client to provide its view of the matter before the Project Manager reaches a decision; and (iv) report to the Client all compensation involving the events delays Completion Date and Key Dates and, except for those delays solely in respect of inclement weather conditions, refer to the principles underlying its assessment

of each compensation event to enable the

Clause	Remarks/Guidelines
Client to provide its view of the matter before the <i>Project Manager</i> reaches a decision.	
	Please add any other constraints as necessary and specified in the consultancy agreements other than the above.

Clause	Remarks/Guidelines
NTT B3 Contingency sums, provisional sums and	d forecast total of the Prices
Tenderers' attention is drawn to Clause [GCT 35]# of the General Conditions of Tender on the contingency sums, provisional sums and forecast total of the Prices.	# Insert appropriate reference

Clause	Remarks/Guidelines
NTT B4 Defined Cost, Fee, Prices, Prices for Wor	rk Done to Date and the Contractor's share
Tenderers' attention is drawn to NEC Clauses	This Clause is only applicable to Options C
11.2(10), 11.2(24), 11.2(31), *11.2(32)/*11.2(33),	and D.
*11.2(35) and *54 with amendments as detailed in the	
Schedule to the Articles of Agreement for the	* amend as appropriate
definitions of the Fee, the Defined Cost, the Prices for	
Work Done to Date, the Prices, *the total/Total of the	
Prices and the <i>Contractor</i> 's share.	

Clause	Remarks/Guidelines
NTT B5 Assessment of Section Subject to Excision	on [optional clause]
Tenderers are advised that if, by the time a tender recommendation is made, the Government has decided not to proceed with the work contained within the <i>Section</i> Subject to Excision as defined in sub-clause (1) of Clause [B5]# of the <i>additional conditions of contract</i> , then the tendered price for that <i>section</i> shall be deducted from the forecast total of the Prices and the net value shall be taken for the purposes of tender evaluation.	# Insert appropriate reference DEVB memo ref. (02B2H-01-5) in DEVB(W) 510/10/01 dated 9.3.2011 is relevant. DEVB memo ref. (02BL7-01-02) in DEVB(W) 510/10/01 dated 12.4.2011 and memo ref. (027N7-01-2) in DEVB(W) 510/10/01 dated 29.6.2010 are also relevant.

Clause	Remarks/Guidelines
NTT B6 Client's power to reduce contingency sums for compensation events [optional clause]	
Tenderers' attention is drawn to Clause [SCT 15]# of the Special Conditions of Tender on reduction of contingency sums for compensation events.	Please refer to DEVB memo ref. DEVB(W) 546/70/01 dated 8.8.2011. This Clause is to be used where Clause SCT 15 of the Special Condition of Tender on "Reduction of contingency sums for compensation events" is included. # Insert appropriate reference

Clause	Remarks/Guidelines
NTT B8 Advance Payment under Capital Works 2020 to 31 August 2022	Contracts Effective period from 1 March
Tenderers' attention is drawn to the provisions of	Please refer to SDEV's memo ref.
Secondary Option X14 as amended by the schedule to	DEVB(W) 510/33/02 dated 14.2.2020, and
the Articles of Agreement setting out the details of	5.3.2021 and 10.3.2022.
advance payment to the Contractor and the repayment	Effective period from 1 March 2020 to 31
arrangement thereof.	August 2022.

	Clause	Remarks/Guidelines
NTT C1	8 8	who are registered under the respective in the Registered Specialist Trade
the <i>additional</i> of engagement of under the respec	tion is drawn to the Clause [C6] [#] of conditions of contract requiring the subcontractors who are registered ctive trades and groups available in Specialist Trade Contractors Scheme	Please refer to DEVB memos ref. DEVB(W) 510/94/02 dated 4.12.2020, 11.1.2022, 17.6.2022, 1.12.2022, and 1.2.2023, 6.9.2023 and 10.4.2024.
(RSTCS).		# Insert appropriate reference

Clause	Remarks/Guidelines
NTT C2 Payment for Subcontractor Management Plan	
Tenderers' attention is drawn to Clause [GCT 20]# of General Conditions of Tender, Clause [C5]# of additional conditions of contract and Clause [X]# of the Particular Specification requiring the submission and quarterly updating of the Subcontractor Management Plan (SMP) in the form and contents as prescribed in this contract. Tenderers' attention is also drawn to the requirement to monitor and ensure the implementation of and the compliance with the SMP.	# Insert appropriate reference Please refer to SDEV's memos ref. DEVB(W) 510/94/02 of 4.12.2020, 11.1.2022, 17.6.2022, 1.12.2022, and 1.2.2023, 6.9.2023 and 10.4.2024.
Tenderers should note that there are no separate items in the [*bill of quantities / *activity schedule] for "submission of Subcontractor Management Plan" and "quarterly updating of Subcontractor Management Plan". The prices in the [*bill of quantities / *activity schedule] shall cover, inter alia, the provision of implementation of and compliance with the SMP.	* Delete or amend as appropriate.

Clause	Remarks/Guidelines
NTT C3 Details of Subcontractor Management 1	Plan
Tenderers' attention is drawn to the Appendix [X]# to Clause [C5]# of additional conditions of contract on the scope and contents of Subcontractor Management Plan, including but not limited to the details of subcontract(s), the trade and group (if any) of the subcontractor under the Registered Specialist Trade Contractors Scheme and value of the subcontract(s).	# Insert appropriate reference Please refer to SDEV's memos ref. DEVB(W) 510/94/02 of 4.12.2020, 11.1.2022, 17.6.2022, 1.12.2022, and 1.2.2023, 6.9.2023 and 10.4.2024.

Clause	Remarks/Guidelines
NTT C5 Early Warning Register	
The tenderer should note that the matters to be included by the <i>Client</i> in the Early Warning Register are included in the Contract Data Part one. The tenderer should list the additional matters it wishes to be included in the Early Warning Register in Contract Data Part two. The Early Warning Register will also include the risks which the <i>Project Manager</i> or the <i>Contractor</i> has notified as an early warning matter after the award of this contract in accordance with NEC Clause 11.2(8).	

Clause	Remarks/Guidelines
NTT C6 Dispute Resolution Advisor system [o	pptional clause]
(1) This contract incorporates the Dispute Resolution Advisor system as stipulated in the Clause [G2]* of the additional conditions of contract.	01-5) dated 24.3.2011 and DEVB(W) 510/83/03 dated 15.10.2014 and 11.2.2021.
(2) A list of candidates for the position of Dispute Resolution Advisor ("DRA") will be sent to the Contractor after the award of this contract.	المحادث وموم المسم على المساسم ساسم ساسم ساسم التحاسم

Clause	Remarks/Guidelines
NTT C7 Systematic Risk Management [option	al clause]
Tenderers should note that Systematic Risk Management in accordance with ETWB TCW No. 6/2005 and ETWB—Risk Management User Manual has been carried out for the <i>works</i> of this contract and the risks that the <i>Contractor</i> is required to bear and be responsible for include but are not limited to those shown in the risk treatment plans attached in Appendix [X]# of these Notes to Tenderers. Tenderers should note that the risks identified in the risk treatment plans are not exhaustive and are given for the tenderers' reference only. The risk treatment plans do not in anyway release the <i>Contractor</i> from any of its obligations under this contract. The risk treatment plans shall not form part of this contract.	Please refer to ETWB TCW No. 6/2005. Project Offices should list the relevant risks in the Contract Data Part one. # Insert appropriate reference

Clause	Remarks/Guidelines
NTT C8 Professional indemnity insurance [optional clause]	
Tenderers' attention is drawn to Clauses *[F5#] and *[F6#] of the <i>additional conditions of contract</i> regarding the professional indemnity insurance requirement in respect of *the <i>Contractor</i> 's Design and *the Cost Savings Design under this contract. Please also refer to DEVB TCW No. 9/2007 for details of the above.	Please refer to DEVB TCW No. 9/2007. # Please insert appropriate clause reference. * Delete/Modify as appropriate

Clause	Remarks/Guidelines
NTT C9 MTRC indemnity [optional clause]	
Tenderers shall note that part of the <i>works</i> will take place within the Railway Protection Area and that the <i>Contractor</i> shall be required to complete and return to the MTR Corporation Limited an indemnity form and a letter of undertaking in accordance with Clause [X]# of the Particular Specification before being permitted to enter the Railway Protection Area. Tenderers shall approach MTR Corporation Limited to obtain the latest "Rate of Charge for Service/Information Provided by MTR Corporation Limited" and to include the same in the tender price forecast total of the Prices submitted in the tender.	DEVB TC(W) No. 1/2019 # Please insert appropriate clause reference.

Clause	Remarks/Guidelines
NTT C10 Proof of plant ownership	
Tenderers' attention is drawn to Clause [D19]# of the additional conditions of contract on Hired and Hirepurchase Constructional Plant. [It is anticipated that proof of ownership and where applicable written undertaking from the plant owner may be required for the following types of Constructional Plant: (provide list here)	Please refer to ETWB TCW No. 9/2004. Note 1: Delete the words in square brackets if it is not considered practicable to produce a list of Constructional Plant for inclusion in the tender documents.
Tenderers shall note that the above list is not exhaustive and is given for the tenderers' reference only. The above list shall not form part of this contract. The <i>Project Manager</i> may, in accordance with Clause [D19]# of the <i>additional conditions of contract</i> , require proof of ownership and where applicable written undertaking from the plant owner for any item of Constructional Plant which may or	# Insert appropriate reference.

may not be of a type set out in the above list.]¹

Clause Remarks/Guidelines

NTT C11 Employing Site Workers for this contract and payment of Site Workers' wages

Tenderers' attention is drawn to Clause [E6][#] of the additional conditions of contract requiring all Site Workers (as defined in Clause [A1]# of the additional conditions of contract) engaged in this contract to be employed under written employment contracts with either the Contractor or its subcontractors (irrespective of the tiers) including Specialist Self-employed persons shall be Subcontractors. subject to other requirements of this contract. Tenderers' attention is also drawn to the new requirement and arrangement on payment of Site Workers' wages set out in Particular Specification Section $[X]^{\#}$. In the event the *Contractor* or its subcontractors including Specialist Subcontractors fail to pay wages to their Site Workers, the Client may pay any wages in arrears to the Site Workers and recover the same from any monies due to the Contractor under this contract.

Please insert appropriate clause reference.

Please refer to DEVB memo ref. (02VKU-01-3) in DEVB(W) 510/17/01 dated 16.12.2016.

Clause Remarks/Guidelines

NTT C12 Reimbursement of actual payment made by the *Contractor* to Assistant Clerical Officer (Labour Relations) [optional clause]

Tenderers' attention is drawn to sub-clause [5A]# of Clause [E6]# of the additional conditions of contract on reimbursement of the Contractor's actual payment and payment of the *Contractor*'s associated expenses in providing Assistant Clerical Officers (Labour Relations) for this contract. The Contractor shall be reimbursed the actual payment made to Assistant Clerical Officers (Labour Relations) and shall also be paid a fee percentage of the actual payment made to Assistant Clerical Officers (Labour Relations) as the Contractor's associated expenses in providing Assistant Clerical Officers (Labour Relations). The fee percentage is same as that inserted by the tenderer in the Contract Data Part two and is to be used for arriving at the provisional sum for the Contractor's associated expenses for arriving at the estimated price in respect of the item for reimbursement of actual payment made by the Contractor in providing Assistant Clerical Officers (Labour Relations) for tender assessment.

This Clause is only applicable to in-house contracts.

Please insert appropriate clause reference.

Please refer to DEVB memo ref. (02VKU-01-3) in DEVB(W) 510/17/01 dated 16.12.2016.

Clause

Remarks/Guidelines

NTT C13 Site cleanliness and tidiness – daily cleaning and weekly tidying [optional clause]

- (1) Tenderers should note Clause [D10]# of the additional conditions of contract and Clause [X]# of the Particular Specification on "Site Cleanliness and Tidiness Daily Cleaning and Weekly Tidying Up of the Site". Separate items are stipulated in the *bill of quantities/*activity schedule for the cleaning and tidying up work of the Site, including Public Cleaning Areas which the Contractor is solely responsible for cleaning are required for cleaning solely by the Contractor and have to be maintained open to the general public throughout the construction period. The Particular Specification shall be strictly enforced by the Project Manager.
- (2) Failure to perform satisfactorily on Daily Cleaning and Weekly Tidying as specified in this contract with non-payment for two consecutive Cleaning Days or Cleaning Week Days, OR more than two Cleaning Days or Cleaning Week Days in any rolling five Cleaning Days or Cleaning Week Days can result in a verbal warning which shall be recorded in writing. If performance is not improved, a written warning will be issued which will result in "Poor" rating in the item for "Cleanliness of Site" in the report on the *Contractor*'s performance. If the performance is still not improved, the Project Manager may, at his sole discretion, issue further verbal warning which shall be recorded in writing or issue further written warning which will result in "Very Poor" rating in the item for "Cleanliness of Site" in the report on the *Contractor*'s performance.

Please refer to DEVB TC(W) No. 8/2010 and DEVB memo ref. DEVB(W) 505/91/01 dated 17.5.2017.

- * Delete as appropriate.
- # Insert appropriate reference.

Clause	Remarks/Guidelines	
NTT C14 Site uniform		
Tenderers should note that the *rates/*prices in the *bill of quantities/ *activity schedule shall be deemed to cover all works, services and obligations	Please refer to DEVB memo ref. DEVB(Trg) 133/3 (10) dated 23.1.2017.	
for or related to ensuring that all workers involved in site works wear site uniform when they are on the Site as specified in Clauses [D24]# of the <i>additional conditions of contract</i> , and Clause [X1]# of Particular Specification. There shall be no measurement or	For Capital Works Contracts and Term Contracts with Construction Period of not less than 12 months.	
separate payment for any of such works, services or	# Insert appropriate reference	
obligations. For enquiries on details of the antiheat stress construction uniform, please contact the Procurement Department of the Construction Industry Council on 2100 9028 or the Assistant Secretary (Works Policies 1) 2 of the Development Bureau on 3509 8710.	* Delete/Modify as appropriate	
Bureau on 3309 8/10.		

Clause	Remarks/Guidelines
NTT C15 Mandatory Construction Industry Collaborative Training Schemes (CICTS)	
Tenderers should note that the *rates/*prices in the	Please refer to DEVB TC(W) No. 6/2019.
*bill of quantities/ *activity schedule shall be deemed	
to cover all works, services and obligations for or	* Delete/Modify as appropriate
related to the implementation of mandatory	# Insert appropriate reference
Construction Industry Collaborative Training	
Schemes as specified in Clause [X]# of the Particular	
Specification. There shall be no measurement or	
separate payment for any such works, services or	
obligations.	

Clause Remarks/Guidelines

NTT C16 Employment of Graduates of the Enhanced Construction Manpower Training Scheme (ECMTS) [optional clause]

Tenderers' attention is drawn to Clause [E9]* of the additional conditions of contract requiring the Contractor to, within 6 months from the starting date as notified by the Project Manager, employ for at least 12 months a minimum total number of ECMTS Graduates trained by the Construction Industry Council under its Enhanced Construction Manpower Training Scheme (ECMTS). ECMTS Graduates employed by its subcontractors to work on the Site in accordance with the provisions of Clause [E9]* of the additional conditions of contract shall be counted towards the said minimum total number.

Please refer to DEVB memo ref. DEVB(Trg) 133/4 (9) dated 7.12.2012.

Applicable to all works contracts (except E&M contracts but including Design and Build as well as term contracts) with an estimated contract sum or estimated total expenditure of over \$200 million and with a construction period of 2 years or longer.

Insert as appropriate
Please refer to DEVB memo ref.
DEVB(Trg) 133/4 (9) dated 7.12.2012.

* Insert appropriate reference.

Clause	Remarks/Guidelines
NTT C17 Pay for Safety Performance Merit Scheme [optional clause]	
Tenderers shall note that besides the task-tied payment	Please refer to DEVB memo ref. (02LSV-
items for the *Pay for Safety Scheme (PFSS)/*Pay for	01-1) in DEVB(W) 516/70/03 dated
Safety and Environment Scheme (PFSES),	22.11.2013.
performance-tied payment items for the newly	
introduced Pay for Safety Performance Merit Scheme	* Delete as appropriate
(PFSPMS) are also included in this contract. Tenderers	** Amend as appropriate
shall pay attention to Clause [D28]# of the additional	# Insert appropriate reference.
conditions of contract on "Pay for Safety Performance	
Merit Scheme (PFSPMS)", the Assessment Rules and	
the incentive schedule for Performance-tied Payment	
Items of the PFSPMS in Appendix [X]# to the Contract	
Data Part one and Section [X]# of the Particular	
Specification regarding "Particular Specification for	
Site Safety"** for the operation of the PFSPMS.	

Clause	Remarks/Guidelines
NTT C18 Tree preservation [optional clause]	
Tenderers should note that Clause [X] [#] of the Particular Specification requires the <i>Contractor</i> to	Please refer to DEVB TC(W) No. 4/2020.
assign a competent member of the site supervisory staff to oversee and supervise the tree works under this	# Insert appropriate reference
contract, and that such a person should possess the practical experience as required under Clause [X]# of	
the Particular Specification.	

Clause	Remarks/Guidelines
NTT C19 Tree pruning works [optional clause]	1
Tenderers should note that Clause [X]# of the	Please refer to DEVB memo ref. (36) in
Particular Specification requires the Contractor to	L/M to DEVB(GLTM) 302/5/1 dated
assign worker(s) with relevant qualification to	17.12.2014.
undertake tree pruning works involving the use of	# Insert appropriate reference
chainsaw.	

Clause	Remarks/Guidelines
NTT C20 Environmental management [optional clause]	
Tenderers should note Clause [D20]# of the <i>additional conditions of contract</i> on "Environmental Management Plan" and the Particular Specification on "Environmental Management" for minimising nuisances and waste generation from the <i>works</i> . In addition, tenderers should note that all non-road based construction machinery powered by diesel fuel working on this contract should use B5 diesel (i.e. 5% biodiesel blended with 95% Euro V diesel) in accordance with Clause [X]# of the Particular Specification for B5 diesel.	Please refer to ETWB TCW No. 19/2005, with subsequent amendment in June 2006 Please refer to SDEV's memo dated ref. DEVB(W) 810/17/02 dated 8.1.2016. # Insert appropriate reference

Clause	Remarks/Guidelines	
NTT C21 Use of non-road mobile machinery approved under the Air Pollution Control (Non-road Mobile Machinery) (Emission) Regulation [optional clause]		
Tenderers should note Clause [D30]# of the additional conditions of contract on the use of non-road mobile machinery approved under the Air Pollution Control (Non-road Mobile Machinery) (Emission) Regulation.	` '	

Clause

Remarks/Guidelines

NTT C22 Provision of temporary electricity and water supply to *Working Areas/*Site

[Version A]

Tenderers' attention is drawn to Particular Specification Clause [X]* on provisions of electricity and water supply to the *Working Areas/*Site and the application arrangement for temporary electricity and water supply.

or

[Version B]

Tenderers should note that the *Client* has already made applications and settled the respective fees for the supply of temporary electricity and water supply to the *Working Areas/*Site, with details as more particularly set out in Appendix *[see below]*. The electricity cable and water mains laying works are in progress.

Appendix [...]

a) Electricity cables and water mains under the applications by the *Client* will be laid up to the boundary of *Working Areas/*Site, including WAXX and WAYY [To insert identification of sites concerned]. The electricity load and size of water mains to each of these *Working Areas/*Site being applied for are set out in the tables below and the respective alignments and connection points are shown in Plan(s) XX [To insert layout plans showing the indicative cable and water main alignment].

Please refer to DEVB TC(W) No. 13/2020.

- * Delete/Modify as appropriate.
- [#] Insert appropriate reference.

Version A – for situation that cables/water mains laying works for electricity and water supply are anticipated to be completed before the scheduled date of site possession.

Version B – for situation that cables/water mains laying works for electricity and water supply may not be able to be completed before the scheduled date of site possession.

*Working Arous/Site	Temporary electricity load
*Working Areas/Site	(Amp)
e.g. WAXX	e.g. 400
e.g. WAYY	e.g. 400

Clause

*Working Areas/Site	Size of water mains
	(Nominal diameter in mm)
e.g. WAXX	e.g. 200
e.g. WAYY	e.g. 100

- b) Upon completion of laying the electricity cables, the *Contractor* shall submit an application to the respective power company, together with a written authorization letter, if required, issued by the *Project Manager/*Supervisor authorizing the Contractor to make use of the relevant connections for temporary electricity supply to the *Working Areas/*Site.
- c) The *Contractor* shall submit an application¹ to the Water Supplies Department, together with a written authorization letter, if required, issued by the **Project Manager*/**Supervisor* authorizing the *Contractor* to make use of the relevant connections for temporary water supply to the *Working Areas/*Site.

Note 1: WSD accepts application from the Contractor before completion of water mains laying works.

Remarks/Guidelines

Clause	Remarks/Guidelines
NTT C23 Limiting tiers of subcontracting	
The tenderers' attention is drawn to the provisions under Clause [C7]# of the <i>additional conditions of contract</i> which impose certain restrictions on subcontracting.	Please refer to SDEV's memo ref. DEVB(W) 510/17/01 dated 19.4.2021. # Insert appropriate reference